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# A systematic review of brief dietary questionnaires suitable for clinical use in the prevention and management of obesity, cardiovascular disease and type 2 diabetes

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Title page

A systematic review of brief dietary questionnaires suitable for clinical use in the prevention and management of obesity, cardiovascular disease and type 2 diabetes

Running title: A systematic review of brief dietary questionnaires

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Declaration

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1 A systematic review of brief dietary questionnaires suitable for clinical use in the prevention

# 2 and management of obesity, cardiovascular disease and type 2 diabetes

3 Abstract

The aim of this systematic review was to identify and describe brief dietary assessment tools 4 5 suitable for use in clinical practice in the management of obesity, cardiovascular disease and 6 Type 2 diabetes. Papers describing development of brief (<35 items) dietary assessment 7 questionnaires, that were accessible, simple to score and assessed aspects of the diet of 8 relevance to the conditions of interest were identified from electronic databases. The 9 development of 35 tools was described in 47 papers. Ten tools assessed healthy eating or 10 healthy dietary patterns, 2 assessed adherence to the Mediterranean diet, 18 assessed dietary 11 fat intake and 5 assessed vegetable and/or fruit intake. Twenty tools were developed in North 12 America. Test-retest reliability was conducted on 18 tools; correlation coefficients for total 13 scores ranged from 0.59 to 0.95. Relative validation was conducted on 34 tools. The most 14 common reference variable was percentage energy from fat (15 tools) and correlation coefficients ranged from 0.24, p<0.001 to 0.79, p<0.002. Tools that have been evaluated for 15 16 reliability and/or relative validity are suitable for guiding clinicians when providing dietary 17 advice. Variation in study design, settings and populations makes it difficult to recommend 18 one tool over another, although future developers can enhance the understanding and use of 19 tools by giving clear guidance as to the strengths and limitations of the study design. When 20 selecting a tool, clinicians should consider whether their patient population is similar in 21 characteristics to the evaluation sample.

22

23 Introduction

The World Health Organisation estimates that in 2008, 18.3 million deaths worldwide were
due to cardiovascular disease and type 2 diabetes.<sup>1</sup> In 2010, unhealthy dietary habits,
including low fruit and vegetable consumption, high salt intake and low wholegrain and fish
consumption, combined with physical inactivity, are estimated to account for 10% of the
global burden of disease. Assisting people with dietary modification is, therefore, a key
challenge for health professionals.

In clinical care, dietary assessment is important for providing individualised dietary advice<sup>2</sup> 30 and is essential for evaluating the success of interventions aimed at improving dietary habits, 31 such as cardiac rehabilitation programs.<sup>3</sup> Dietitians typically use food diaries and take diet 32 33 histories to obtain an overview of a patient's usual diet, with dietary advice then given based 34 on this assessment. This process is time-consuming and interpretation requires specialist skills.<sup>2</sup> However, a highly detailed assessment of nutrient intake is not always necessary in a 35 36 clinical setting. It is often enough to review an individual's dietary habits to determine the potential benefit of changing specific dietary behaviours and foods/food groups.<sup>4</sup> 37 38 Brief dietary screening tools have been developed to assist with dietary assessment in clinical practice. These tools take the form of a brief questionnaire that can be self-completed prior 39 40 to, or administered during, a consultation. The answers allow health professionals and 41 patients to quickly identify whether a diet is appropriate or if there are areas of concern. 42 Dietary changes, based upon the patient's current dietary habits, can be discussed and foodbased dietary goals set.<sup>5</sup> For dietary tools to be useful in clinical practice they need to be 43 44 interpretable with minimal nutrition knowledge, quick to complete and easy to score. They must provide immediate guidance on healthy dietary changes or allow clinicians to quickly 45 46 identify patients who may benefit from more intensive dietary counselling. Dietary screening tools have been designed to assess specific foods or nutrients<sup>3, 6, 7</sup>, dietary behaviours 47

