

# Relations between cityscape-related and palm-inherent variables and the pruning state of urban *Arecaceae* suggest three reasons for overpruning

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## Accepted Manuscript

Title: Relations Between Cityscape-Related and Palm-Inherent Variables and the Pruning State of Urban *Areaceae* Suggest Three Reasons for Overpruning

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26 find relations between various factors, such as ‘species’ or ‘distance to the closest road’, and the  
27 pruning state of *Arecaceae* in the study city Olhão (Portugal) in order to suggest reasons for the  
28 continued existence of this practice and approaches to reduce its prevalence. Only two factors,  
29 ‘height’ and ‘distance to the closest object’, showed the same relations with the pruning state for the  
30 three most common species and should therefore be the first to address in order to reduce  
31 overpruning. Data analysis proposes three underlying reasons, personal taste in relation with  
32 misinformation, improper choice of species for available planting sites, and economic factors as  
33 most likely to be responsible for widespread overpruning. While the latter is difficult to address,  
34 implementing educative measures to inform relevant personnel about the negative side-effects and  
35 better species-site matching could show fast and cost-efficient improvements in the reduction of the  
36 practice of overpruning and therewith the danger of reduced aesthetic value of *Arecaceae*,  
37 undermining the purpose of their original planting.

38

39 **Keywords:** *Chamaerops humilis*; crown; leaves; *Phoenix canariensis*; removal; *Washingtonia*  
40 *robusta*

41

42

43

44

## 45 **INTRODUCTION**

46 Urban palms tend to be planted mainly for aesthetic purposes, as they do not provide many of the  
47 highly valued services of urban trees or at considerably lower levels (e.g. McPherson et al., 2005;  
48 Vargas et al., 2007). Their distinct appearance, however, makes them a generally suitable choice for  
49 landscape beautification, where the focus then should be on keeping them healthy, offering the best

50 possible growing conditions and avoiding maintenance operations which could have negative side-  
51 effects.

52 A commonly carried out arboricultural practice is that of removing whole fronds, a practice which  
53 has some need in city environments e.g. to reduce liability issues or the danger of fire. An excessive  
54 removal of leaves, however, referred to as overpruning, is advised against in the academic literature  
55 for its many possible negative side effects. These have been reviewed by Rosenfeld (2009),  
56 mentioning e.g. the increased spread of symptoms of potassium deficiency and faster rates of plant  
57 decline where older leaves on deficient palms are removed (Broschat, 1994), thereby severely  
58 impacting the health of the plant and decreasing its aesthetical value and life span. Besides effects  
59 on the nutrient composition of the leaves, overpruning can also have negative effects on the  
60 structural integrity of the palm as older leaves support the younger, immature ones, reducing the  
61 likelihood of the crown failing in high winds (Calvez, 1976; Chan and Duckett, 1978). Further  
62 reasons against overpruning, and pruning more generally, are related to the risks of spreading  
63 diseases, such as *Fusarium oxysporum*, which is spread through the use of contaminated pruning  
64 tools (Feather et al., 1979), or palm decline due to *Rhynchophorus ferrugineus* (Oliv.) (Coleoptera:  
65 Curculionidae), the Red Palm Weevil. The latter one present in the study area, where it could be  
66 observed on or next to several palms, possibly attracted to them by chemicals emitted from  
67 remaining leaf bases or pruning wounds (Broschat, 2011). In addition to these problems, an  
68 extensive removal of fronds decreases the value of palms in a wider, landscape-related context  
69 where overpruning palms is not just questionable from an aesthetic point of view but does also  
70 reduce the amount of shadow produced by the crown while further impairing the plants' leaf area  
71 related functionalities, such as the absorption of gaseous pollutants. Recommendations are, that "no  
72 leaves with tips above the horizontal plane (9:00 to 3:00 positions on a clock face) should be  
73 removed" (Broschat, 2011). Despite this consensus in the academic world, palms in cities continue  
74 to be overpruned, sometimes severely (Fig. 1).

Insert Fig. 1 here

75 Information on the extent of overpruning of palms in city environments is lacking and with this,  
76 data on possible connections between the pruning state of the crown and various factors  
77 encountered in city environments related to urban green planning and management.

78 Besides quantifying the amount of public, overpruned *Arecaceae* in the study site, this study aimed  
79 at considering cityscape-related and palm-inherent variables and their possible relations with an  
80 increase or decrease in leaf removal. Knowledge ultimately usable to inform the development of  
81 effective strategies to reduce this practice and negative side effects, which can result in a decreased  
82 aesthetical value and premature death of the palms.

83

## 84 **METHODS**

### 85 *Study Site*

86 Olhão is a coastal city, located at around 37° 2' N and 7° 50' W, in the south of Portugal (Algarve  
87 Region), about 10 km to the east of Faro, the region's capital. The city has 14.914 inhabitants (INE,  
88 I.P, 2011), covering an area of approximately 12,25 km<sup>2</sup> (Eurostat, 2011).

