

Assessing the damaging effects of railway dynamic wheel loads on railway foundations

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1 **Assessing the Damaging Effects of Railway Dynamic Wheel Loads on Railway**
2 **Foundations**

3

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36 **ABSTRACT**

37 Dynamic train wheel loads, which can be significantly greater than static loads, occur
38 due a variety of factors and unless they are properly considered in track structural
39 design, significant unplanned maintenance and premature track failure may result.
40 This is particularly so for traditional ballasted railways built on soft foundations
41 because although ballast lends itself to maintenance, it is often problematic and costly
42 to repair damaged foundations. To address this, a rigorous combined
43 analytical-numerical approach is described to predict and characterize, for the first
44 time, the damage to which railway foundations can be subjected as a result of
45 dynamic loads. The approach marries a sophisticated three-dimensional dynamic
46 model of the train-track system incorporating vertical track quality, foundation soil
47 distress models, statistical analysis methods and results of field investigation.

48 The resulting analyses demonstrate that the magnitudes and distributions of
49 dynamic loads are a function of train speed and track quality and that specific
50 locations experience significantly higher amounts of damage which can lead to a
51 variety of track faults. The approach is illustrated via a study of a heavy haul
52 railway line in China where the wheel loads and tonnage carried are set to increase
53 significantly. The study suggests that the thickness of the ballasted layer would need
54 to increase by over 20% to prevent premature foundation failure provided that the
55 track is maintained in good condition, and by significantly more should the track
56 condition be allowed to deteriorate.

57

58 Keywords: Railway, dynamic loads, foundations, design

59

60 INTRODUCTION

61 The railway track is a structural system built to withstand the combined effects of traffic and
62 the environment for a pre-determined period of time, so that railway vehicle operating and
63 maintenance costs, passenger comfort and safety are kept within acceptable limits and the
64 foundation is adequately protected. Dynamic train loads induced by track irregularities and
65 vehicle characteristics can reduce significantly the life of the components of the structural system.

66 Although a number of international railway infrastructure operators have developed railway
67 track structural design standards they do not adequately take into account the spatial fluctuating
68 nature of dynamic loads [1]. By implication the use of these design standards may lead to the
69 under design of the structural system, premature failure of track components and its foundation,
70 unplanned maintenance, reduced safety and higher train operating costs.

71 To better understand the implications of dynamic loads on the railway system,
72 considerable research has been undertaken to measure dynamic loads in the field and also to
73 estimate, via laboratory analysis, their potential impacts on the deterioration of the railway
74 structural system. Methods have also been proposed which if used provide a means for enabling
75 the spectrum of dynamic train loads to be accounted for within railway track design, principally
76 by Eisenman [2] and Stewart and O'Rourke [3].

77 The method suggested by Eisenman [2] is based on studies of measured dynamic loads
78 and takes into account vehicle speed and track condition. For speeds of up to 60 km/h,
79 Eisenman found that dynamic loads followed a Gaussian distribution with a mean value which
80 was independent of the operating speed, V , but dependent on track condition, ϕ . At 60 km/h and
81 above the dynamic forces were found to be a function of both vehicle speed track condition.

82 Stewart and O'Rourke's method [3] relies on field measurements of dynamic loads. For
83 the analysis of the substructure they assume that a single load application comprises of the two
84 axles of the trailing and two of the leading axles of a pair of coupled wagons. To calculate the
85 distribution of the maximum loads, Stewart and O'Rourke assume that the maximum static train
86 load acts on the outer two axles of the configuration, whilst the inner two axles impart a high
87 dynamic load corresponding to a very low probability of occurrence (they suggest 0.01%)
88 determined from the field data. When the effects of fatigue loading on the foundation are to be
89 calculated, Stewart and O'Rourke suggest that a spectrum of loads should be determined from the
90 distribution of field measured loads. To achieve this, they propose that the frequency distribution
91 of measured loads should be divided into a number of bands of probability of occurrence (e.g. 0 -
92 5%, 5 - 10% etc.) and that a representative load application for design is determined for each.

93 The studies by Eisenman [2] and Stewart and O'Rourke [3] are based on empiricism, rely on
94 field measurement, which can be time consuming and expensive, and they overlook a number of
95 important factors. Both methods assume that the distribution of loads in the foundation matches
96 that of the surface wheel load distribution. However, the mathematical relationship between the
97 applied surface wheel load and the resulting damage to the foundation is non-linear and therefore
98 the statistical distribution of component damage does not match that of the surface loads. Further,
99 the deterioration at any point along the track structure depends on the accumulated damage due to
100 each passing wheel load which can vary in magnitude depending on the proximity of a track
101 irregularity. Dynamic loads due to track irregularities are likely to be highest in the vicinity of a
102 particular track irregularity, where they are likely to occur repeatedly leading to increased rates of
103 track deterioration at these locations.