associated with obesity<sup>8</sup> or cardiovascular disease,<sup>9-11</sup> adherence to specific diets<sup>12, 13</sup> or as 48 specific aids in dietary counselling with a prompt sheet provided to guide discussion.<sup>14, 15</sup> 49 They take the form of short food frequency questionnaires (FFQs), with<sup>16</sup> or without<sup>17</sup> portion 50 estimates, behavioural questionnaires<sup>18</sup> or a combination of FFQ and behavioural questions.<sup>7</sup> 51 52 They are unable to give estimates of absolute intake but can classify individuals as high, 53 medium or low consumers of nutrients or foods of interest, allowing dietary advice to be targeted to an individual. Questionnaires have also been developed to rapidly evaluate the 54 55 success of dietary interventions, for example to measure the effect of advice to increase fruit and vegetable intake<sup>19</sup> or follow a lipid lowering diet.<sup>20</sup> These are responsive to change and 56 57 can provide outcome data to determine whether an intervention has succeeded in improving dietary habits. Brief questionnaires are of interest to dietary researchers,<sup>21</sup> but the current 58 review focuses on instruments that might be applicable in a clinical setting to obtain a picture 59 60 of an individual's diet.

A review of brief dietary assessment tools for potential clinical use was published in 2000,<sup>22</sup>
but many additional tools have been developed since then and there is a need for an update.
More recently the US National Cancer Institute (NCI) published an on-line registry of
validated brief dietary assessment instruments.<sup>23</sup> Although the registry provides an overview
of the tools, it does not facilitate comparisons and provides no summarised information about
applicability to clinical practice.

Our aims were to: 1) identify and describe available brief dietary screening tools that can be used in clinical practice for the prevention and management of obesity, cardiovascular disease and type 2 diabetes in adults; 2) examine the acceptability, reliability and/or relative validity of the tools; and 3) summarise the data so that clinicians can quickly assess which tool is most suitable for use with their patient group. Details are also provided about the availability of the tools and whether there are costs associated with their use.

#### 73 <u>Methods</u>

# 74 Search strategy

75 Electronic databases MEDLINE, EMBASE, PsycINFO, AMED (Ovid versions) and 76 CINAHL (EBSCOhost version) to June 2013 (week 26) were searched using MeSH terms and text words. Search terms were based around general terms for nutritional and dietary 77 78 assessment and were designed to identify brief questionnaires. Terms included nutrition 79 assessment, diet screen, food questionnaire, nutrient questionnaire and short, brief, rapid and 80 adult. The full list of search terms is included in the supplementary information (appendix 1). 81 One author (CE) screened all titles and abstracts. Full text articles were retrieved if abstracts 82 appeared to meet the inclusion criteria. Additional studies were identified from reference lists 83 and screened similarly. Studies were initially assessed for inclusion by one author (CE). 84 Where it was unclear whether a study or questionnaire met the inclusion criteria a second 85 author (JT) screened the reports.

## 86 Inclusion and exclusion criteria

Dietary habits or foods relevant to adults at risk for cardiovascular disease, overweight, 87 obesity or type 2 diabetes were derived from national and international guidelines.<sup>24-26</sup> Risk 88 increases with high consumption of energy-dense foods, trans-fats, saturated fats, sodium and 89 90 alcohol and decreases with high consumption of high fibre foods, fruit and vegetables, fish 91 and low glycaemic index foods. Dietary patterns emphasising high fibre foods, low fat dairy, 92 poultry, fish, non-tropical vegetable oils and nuts, whilst limiting red and processed meats 93 and high fat or sugar foods and drinks, are advised. Questionnaires assessing components of 94 the diet that increase or decrease risk were identified.

Tools were included if they had been evaluated for reliability or relative validity against a biomarker or against another self-reported measure of dietary intake (dietary reference). In common with the previous review<sup>22</sup>, sample size was not considered. Based on the clinical