89 According to the Köppen-Geiger climate classification, it is a Csa type (dry-summer subtropical or  
90 Mediterranean)(Peel et al., 2007) with mean temperatures above 10° C during the whole year and  
91 above 15° C from March/April to November. Mean monthly rainfall in the area is below 5 mm  
92 between June and August and highest from October (60.1 mm) to February (52 mm). The  
93 maximum daily amounts can reach more than 150 mm in October, but are less than 100 mm  
94 throughout the rest of the year (Instituto de Meteorologia, IP Portugal).

95

96

### 97 *Data Collection*

98 In April and May 2012 data were collected on all public, urban palms (n = 904) within the city  
99 boundaries of the study site. Individuals were determined to species level and the following  
100 additional information was collected:

101

102 Location

103 The palms' locations were recorded with a GPS device (eTrex H, Garmin, Schaffhausen,  
104 Switzerland).

105

106 Overall Height

107 Overall heights were measured using a clinometer (Pm-5/360 PC, Suunto, Vantaa, Finland) for  
108 higher palms or a measuring tape (20 m by 13 mm, Fischer-Darex, Le Chambon-Fegeurolles,  
109 France) for smaller ones. Vertically upwards growing spear leaves were excluded, however, as this  
110 could overestimate overall palm height, using the highest point on the next youngest leaf, which  
111 already moved to a more horizontal position, instead. Results were rounded to the closest 5 cm-step  
112 and only determined for the highest stem/crown of multi-stemmed individuals.

113

114 Number of Objects

115 The number of above-ground structures in a 4 m radius from the palm's stem was counted, the  
116 ground distance to the closest object measured and recorded to the closest 5 cm-step.

117

118 Size of Closest Object

119 The size of the closest object was classified in relation to the extent of the respective above-ground  
120 structure. Poles, street lights, street signs and other minor objects were considered to be 'small',  
121 more substantial objects like trees or palms to be 'medium' and houses, walls and large  
122 advertisement boards were categorized as 'large'. The categories were open, so that e.g. bigger trees  
123 could also be classified as 'large'.

124

125 Existence of Obstacles

126 For the three most common species in the study site, a growing space was defined based on half the  
127 crown diameter large, mature palms can reach (Riffle, Craft and Zona, 2012; Broschat, Hodel and  
128 Elliott, 2014), rounded to the closest 10 cm-step (Table 1). An above-ground structure located  
129 inside this radius can turn into an obstacle, possibly leading to a direct contact between the palm  
130 and the obstacle. The presence of such an above-ground structure was noted with 'yes', the absence  
131 as 'no'.

Insert  
Table  
1 here

132  
133 Distance to the Closest Road

134 The distance to the closest road was measured from the corresponding side of the palm's stem and  
135 recorded to the nearest 5 cm-step if it was less than or equal to 10 m.

136  
137 State of Pruning

138 The crown was judged by eye as either clearly overpruned when the shape of the crown was 10 to 2  
139 o'clock or above (Fig. 2) or as clearly not overpruned when the shape of the crown was an 8 to 4  
140 o'clock shape or below (Fig. 3). A preliminary '?' was recorded for those palms, where the leaf tips  
141 of the lowest leaves fell between the two other shapes (Fig. 4). The 9 to 3 o'clock imaginary  
142 reference line crosses the palm's stem on the height of the lowest leaf bases. In determining the  
143 pruning state, the different sides of the crown were taken into consideration, rating a palm as  
144 overpruned if more than 1/3 of it had too many of its leaves removed, even when the leaf tips on the  
145 remaining parts of the crown were below the horizontal line, taking symmetry into account. The  
146 leaf tip of the leaflet at the end of the rachis or in the centre of the frond was used to determine the  
147 pruning state, the nearest healthy leaflet was chosen when it was damaged. In multi-stemmed  
148 individuals, the main crown was examined and the removal of whole stems excluded from the study  
149 as it is not possible to collect information, useful for the purpose of this study, on absent stems and  
150 crowns. To determine the reliability of judgement by eye, further information was collected on the

Insert  
Figs. 2  
to 4  
here



151 palms with a preliminary ‘?’-rating. First, they were rated as overpruned or not, based on the 9 to 3  
152 o’clock scheme after which the heights of three leaflet tips and the respective leaf bases were  
153 measured. As a palm could potentially have too many of its fronds removed on only one side, e.g.  
154 those close to an object, the procedure outlined below was followed in order to take the symmetry  
155 of the crown into account when judging the pruning state. First, the lowest leaflet tip of the whole  
156 palm was visually determined and its height and that of the leaf base measured. The remaining two  
157 measurements were then taken on the lowest leaflet tips and bases on other sides of the crown,  
158 roughly dividing it into three parts. This was done directly with the measuring tape for small palms,  
159 noting the results to the closest 5 cm-step, while for taller palms the height was measured with the  
160 help of the clinometer.