104 Advances in computer modeling capability are enabling accurate and complex numerical
105 models of the railway train-track system to be built. These if used carefully can better help to
106 understand railway track system performance under dynamic loads in a variety of operating
107 conditions, thereby reducing the need for potentially time consuming and costly field and laboratory
108 trials. Numerical models have been developed to investigate a variety of track related issues

109 including those associated with the transition between stiff track structures and less stiff railway
 110 track [4-7], ground vibration [8, 9], seismic analysis [10], critical velocity [11] and the integrity of
 111 track components under dynamic loads [12-15].

112 However, very few numerical studies have been undertaken to investigate the relationships
 113 between dynamic wheel loads, track structural design and railway foundation deterioration along a
 114 section of railway track. To address this, this paper establishes a rational analytical-numerical
 115 procedure which enables dynamic wheel loads to be properly accounted for in the structural design
 116 of railway track, thereby preventing premature failure and unplanned maintenance. The procedure
 117 builds on that suggested for the analysis of highway distress [16]. It utilizes a three dimensional
 118 dynamic finite element model (FEM) of the railway train-track system incorporating track quality,
 119 foundation structural distress models and statistical analysis methods. The procedure is
 120 demonstrated via a case study of the Shuanghuang coal route in China.

121

122 THEORETICAL FRAMEWORK

123 The approach proposed consists of the following elements:

124

- 125 i) Structural distress models of the track foundation to determine the values of the critical
 126 stresses, strains and deflections in the materials which comprise the substructure as a
 127 function of the magnitude and number of load applications
- 128 ii) A 3-D dynamic FEM of the railway train-track system, incorporating a model of track
 129 quality variability, to enable stresses, strains and deflections to be computed as a
 130 function of dynamic train loads at specific locations in the track structural system (see
 131 Figure 1).

132

133 Structural distress models

134 *Railway Foundation Failure Mechanisms*

135 The track foundation becomes progressively damaged through the cumulative effects of traffic
 136 induced repetitions of stresses and strains. For fine-grained subgrade soils the resulting damage
 137 can manifest as progressive shear failure and / or an excessive rate of settlement [17].

138 Progressive shear failure occurs where cyclic stresses are sufficiently high and are applied for
 139 long enough to cause material to be sheared and remolded. An excessive rate of settlement
 140 occurs through plastic deformation of the subgrade and may cause a ballast pocket to form. For
 141 shear failure, the design problem can be considered to be putting a limiting value on the plastic
 142 strain, whereas for an excessive rate of settlement the design problem is to limit the amount of
 143 cumulative plastic deformation [17].

144

145 *Distress models*

146 For fine-grained subgrade soils, it is recognized that plastic strain is a function of the number of
 147 loading cycles, N , soil stress history and drainage conditions. Models to predict plastic strain, ε_p ,
 148 in fine grained materials are typically of the following form [18]:

149

$$150 \quad \varepsilon_p = CN^b \quad (1)$$

151

152 Where C is a constant related to the material properties.

153

154 To take into account soil physical state and type a modified version of Equation 2 has been
 155 suggested [17]:
 156

$$157 \quad \varepsilon_p = a \left(\frac{\sigma_d}{\sigma_s} \right)^m N^b \quad (2)$$

158
 159 Where a , b and m are material parameters determined from experiment, σ_d is the deviator stress
 160 and σ_s is the soil static strength.
 161

162 Noting that the permanent deformation, ρ , can be written as

$$163 \quad \rho = \int_0^T \varepsilon_p ds \quad (3)$$

164 where T is the thickness of the foundation.
 165

166 then:

$$167 \quad \rho = \int_0^T A \left(\frac{\sigma_d}{\sigma_s} \right)^m N^b \quad (4)$$

168
 169 *Phenomenological theory of cumulative damage*

170 The phenomenological theory of cumulative damage was advanced by Miner [19] to predict the
 171 fatigue life of materials subjected to fluctuating stress amplitudes. The theory states that the
 172 cumulative damage D , is the linear summation of damages, D_i , due to N_i applications at stress or
 173 strain level i :
 174

$$175 \quad D = \sum_{i=1}^r D_i = \sum_{i=1}^r \frac{N_i}{N_{f_i}} \quad (5)$$

176
 177 Where N_{f_i} is the number of applications to failure at stress, or strain, level i . Failure occurs when
 178 $D = 1$.