98 expertise of two authors (CE, RA) tools were deemed to be practical for clinical settings if 99 they were brief, available in paper format or freely accessible on the Internet, could be scored 100 at administration without specialist computer software and were capable of providing 101 immediate feedback to patients and practitioners on an individual level. Questionnaires were 102 defined as 'brief' if they were estimated to take no more than 15 minutes to complete. Mean 103 allocated appointment times for new patients in primary care have been reported as being between 16-32 minutes and complete physicals as 12-36 minutes.<sup>27</sup> Consequently, 104 105 questionnaires taking more than 15 minutes to complete were judged as not feasible for use in 106 clinical practice. However, most studies did not estimate completion time. Preliminary work, 107 prior to conducting the full review, identified mean completion times of 15 minutes for a 25 item questionnaire,<sup>28</sup> 10 minutes for 31-item,<sup>29</sup> 20 item<sup>9</sup> and 16 item<sup>10</sup> questionnaires and 5-108 10 minutes for a 29 item questionnaire.<sup>5</sup> Taking these measures into account it was estimated 109 110 that questionnaires of up to 35 items could feasibly be completed in 15 minutes. Tools 111 designed to be administered by a practitioner or completed independently by the patient were 112 both included.

113 Tools that assessed micronutrient intakes, protein intake, malnutrition screening tools or 114 those aimed at identifying hazardous drinking were excluded. Questionnaires for single food 115 groups, such as oily fish and pulses and fruit and vegetable questionnaires containing over 10 116 items, were considered to be of limited use in clinical practice and were excluded. Studies 117 were excluded if they only reported the use of a questionnaire during an intervention or 118 observational study, or described tools that were not tested for either reliability or relative 119 validity. Due to the limitations of time and cost, studies not published in English were excluded. It was not possible to obtain copies of 2 tools, despite contacting the institutions 120 where they were developed, so these tools were excluded from the review.<sup>30, 31</sup> A full list of 121 122 inclusion and exclusion criteria is available in the supplementary information (appendix 1).

#### 123 Data extraction

124 The data extraction form was developed by all authors and piloted with four studies. One

125 author (CE) extracted data from all studies. Data from 25% of studies were also extracted by

126 an independent reviewer for cross-checking.

127 Study characteristics

128 The following data were extracted: study design, study setting, sample size, population and

129 country. Age, gender, socio-economic status (SES), education, disease state and ethnicity

130 may all impact on the results of a relative validation study.<sup>32</sup> As such the sample profiles

131 were categorised.

132 *Questionnaire characteristics* 

133 Data were collected on the number of items, type of questions, scoring system and the

134 language of the tool, the method of administration and whether the tool was designed for a

135 specific population or for use in a particular setting.

136 *Questionnaire items* 

Data were extracted on item generation as it is important to know whether a questionnaire has
been tailored to the population of interest.<sup>4</sup> Data were extracted on whether a questionnaire
had been tested for acceptability (face validity, ease of use or an assessment of usefulness)
and readability.

141 Reliability and relative validity

Results were extracted from test-retest reliability studies determining whether tools were consistent over two or more administrations,<sup>33</sup> and from internal reliability studies determining whether items measuring the same dietary characteristic were consistent within a tool.<sup>34</sup> Data from relative validity studies were extracted. In true validation studies a new measure is compared with an accurate measurement of the truth, but this is very difficult for habitual diet.<sup>35</sup> The gold standard for dietary intake is a recovery biomarker such as doubly

labelled water, for energy intake, or urinary nitrogen for protein.<sup>36</sup> These are expensive to 148 149 administer, only available for a limited number of nutrients and inappropriate for brief questionnaires that do not measure the whole diet. Even direct observation is unsuitable as a 150 151 true measure of habitual diet in free living individuals due to the need for 24 hour, possibly covert, surveillance. Consequently, short dietary assessment tools are evaluated against 152 153 imperfect reference measures. These include self-reported dietary measures, for example food diaries, a longer FFQ or 24 hour recalls; a concentration biomarker such as plasma vitamin 154 levels,<sup>37</sup> or biomarkers of pre-clinical disease<sup>38</sup> such as blood lipids or anthropometric 155 measures. None of these are true measures of habitual intake. Dietary measures are subject to 156 157 measurement error, which vary depending upon the method. For example, those reliant on 158 memory, such as FFQs, are subject to recall bias whereas food records can change dietary behaviour.<sup>4</sup> The use of food tables for nutrient analysis further introduces error in both self-159 report and direct observation of diet.<sup>35</sup> Furthermore, if errors in the reference measure 160 161 correlate with errors in the new measure, for example if both methods are subject to recall bias, relative validity of the new measure could be overestimated.<sup>35</sup> Concentration biomarkers 162 and biomarkers of pre-clinical disease are affected by metabolic and lifestyle factors. For 163 example, levels of plasma  $\beta$ -carotene are determined by dietary intake but also by fat intake, 164 BMI, low density lipoprotein levels and smoking.<sup>37</sup> However, these biomarkers can provide 165 166 additional evidence of accuracy of a questionnaire when used in conjunction with other 167 reference measures.