161 In the latter case, a laser pointer (MP1000, Velleman, Gavere, Belgium) was used to project the  
162 position of the respective leaflet tip onto the ground in order to find the 10 m distance, that was  
163 needed to determine the height of the respective tip. The construction consisted of the laser pointer  
164 fixed to an extendable pole, normally used to paint walls (A70392, Serie 703, 2 m, Rulo pluma,  
165 Barberà del vallès, Spain), on which two pipe air levels (0.5 and 0.75 inches from 350 Pipe Level  
166 Set, Kapro, Lake Mills, USA) were attached in a 90° angle to each other. The pointer was focussed  
167 on the leaf tip while held straight up so that the base of the extendable stick could be used for the  
168 second measurement with the clinometer.

169 Palms close to roads or large obstacles, as well as those growing on noticeable slopes were  
170 excluded from these further measurements, as sufficient space and a flat ground was needed. When  
171 the differences between measured average height of the three lowest leaflet tips and leaf bases was  
172 less than or equal to 5 cm, the individuals were excluded, as this degree of error can easily be  
173 introduced by wind, inconspicuous unevenness of the ground and the clinometer itself. After  
174 elimination of the unsuitable palms, only 18 remained for the comparison of eye judgement and the  
175 determination of pruning state with the laser construction or measuring tape, which was found to be  
176 consistent in all cases. Though the sample was too small for statistical analysis, fast determination

177 by eye of the pruning state in the field seems to produce reliable results even when the measured  
178 differences between leaf tip and leaf base were as small as 6 cm, supporting the use of data from  
179 eye judgement for further analyses.

180

#### 181 *DATA ANALYSES*

182 Data were analysed using the statistical software ‘R’ (R Development Core Team, 2012), testing for  
183 significant connections between ‘pruning state’ and the remaining variables for the three most  
184 common species in the study site.

185 Non-parametric tests were used in all situations, as the visual examination of the continuous  
186 variables, i.e. ‘height’ and ‘distance to the nearest road’, led to the conclusion that the distribution  
187 deviated too much from a normal distribution to use parametric tests. Mann-Whitney U (MWU)  
188 tests were used to compare two groups (overpruned and not overpruned palms). Pearson’s Chi-  
189 squared (PCS) test was used for categorical data. Fisher’s Exact (FE) test for 2 x 2 tables and the  
190 Fisher-Freeman Halton (FFH) test for bigger cross tables if an observed number was zero or more  
191 than 20 % of the cells had an expected number smaller than 5. The latter one was calculated  
192 employing the Monte Carlo method, based on 1’000’000 replicates.

193

## 194 **RESULTS and DISCUSSION**

### 195 *STUDY SITE SITUATION*

196 A total of 904 public palms were found to be growing in Olhão at the time of data collection being  
197 spread throughout the whole city but found in larger numbers along the waterfront in the south and  
198 in so-called *urbanizações*, larger-scale housing developments, in the north-west and south-west  
199 corner and around the center of the urban area (Fig. 5). **Insert Fig. 5 here**

200 Out of eight palm species identified in Olhão only three were common enough to be considered  
201 separately for further statistical analysis, including *Phoenix canariensis* Chabaud (*Ph. canariensis*,  
202  $n = 356$ ), *Chamaerops humilis* L. (*Ch. humilis*,  $n = 116$ ) and *Washingtonia robusta* H. Wendl. (*W.*

203 *robusta*, n = 393). Of those palms, eight, two and five individuals, respectively, were excluded from  
204 further testing as their crowns were caught in other trees, preventing their pruning states to be  
205 judged.

206

207 *Pruning and...*

208 Species

209 Regarding the pruning state of the three *Arecaceae*, more *Ph. canariensis* and *Ch. humilis* palms  
210 than expected were overpruned, with the opposite holding true for *W. robusta*. Examining relative  
211 numbers, about 70 percent of all *Ph. canariensis*, 80 percent of all *Ch. humilis* palms and 30 percent  
212 of *W. robusta* palms had too many of their fronds removed.

213 *Ph. canariensis* has pinnate, *Ch. humilis* palmate, and *W. robusta* costapalmate leaves so that frond  
214 type alone does not seem to be an explanatory factor. The three species also vary considerably in  
215 the possible maximum crown spread mature individuals can reach. The crowns of mature and  
216 unpruned *Ph. canariensis* palms can be as much as 8 m wide (Broschat, Hodel and Elliott, 2014)  
217 and while excessive leaf removal to reduce the spread could explain the high amount of overpruned  
218 individuals, the crowns of *Ch. humilis* palms only reach about 3 m (Riffle, Craft and Zona, 2012),  
219 not explaining why the majority of the latter species was also found to be overpruned. The small  
220 average height of only 1.1 m of individuals of this species in the study site, as compared to 5.4 m  
221 for *Ph. canariensis* and 5.6 m for *W. robusta* might partially explain excessive leaf removal,  
222 allowing uncomplicated and cheap access to the fronds to be removed.