168 Internal reliability is typically tested using Crohnbach's  $\alpha$  which assesses how closely items 169 correlate with each other.<sup>34</sup> Values of >0.70 indicate high internal reliability, although strong 170 correlation between items in a dietary questionnaire may not be required if each item is 171 designed to assess different aspects of the diet.<sup>39</sup> Test-retest reliability and relative validity 172 are commonly tested at the individual level using correlation statistics.<sup>35</sup> The use of mean

values alone can only assess these at the group level.<sup>40</sup> Correlation coefficients of  $\geq 0.4$  for 173 the nutrient of interest are considered to be adequate for food frequency questionnaires when 174 compared with another dietary reference measure.<sup>4</sup> Correlations of  $\leq 0.4$  are more usual when 175 FFQs are compared with a biomarker.<sup>37</sup> Studies calibrating long FFQs against other dietary 176 assessment methods such as food diaries have reported coefficients between -0.16 to 0.86 for 177 total fat in grams (mean 0.51), -0.01 to 0.71 for fruit and 0.16 to 0.72 for vegetables.<sup>41</sup> Test-178 retest reliability studies for long FFQs quote coefficients of 0.50 to 0.70 for energy, fat and 179 selected micronutrients.<sup>41</sup> 180

181 The practice of only examining the correlations between scores to determine test-retest 182 reliability or validity has been criticised and it has been recommended that the Bland Altman 183 method is used in conjunction.<sup>33</sup> Details of the statistical tests used were summarised.

184 <u>Results</u>

A total of 1802 separate records were identified, 1795 via the electronic databases and a 185 186 further 7 from hand searching references. One hundred and twenty two full text papers were screened and 47 met the inclusion criteria (figure 1). The development and testing of 35 tools 187 were described in these papers, although 2, the Block Fat, Fruit and Vegetable Screeners (B-188 F&FV)<sup>6</sup> and the Hispanic Fat, Fruit and Vegetable Screeners (H-F&FV).<sup>42</sup> can be split into 2 189 190 distinct sets of questions which provide scores for different aspects of the diet. In addition 2 different versions of 2 tools, the Rapid Eating Assessment for Patients (REAP<sup>29</sup> and REAP-191  $S^{14}$ ) and the Food Behaviour Checklist (FBC-T<sup>10</sup> and FBC-V<sup>43</sup>), are currently available and 192 the FBC-V has been translated into Spanish (FBC-SV) and evaluated<sup>32, 44</sup> One, the Fat 193 194 Related Diet Habits Questionnaire (FRDHQ), appears to have been used in several different versions. Papers describing relative validity testing of the 20-item and 24-item questionnaires 195 are detailed here <sup>21, 45-47</sup> although 21-<sup>48</sup> and 23-<sup>49</sup> item versions have been used in 196 interventions. The current version, available on-line, contains 25 distinct items 197

- 198 (http://sharedresources.fhcrc.org/documents/fat-related-questionnaire). For the purposes of
- 199 this review B-F&FV and H-F&FV were regarded as single tools, REAP and REAP-S and
- 200 FBC-T and FBC-V were regarded as distinct tools, with FBC-SV as a subsidiary to FBC-V.
- 201 All the versions of FRDHQ were regarded as one tool.
- Table 1 summarises the study and tool characteristics. Over half (n=20) were developed and tested in the USA or Canada with the remainder in European countries (n=10) and Australia or New Zealand (n=5).
- 205 Dietary assessment