223 The differences of pruning levels between *Ph. canariensis* and *W. robusta*, both with similar average  
224 heights and therefore theoretically with the same difficulties and expenses attached, could possibly  
225 be related to popular ideas about how *Ph. canariensis* palms should ideally look. One of the  
226 common names of this species is ‘pineapple palm’, referring to an individual usually with a still  
227 rather short stem and a heavily pruned crown, resembling a pineapple. If this look is proposed and

228 accepted as the desirable one, heavier pruning on this species would result, despite the extra effort  
229 needed.

230

### 231 Height

232 Pruning higher palms is disproportionately more complex than pruning smaller palms. Equipment  
233 to reach higher leaves is needed and tools can be unhandy and might interfere with other aspects of  
234 city life. Additionally, renting or purchasing heavy equipment is a cost factor, increasing the  
235 workforce needed and the time necessary for the pruning operations. In some cases it might not just  
236 be less complicated to prune smaller individuals but also necessary, as some species, such as those  
237 found in the *Phoenix* genus, feature sharp spines on their petioles which, especially on or close to  
238 sidewalks, can be a danger to the public.

239 The statistical tests showed a relation between height and pruning for all three species, with higher  
240 palms being significantly less likely to be overpruned than smaller individuals. This result was not  
241 only the case for *Ph.canariensis* (MWU test, N = 348,  $p < 0.001$ ) and *W. robusta* (MWU test, N =  
242 389,  $p < 0.001$ ) but also for *Ch. humilis* (MWU test, N = 114,  $p = 0.002$ ) despite the small average  
243 size of this species and the highest sampled individual being only 4.4 m high. In the study site no  
244 *Ph. canariensis* palm with more than 9 m (Fig. 6), *W. robusta* with more than 7 m (Fig. 7) and *Ch.*  
245 *humilis* with more than 3 m of height (Fig. 8) had too many of their leaves removed.

246

**Insert  
Figs. 6  
to 8  
here**

### 247 Distance to the Nearest Road

248 Overpruned and not overpruned palms did not differ significantly with regards to the distance to the  
249 nearest road for *Ph. canariensis* (MWU test, N = 232,  $p = 0.95$ ) and *Ch. humilis* (MWU test, N =  
250 43,  $p = 0.11$ ), while overpruned *W. robusta* palms were found to grow significantly further away  
251 from the road than their not overpruned counterparts (MWU test, N = 261,  $p = 0.028$ ), including  
252 only individuals, with a distance of up to 10 metres. Analysing the geographical distribution of the

253 individuals of the latter species, no clumped appearances of the two pruning states became apparent  
254 and neither differed significantly in average heights.

255 Pruning operations are possibly facilitated if palms are growing further away from the road, usually  
256 allowing more easily for the use of heavy equipment, while reducing disturbances to the public.  
257 Furthermore, a greater distance to the nearest road is often related to growing in parks or park-like  
258 environments, where the visual appearance of the plants is of primary importance. This might lead  
259 to increased pruning, if this look is considered more appealing by those responsible for deciding the  
260 removal of fronds. While it is possible that one or more of these factors are related to the excessive  
261 pruning on *W. robusta* palms further away from the road, the reasons why the other two species  
262 show no such connection remains unclear.

263

#### 264 Number of Objects

265 Comparing palms with and without above-ground structures in the 4 m radius around the stem, no  
266 connection with the pruning state was found for *Ph. canariensis* (PCS test,  $N = 348$ ,  $X^2 = 0.93$ ,  $df$   
267  $= 1$ ,  $p = 0.34$ ), while significantly more *Ch. humilis* palms with at least one object were found to be  
268 overpruned than expected (FE test,  $N = 114$ ,  $p = 0.046$ ). This was also the case for *W. robusta*, but  
269 individuals with and without an object were differing considerably in average heights (4.85 m and  
270 6.29 m respectively), suggesting that this confounding factor is most likely responsible for the  
271 statistically significant results.

272 Looking at exact numbers of objects and their relation with leaf removal, *Ch. humilis* palms were  
273 less likely to be overpruned for up to three objects around and more likely to be so when they had  
274 five or more objects in the 4 m radius around (FFH test,  $N = 114$ ,  $p < 0.001$ ). The results for *W.*  
275 *robusta* were similar, with the only difference being the fact that overpruning was more extensive  
276 for four or more objects close-by (PCS test,  $N = 389$ ,  $X^2 = 22.637$ ,  $df = 6$ ,  $p < 0.001$ ). No relation  
277 was found for *Ph. canariensis* palms (PCS test,  $N = 348$ ,  $X^2 = 5.546$ ,  $df = 6$ ,  $p = 0.48$ ).

278 Above-ground structures in proximity of the *Arecaceae* are possibly related to an increased  
279 likelihood of overpruning, as the objects might limit the growing space available so that leaves are  
280 being removed to protect both the structures as well as the plants from damage.

281

#### 282 Distance to Closest Object

283 *Ch. humilis* palms up to one metre away from the closest object were overpruned in larger number  
284 than expected, and not overpruned in larger number than expected when the closest object was more  
285 than two meters away (FFH test,  $N = 114$ ,  $p = 0.043$ ). Mature individuals can reach a crown radius  
286 of around 1.5 m, a size reflected in the above results.