Fifteen papers described 10 tools assessing healthy eating or healthy dietary patterns<sup>8, 10, 13, 14,</sup> 206 <sup>28, 29, 32, 43, 44, 50-55</sup> and 2 assessing adherence to the Mediterranean diet.<sup>13, 56</sup> Twenty-four 207 208 papers described 18 tools providing information on the intake of dietary fats or dietary behaviours associated with fat intake. Of these, 11 were specific for dietary fats alone,<sup>3, 12, 15,</sup> 209 <sup>20, 21, 39, 45-47, 57-64</sup> 1 assessed dietary fat and free sugars,<sup>65</sup> 4 assessed dietary fat and fibre 210 intakes<sup>5, 7, 9, 18</sup> and 2 assessed dietary fat and fruit and vegetable intake (although these can be 211 used separately as one screener for fat and one for fruit and vegetables).<sup>6, 42</sup> Four tools 212 assessed fruit and vegetable intake<sup>16, 17, 19, 66, 67</sup> and 1 assessed fruit intake alone.<sup>68</sup> With the 213 214 exception of questionnaires specific for fruit and vegetable intake, no tool was designed to 215 characterise diets by food groups, although 3 broader tools also provided a fruit and vegetable sub-score.<sup>10, 43, 50</sup> 216

Fifteen tools were short FFQs and asked questions on the frequency of consumption of
specific foods.<sup>3, 5, 6, 12, 13, 42, 58, 60, 69</sup> All of the fruit and vegetable questionnaires were in this
form.<sup>16, 17, 19, 66, 68</sup> Four exclusively asked about food behaviours, for example, "In the past
month how often did you eat fish or chicken instead of red meat?" or, "In an average week,
how often do you skip breakfast?"<sup>14, 18, 29, 45</sup> The remaining 16 contained a mixture of FFQ
and behavioural questions.<sup>7-10, 15, 20, 28, 39, 44, 50, 54-57, 59, 61</sup>

All except 6<sup>8, 10, 14, 29, 44, 52, 55</sup> were scored numerically, with a total score or subscales for separate nutrients or fruit and vegetable intakes. The 6 that were not scored in this manner give individual guidance for each item, and 2<sup>14, 29</sup> also provide a prompt sheet to aid advice.

#### 227 *Item generation*

Item generation was described for 27 tools, with 8 employing more than one method.

Fourteen were adapted from longer FFQs and other questionnaires,<sup>3, 7, 12, 14, 15, 18, 20, 39, 43, 50, 54,</sup>

230 <sup>56, 59, 69</sup> of which 6 were initially based upon other tools included in this review.<sup>14, 15, 18, 20, 43, 54</sup>

231 Six used national databases to identify foods most commonly consumed from a particular

category, or foods that contributed most to the nutrient of interest in the population of

interest.<sup>5, 42, 54, 57, 68, 69</sup> Seven used recommendations or clinical guidelines<sup>5, 10, 29, 53, 55, 56, 58</sup> and

4 were developed using an expert panel.<sup>9, 10, 45, 53</sup> Five were developed from data collected

from participants, either quantitative in the form of dietary patterns <sup>51</sup> or through qualitative

236 work.<sup>10, 18, 42, 54</sup>

Fourteen reported being evaluated in some way for acceptability to check that wording was

238 clear, questions were relevant and the general lay-out of the tool was appropriate. Four

employed cognitive interviewing,<sup>29, 32, 43, 51, 68</sup> 3 used survey methods,<sup>7, 50, 55</sup> 5 used

unspecified qualitative interviews<sup>10, 18, 42, 53, 58</sup> and 2 used unspecified pilot testing.<sup>20, 59</sup> Only

the FBC-T and the visual versions derived from it were evaluated for reading

242 comprehension.<sup>32, 43, 52</sup> The FBC-T and FBC-SV were of low reading difficulty and the colour

243 version of the FBC-SV was "very easy".

244 *Reliability and relative validity* 

Table 2 summarises the results of reliability and relative validity studies. Just over half the tools (n=18) were tested for test-retest reliability,<sup>7, 9, 18-20, 29, 39, 42, 44, 52, 55, 57-61, 69 with 1 being tested in 3 different samples.<sup>21, 45, 47</sup> Test-retest time varied from several hours<sup>7</sup> to 1 year<sup>18, 19, <sup>57</sup> and different studies employed different statistical tests, although correlations were most often used (14 tools).<sup>7, 9, 18-20, 29, 39, 42, 44, 45, 52, 55, 57, 59</sup> Test-retest correlation coefficients for total scores ranged from 0.59 <sup>21</sup> to 0.95.<sup>7</sup> Four studies did not calculate a total score but used individual items, group classifications or derived nutrient intakes from the screener as test-</sup></sup>

retest variables.<sup>52, 55, 58, 60</sup> One study<sup>61</sup> was evaluated exclusively at the group level. Internal 252 reliability was tested in 9 tools <sup>3, 8, 39, 44, 54, 58, 69</sup> with 2 employing more than 1 sample.<sup>10, 45-47</sup>, 253  $^{52}$  Values for Cronbach's  $\alpha$  were reported from 0.47  $^{54}$  to 0.83. $^{47}$  All tools were examined for 254 relative validity at the individual level against a reference measure except 1.<sup>42</sup> A number of 255 256 different reference measures, with a range of different times between tests, different test variables and different statistical tests were used to determine relative validity. No study 257 employed a recovery biomarker. Nine tools were compared with an FFQ that had previously 258 reported relative validity against food diaries or dietary recalls<sup>6, 9, 14, 15, 18, 55, 59, 60, 66</sup> and 13 259 were compared with food diaries<sup>5, 16, 50, 57, 61</sup>, recalls<sup>13, 17, 44, 54, 67</sup> or a diet history.<sup>58, 68</sup> One was 260 261 compared with a different brief questionnaire that had been previously tested for relative validity against 24 hour recalls.<sup>39</sup> Nine tools were compared with more than one reference 262 measure;<sup>8, 10, 12, 20, 21, 28, 29, 45-47, 52, 53, 56, 62-64, 69</sup> and 3 were compared with more than one dietary 263 reference.<sup>12, 21, 29, 45-47, 62-64</sup> Alongside a dietary reference, 4<sup>10, 28, 56, 58</sup> were compared with 264 biomarkers of preclinical disease,  $4^{28, 53, 56, 69}$  with anthropometric measures, and  $2^{10, 28}$  with 265 concentration biomarkers. Two did not use a dietary reference measure but compared change 266 in total score with change in BMI<sup>3</sup> and change in total score with change in plasma 267 carotenoids and plasma vitamin C.<sup>19</sup> The variation in study designs makes direct comparisons 268 between tools problematic, but total score (or fat score) from 11 tools<sup>5, 9, 12, 15, 18, 20, 21, 29, 45-47,</sup> 269 <sup>54, 59, 62-65</sup> were reported to have been compared with % energy from total fat from food 270 diaries or FFQs. Correlation coefficients ranged from 0.24<sup>46</sup> to 0.79.<sup>12</sup> Total scores from 2 of 271 these tools were compared with % energy from total fat from a dietary reference in more than 272 one population: the FRDHQ reported correlation coefficients ranging from  $0.24^{46}$  to  $0.60^{45}$ 273 and MEDFICTS from  $0.30^{63}$  to  $0.79^{12}$ 274 275 Table 3 gives an 'at a glance' summary of the characteristics of each tool, the evaluation

studies and provides information on access.

#### 278 <u>Discussion</u>

## 279 Main findings

This systematic review identified 35 tools with potential application to dietary assessment in clinical settings. Around half assess dietary fat intake, with or without other nutrients, a third assess the overall diet for healthy eating or adherence to the Mediterranean diet, and the remainder assess fruit and vegetable intake. More tools have been developed and evaluated in the USA than in any other country.

285 Fewer than half the tools reported evaluations for clarity of language and acceptability with 286 users. Due to the variation in methodology, it is not possible to determine if tools that were 287 evaluated for acceptability show greater reliability or relative validity than those that were not. However, best practice in food frequency questionnaire design involves pre-testing.<sup>41</sup> 288 289 All tools, except 1, were tested for relative validity against one or more reference measures, 290 although there was a wide variation in the design of studies, the variables used and the 291 statistical tests employed. Three quarters were tested against a different dietary reference 292 measure, with over a quarter using a FFQ or a different brief questionnaire. Since the 293 majority of brief questionnaires were themselves FFQs, or included many food frequency 294 questions, errors between the tools and the FFQs may have been correlated and the relative 295 validity of these questionnaires overestimated. Around half were evaluated for test-retest 296 reliability with similar variation in study design. This variation makes direct comparison 297 between tools difficult and as a consequence it is not possible to state that one tool is superior 298 for a particular nutrient or population. However, correlation coefficients for relative validity 299 against food diaries and biomarkers and those for reliability studies are similar to those 300 obtained in studies which evaluate longer FFQs against food diaries. This indicates that these 301 brief dietary screening tools can be expected to produce a fair approximation of dietary habits 302 and consequently could be of use in clinical practice for the dietary management of