287 For *W. robusta* (PCS test,  $N = 389$ ,  $X^2 = 17.326$ ,  $df = 4$ ,  $p = 0.002$ ) a similar connection was found  
288 as well, yet lacking the continuous trend seen for *Ch. humilis*, as palms further than four metres  
289 away from the closest object were more likely to be overpruned than expected, likely related to the  
290 smaller average height of the individuals in this category.

291 Statistically significant results were also found for *Ph. canariensis* (PCS test,  $N = 348$ ,  $X^2 = 10.393$ ,  
292  $df = 4$ ,  $p = 0.034$ ), but less palms were overpruned than expected in the first distance class to the  
293 nearest object, with no height differences possibly explaining this result.

294 Generally, there seems to be a connection between the extent of frond removal and the distance to  
295 the closest object, as leaves might have to be removed in increasing quantities to avoid interference  
296 between the above-ground structure and the palm as distances decreased. There are however other  
297 factors closely related to this connection, such as the vertical dimension of the crown and the  
298 growing speed of the respective individuals.

299

#### 300 Existence of Obstacles

301 *Ph. canariensis* (PCS test,  $N = 348$ ,  $X^2 = 0.929$ ,  $df = 1$ ,  $p = 0.34$ ) and *W. robusta* (PCS test,  $N =$   
302  $389$ ,  $X^2 = 0.281$ ,  $df = 1$ ,  $p = 0.64$ ) showed no relation between the presence or absence of an  
303 obstacle in the respective growing space and the pruning state. The test was significant for *Ch.*

304 *humilis*, with a higher number of palms overpruned than expected, when there was an obstacle  
305 present (FE test,  $N = 114$ ,  $p = 0.013$ ). The average height of palms with an obstacle however was  
306 considerably smaller (0.9 m) than that of palms without an obstacle (1.7 m) so that the statistical  
307 significance might be related to the confounding factor of 'height' again.

308

#### 309 Distance to the Closest Obstacle

310 No connection was found between the pruning state and the distance to the closest obstacle for *Ch.*  
311 *humilis* (MWU test,  $N = 93$ ,  $W = 382.5$ ,  $p = 0.067$ ), *Ph. canariensis* (MWU test,  $N = 258$ ,  $W =$   
312  $6016.5$ ,  $p = 0.17$ ) and *W. robusta* (MWU test,  $N = 140$ ,  $W = 2347$ ,  $p = 0.15$ ).

313

#### 314 Size of Object

315 The size of the closest object was not related to the pruning state of *Ph. canariensis* (PCS test,  $N =$   
316  $142$ ,  $X^2 = 1.9$ ,  $df = 2$ ,  $p = 0.39$ ) and *W. robusta* palms (PCS test,  $N = 95$ ,  $X^2 = 2.56$ ,  $df = 2$ ,  $p =$   
317  $0.28$ ). No tests could be conducted for *Ch. humilis* due to the small sample size and all objects  
318 falling into the medium category.

319

#### 320 *The Extent of Overpruning*

321 Measuring the state of pruning at only one specific point in time might have led to an  
322 underestimation of the extent of this practice. However, no repeated measurements were taken as  
323 there are no comparisons being made between different study areas, in which case the time passed  
324 since the last pruning operation would constitute a crucial factor to be considered. It was  
325 disregarded for this study based on the assumption that intra-city variations in terms of 'time since  
326 last frond removal' are likely negligible as the study area is relatively small and the persons  
327 responsible would favour having to rent heavy equipment for only one short time span. Field  
328 observations confirmed this assumption as it could be commonly seen that individuals of the same  
329 species with similar heights and growing in close proximity, and thereby comparable conditions,

330 differed in pruning state. This suggests that in this case the one-time determination of pruning state  
331 is not problematic and does not invalidate the correlations that were found and the possible reasons  
332 that are being suggested for the continuation of this practice below.

333

### 334 *Three Likely Reasons for Overpruning in City Environments*

335 The analysis of the data suggests that there are three underlying reasons for palms in the study site  
336 to be overpruned. The boundaries between these reasons are not clear-cut and most likely overlap.  
337 Drawing lines between them and considering them separately however, allows for an easier and  
338 more useful consideration of their planning and management implications.

339 The first reason for overpruning seems to be related to personal taste in combination with  
340 misguided ideas about the state of the art on pruning palms. The individual executing the pruning or  
341 instructing others to do so, might favour a specific look of a palm's crown, which by definition is  
342 overpruned, unaware of the academic literature arguing against excessive leaf removal. If current  
343 management practices of urban palms teaches overpruning of crowns as the appropriate for  
344 *Arecaceae* in city environments, the result can be as seen in Olhão, where 54 % of individuals  
345 belonging to the three most common species were overpruned and thereby, poorly managed in  
346 arboricultural terms. The large amount of *Ph. canariensis* palms that had too many of their fronds  
347 removed, despite a large proportion of them being of considerable height and not easily pruned, is a  
348 strong indicator of personal taste leading to this practice, as the similarly high *W. robusta* palms  
349 were subjected to overpruning significantly less. In the case of *Ch. humilis*, a reason for pruning can  
350 be found in it being a multi-stemmed species that can look bushy when left unpruned, yet the  
351 prevalence of overpruned individuals hints at a lack of knowledge of the possible negative side  
352 effects and advantage being taken of its small average height, facilitating ease of access to and the  
353 removal of fronds.