303 cardiovascular disease, obesity and Type 2 diabetes. It is worth noting however, that few
304 tools reported sensitivity, specificity or predictive values<sup>28 55, 62-64, 66, 68</sup> and only 6 (17%) have
305 assessed sensitivity to change over time;<sup>3, 18-20, 39, 54</sup> therefore their utility in an intervention
306 setting is unclear.

307 Strengths and limitations of the review

308 The strengths of this review are the application of a systematic search strategy and systematic 309 data extraction techniques. Dietary assessment tools developed since Calfas et al's review in  $2000^{22}$  and validated tools that are not listed in the NIC registry have been identified and 310 311 described. Tools that were not included in study reports were obtained on-line or from the 312 original authors to ensure they met the inclusion criteria. The results are presented so that 313 clinicians and researchers can select available tools that are most suitable for their purposes. 314 The review has some important limitations. The piloting and use of dietary screening tools in 315 practice has not been examined, which means it is not possible to determine whether use of a 316 tool has a positive effect on patient behaviour. The inclusion and exclusion criteria were 317 developed for this review and assessment of whether a tool would be useful in clinical 318 practice was derived from the expert opinion of only 2 clinicians. Other reviewers or 319 clinicians may disagree with the criteria and may have included or excluded different brief tools. Calfas et al<sup>22</sup> judged that tools suitable for use in primary care would take 15 minutes to 320 321 complete or be around 50 items long but provided no justification for this. The current review 322 based an estimate of completion time on preliminary data obtained from brief dietary 323 questionnaires. We excluded tools assessing single food groups since there is limited clinical 324 benefit in a detailed assessment of one food group, with the exception of fruit and vegetable 325 intake. However, fruit and vegetable questionnaires of greater than 10 items were excluded 326 because increased patient burden reduces feasibility in clinical practice. Only peer-reviewed studies published in English were included. There may be evaluated tools that are used in 327

clinical practice in other countries, or that have not been peer-reviewed that have not been
identified here. However, due to the heterogeneity of studies, this would be unlikely to
change the broader conclusions of this review.

331 *Comparison with other studies* 

Calfas et al's review <sup>22</sup> used wider inclusion criteria than this current review and did not 332 333 consider whether a tool could be easily scored in practice. They identified 14 dietary assessment tools, of which 7 are included in the present review.<sup>5, 6, 11, 12, 15, 20, 55</sup> All measured 334 335 dietary fat, making comparisons between tools more straightforward. Four were evaluated for 336 test-retest reliability, with correlation coefficients ranging from 0.67 to 0.91. The 11 validated 337 tools were either validated against a food diary or a longer FFQ, and correlation coefficients 338 for % energy from fat ranged from 0.30 to 0.80. These ranges are similar to coefficients 339 reported in the current review.

340 In 2003, Kim et al reviewed tools reported as validated, containing up to 16 items, and designed to assess fruit and vegetable intake.<sup>70</sup> They identified 10 instruments, of which 1 is 341 included in the current review.<sup>17</sup> The remainder were excluded in the current review for 342 reasons of length or because the scoring algorithms were complex and unlikely to be used in 343 344 clinical practice. Tools were reported as validated against longer FFQs, food diaries or 24-345 hour recalls. Correlation coefficients for total fruit and vegetable intakes ranged from 0.29 to 346 0.80. Since the tools measured the same aspect of the diet, comparisons were possible and 347 this review concluded that more detailed tools that asked about portion sizes and the consumption of mixed vegetable dishes showed greater relative validity. Cade et al<sup>41</sup> also 348 349 comment that FFQs asking people to estimate their own portion sizes are more reliable. Only one tool included in the current review asks people to estimate their portion sizes by 350 providing a multiple choice list of three different sizes.<sup>12</sup> 351