354 The second reason can be found in the connections between pruning state and variables related to  
355 above-ground structures around the palms. Here, a necessity to overprune seems to factor into the



356 arboricultural management. When leaves are in contact with objects, they can become damaged and  
357 unsightly, thereby inhibiting the palms ability to offer the service of landscape beautification they  
358 were planted for. In these cases, overpruning can be a preventative measure before harm is done or  
359 problems have appeared. Additionally, space tends to be a limiting factor in city environments and  
360 removing a large amount of leaves can reduce the crown spread of palms, making them fit into  
361 spaces, where individuals with full crowns are not an option. The problem with this explanation of  
362 overpruning is that the necessity to do so is a perceived one, rather than an actual one, being man-  
363 made as the result of an improper choice of species, a suboptimal planting site and the matching  
364 between these two.

365 Finally, economic factors play a role in the extensive overpruning of public, urban palms. Some  
366 leave removal is usually necessary in city environments from an arboricultural perspective. Dead  
367 leaves need to be removed from *Arecaceae* that are not self-cleaning, not only for aesthetical  
368 reasons but also for health and safety issues to avoid them falling in high winds, being a fire hazard  
369 or a hiding place for unwanted animals. Proper pruning in these cases would require small pruning  
370 intervals in which a very limited number of leaves are removed, being repeated possibly every year.  
371 On the first look, this appears to be much more expensive and disruptive to city life than choosing  
372 larger pruning intervals. These however often lead to crowns being overpruned with a need for  
373 further research to establish if the negative side effects of this eventually costing as much or more  
374 as proper pruning in small intervals would have cost.

375 Applying these results to the arboricultural management and planning of public urban palms,  
376 educating people about proper practice and raising awareness about the possible negative side  
377 effects, could already reduce the extent of overpruning considerably. This could be done through a  
378 simple workshop in a cost and time-efficient manner with prompt and almost immediate effects on  
379 the health and healthy appearance of palms.

380 These educative measures need to be combined with an improved species-site match, reducing the  
381 number of palms that are overpruned out of 'necessity'. To develop a framework for matching

382 species to planting sites it will be necessary to investigate relations between their health, their  
383 appearance, and various biotic, abiotic and palm-inherent factors acting and interacting in city  
384 environments. Additionally, similar studies should be conducted in other cities for the purpose of  
385 comparison and to avoid the reliance on only one specific case study, as has been done here, in the  
386 formulation of interpretive statements.

387 The third proposed factor for overpruning, economic reasons, will be the most difficult one to  
388 address and change, especially in times when cities are notoriously lacking funds, making a  
389 consideration of likely costly results in the future unattractive in the light of a seemingly cost-  
390 efficient present planning and management scheme.

391

## 392 **CONCLUSION**

393 Some variables, such as 'height', show the same statistically significant relations with the state of  
394 pruning for all of the three most common species, while others, such as the 'distance to the nearest  
395 road' or the 'number of objects', were found to be related to only one or two of the tested  
396 *Areaceae* species. In city environments the number of factors that can act simultaneously, resulting  
397 in a palm being overpruned is high, complicating data analysis and interpretation. In terms of  
398 pruning, an extra factor is added, the 'human component', making it necessary to consider the  
399 impact of difficult to analyse concepts like 'taste' and financial decisions, which most likely  
400 underlie decision-making processes.

401 The two variables 'height' and 'distance to the closest object' showed the same relations with the  
402 pruning states of all three species, appearing to be major factors related to an excessive removal of  
403 fronds. Addressing these variables first by educating the public, specifically those directly related to  
404 arboricultural practices, about proper palm pruning, consideration of above-ground growing space  
405 requirements, and matching species to appropriate planting sites will produce, comparatively, the  
406 fastest, largest and most cost-efficient decrease of the number of overpruned palms.

407 Whatever the specific reasons for an excessive frond removal are, there is agreement upon the fact  
408 that this practice has many undesirable side effects, primarily diminishing the health and aesthetic  
409 value of individual palms and the urban forest in total. In city environments however, where palms  
410 are planted and maintained for a purpose, negative effects of overpruning will ultimately also affect  
411 people in various ways, be it as an increased liability issue or financial expenditures on removing  
412 and replacing palms, which died or failed their purpose directly or indirectly as a result of  
413 overpruning.

414

## 415 **ACKNOWLEDGEMENTS**

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418

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- 454
- 455 Figure 1. An overpruned *Phoenix canariensis* palm
- 456 Figure 2. Schematic depiction of a crown shape with the 10 to 2 o'clock shape or less ('clearly overpruned')
- 457 Figure 3. Schematic depiction of a crown shape with the 8 to 4 o'clock shape or more ('clearly not overpruned')

458 Figure 4. Schematic depiction of a crown shape with the lowest leaf tips between the 10 to 2 o'clock shape and the 8 to  
459 4 o'clock shape ('preliminary?')

460 Figure 5. Urban palms in the study site

461 Figure 6. Number of overpruned and not overpruned *Ph. canariensis* palms in different size classes

462 Figure 7. Number of overpruned and not overpruned *W. robusta* palms in different size classes

463 Figure 8. Number of overpruned and not overpruned *Ch. humilis* palms in different size classes

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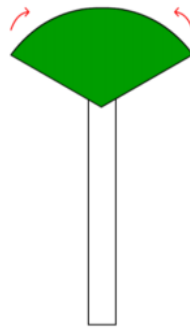


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**Figure 1. Lyn-Kristin Hosek and Andreas Roloff**

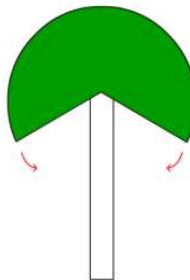


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**Figure 2. Lyn-Kristin Hosek and Andreas Roloff**

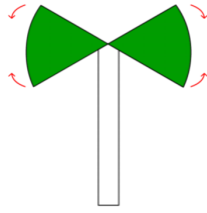


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**Figure 3. Lyn-Kristin Hosek and Andreas Roloff**



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**Figure 4. Lyn-Kristin Hosek and Andreas Roloff**