352 All the studies previously reviewed used correlations alone to assess reliability and relative 353 validity. This remains the most common method and only 5 studies in the present review 354 made use of the Bland-Altman method. Correlation coefficients are not measures of absolute 355 agreement but are instead measures of relative agreement, assessing whether an individual has maintained their ranking relative to other participants. The intra-class correlation 356 357 coefficient (ICC) was used to evaluate 4 tools, but this measure has also been criticised and data simulations have shown that high correlations can be achieved with low absolute 358 agreement.<sup>71</sup> The Bland Altman method assesses limits of agreement (LOA) which define the 359 360 range that 95% of the differences between the measures lie within, and may include graphical 361 presentation of the data. Clinical knowledge must be used to decide if the LOA are acceptable.<sup>72</sup> Of the studies that used the Bland Altman method, one was published in 2002<sup>7</sup> 362 and the remainder after 2010, with 3 studies conducted by the same team.<sup>13, 56, 68</sup> 363

# 364 Clinical implications

365 It is important that clinicians are clear about their purpose when selecting a tool for use. In clinical practice, dietary assessment is required to assist in the provision of dietary advice or 366 to measure the impact of dietary intervention.<sup>4</sup> Brief dietary questionnaires used for the 367 former purpose are those that give clear guidance on moving to healthier dietary habits rather 368 369 than obtaining a detailed, quantitative assessment of an individual's diet. Assessment may be 370 focussed on certain nutrients to be disease specific or may be concerned with overall diet 371 quality. Typical questions from tools included in the current review include asking about the 372 frequency of consumption of sweet foods or savoury snacks, with responses ranging from less than once a week to more than 3 times a day. The answers can be used to target dietary 373 advice to the individual. Tools suitable for measuring the impact of a dietary intervention 374 375 must also be able to measure change.

376 This review provides evidence that tools developed and tested in one population may not have the same relative validity in a different population. Equally tools developed in different 377 countries will include different food items, also affecting relative validity. It should be noted 378 379 that English translations of tools developed in Spanish, French, Norwegian or Dutch have not 380 been validated and that older tools may no longer be appropriate due to shifts in food habits and processing.<sup>73</sup> In common with previous reviews<sup>22, 70</sup> studies with small sample sizes were 381 not excluded. Cade et al<sup>41</sup> report a wide range of sample sizes for relative validation studies 382 383 of long FFQs and found no difference in reported correlation coefficients between studies 384 with large sample sizes compared to small sample sizes. However, with small sample sizes, 385 confidence intervals are likely to be wide and consequently sample sizes of around 100 to 200 are advised.<sup>40</sup> Clinicians should consider the sample sizes of test-retest and relative 386 validation studies if tools are to be used 'off the shelf'. 387

388 Developers of future tools can enhance understanding of the development, relative validity 389 and reliability of tools by clearly describing: 1) how items were derived; 2) the population of 390 interest; 3) the characteristics of the sample for reliability and relative validation studies; 4) 391 the results of these studies; and 5) whether stratification by age, gender, ethnicity and 392 socioeconomic status affected results. Tools that are most helpful for clinical use need to 393 have a clearly described and simple scoring system, and ideally a copy presented in the paper 394 or in an on-line appendix for evaluation with clear information about copyright. Table 4 395 provides a checklist to assist practitioners when choosing a brief dietary questionnaire for 396 clinical use.

397 Conclusion

This review identified and summarised 35 short dietary assessment tools of potential use in
clinical practice for the dietary management of cardiovascular disease, obesity and Type 2
diabetes. In general, tools demonstrated adequate reliability and/or relative validity, although

- 401 around half have been developed and evaluated exclusively in US populations. It is not
- 402 possible to determine if any one tool is clearly better than another for a given population or
- 403 purpose due to differences in the design of reliability and relative validity studies. If tools are
- 404 to be used in different countries or populations, they need to be adapted and evaluated locally
- 405 to ensure they are reliable and have acceptable levels of relative validity.
- 406 Supplementary information is available on the European Journal of Clinical Nutrition's
- 407 website

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<u>Figure Legend</u> Figure 1: Prisma diagram. Brief dietary questionnaires