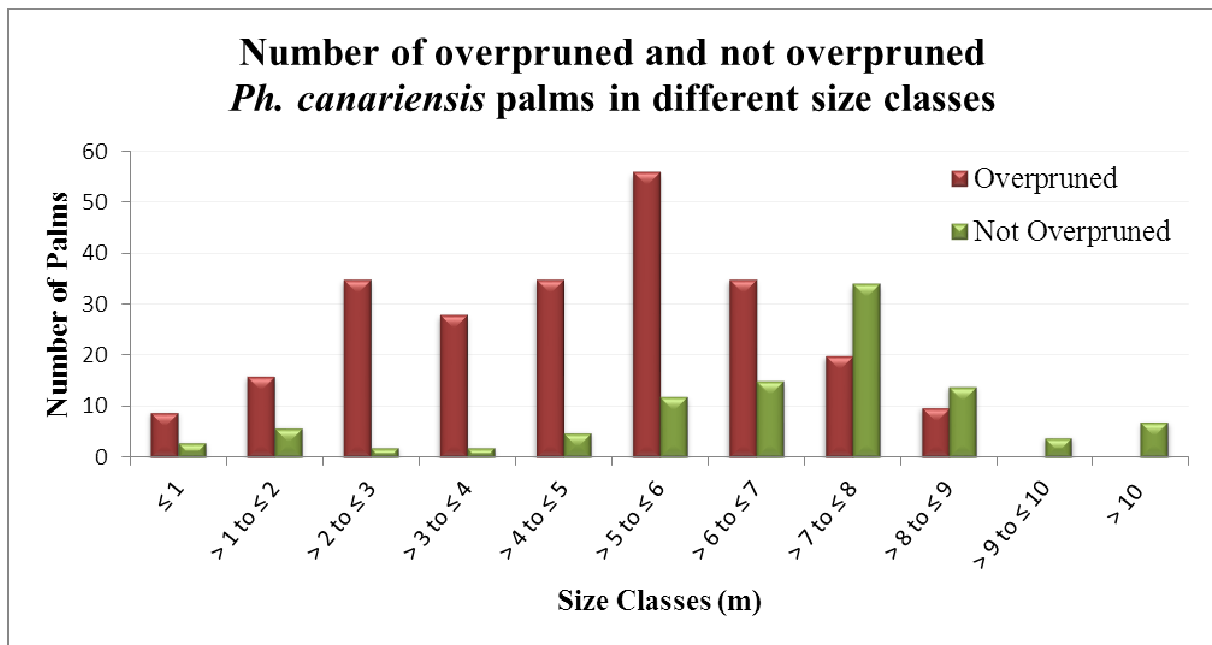


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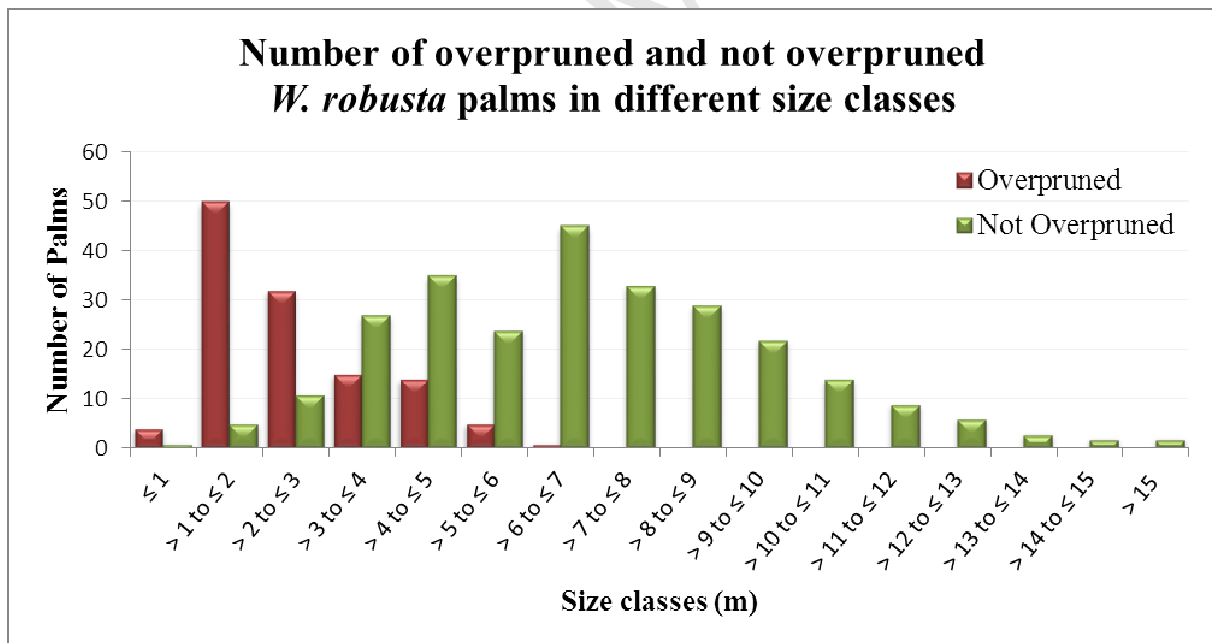
**Figure 5. Lyn-Kristin Hosek and Andreas Roloff**

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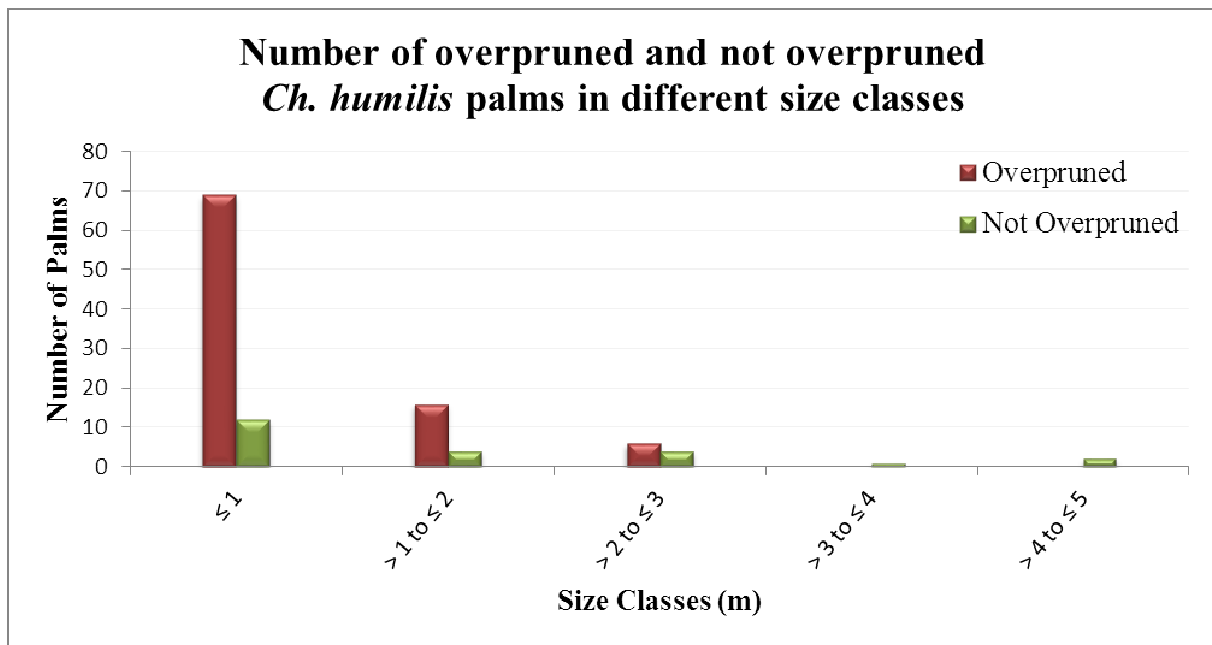
Figure 6. Lyn-Kristin Hosek and Andreas Roloff

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Figure 7. Lyn-Kristin Hosek and Andreas Roloff





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Figure 8. Lyn-Kristin Hosek and Andreas Roloff

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Table 1 Lyn-Kristin Hosek and Andreas Roloff

Species	Growing Space
<i>Chamaerops humilis</i>	1.5 m
<i>Washingtonia robusta</i>	2.5 m
<i>Phoenix canariensis</i>	4.0 m

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