179 Using Equations 3 to 6, it is possible to estimate the proportion of the total life used at a location k
 180 on the railway track due to a single load application as follows:
 181

182 For shear failure:

$$184 \quad D_{sf}(k) = \sum_{j=1}^N \frac{1}{\left(\frac{\varepsilon_{psf}}{a} \right)^{\frac{1}{b}} \left(\frac{\sigma_s}{\sigma_d} \right)^{\frac{m}{b}}} \quad (6)$$

185
 186 where ε_{psf} is the plastic strain at failure.
 187

188 For plastic settlement:

$$190 \quad D_{pf}(k) = \sum_{j=1}^N \frac{1}{\left(\frac{\rho_{sf}}{A} \right)^{\frac{1}{b}} \int_0^T \left(\frac{\sigma_s}{\sigma_d} \right)^{\frac{m}{b}} dt} \quad (7)$$

191 where ρ_{sf} , is the amount of plastic deformation at failure.
 192 For the application given below, the value of the parameters used in equations 6 and 7 are shown in
 193 Table 1, together with a description of how they were obtained.
 194

195 APPLICATION

196 As part of China's solution to its current shortage of transport capacity it is increasing utilization on
 197 many of its heavy haul railway lines. One such line is the 588km long Shuanghuang railway, an
 198 important route in China's coal corridor, which runs from Shenchu in Shanxi province to the
 199 Huanghua port in Hebei province. The line currently carries between 30-40 million tonnes of coal
 200 annually at speeds of up to 75km/h. In order to satisfy predicted greater coal output the amount
 201 carried on the line is set to increase to 600 million tonnes/yr with an increase in train wheel loads
 202 from 125kN to 150kN [20].

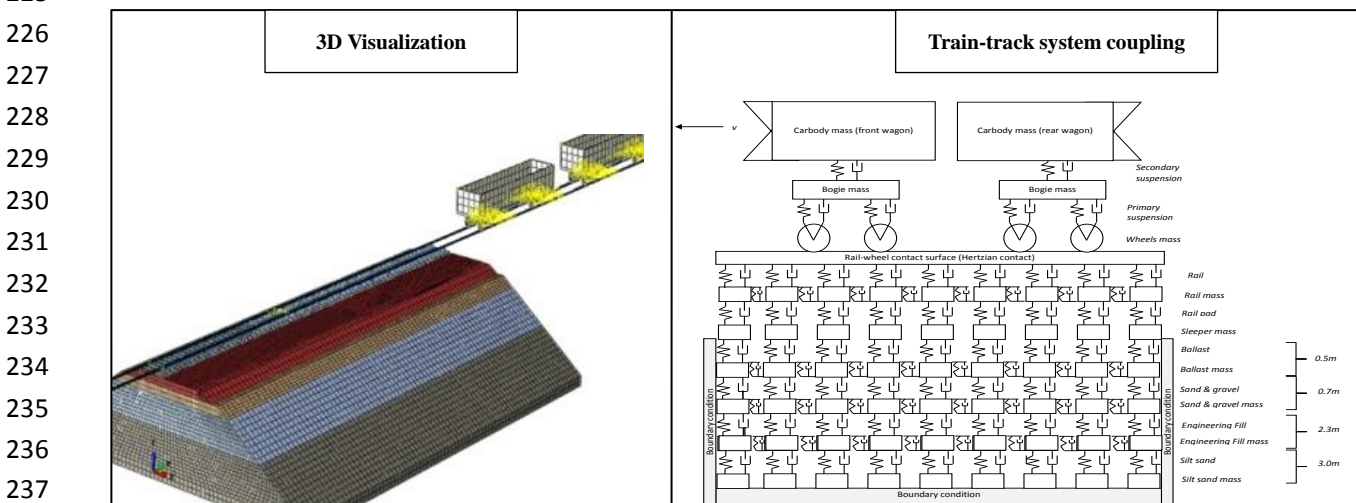
203 An extensive research project was undertaken to investigate the implications of the proposed
 204 increases in tonnage and loads on safety, track component damage, track structural design and
 205 maintenance. As part of the project a plain line (tangent) section of an embankment section was
 206 instrumented with accelerometers during its construction to measure train-induced accelerations in
 207 the rail, ties and the embankment at various locations [4]. The work presented here examines,
 208 using the suggested analytical-numerical procedure, the implications of the proposed increases in
 209 traffic on track structural design and maintenance.
 210

211 Numerical Model

212 A dynamic train-track FEM representing a train of wagons running on a plain line 100 m section
 213 of the embankment, incorporating a means to modify track quality, was built using the ABAQUS
 214 Explicit™ software. The model configuration of the model, consisting of 124, 357 elements and
 215 176, 268 nodes, is shown in Figure 1. The values of the material properties for each
 216 component of the model are given in Table 1. Material properties for modelling purposes. The track
 217 structure and vehicle models, the method used to incorporate track quality within the model and
 218 the model's validation are briefly described below.
 219

220 Track structure

221 The rails, ties, ballast and embankment were represented using solid eight-node elements with the
 222 material properties given in Table 1. The track substructure was modelled as a layer of ballast
 223 underlain by three discrete layers of sand and gravel, engineering fill and silt sand respectively to
 224 represent the embankment's construction according to Chinese design standards [21].
 225



238 **Figure 1 FEM representation of railway track system**

239 *Track quality*

240 Three vertical track profiles representing good, fair and poor track quality according to the US
241 Federal Railroad Administration classification system were represented within the FEM. The
242 vertical profiles were characterized within the FEM using a one-sided power spectral density
243 (PSD) function, $S_v(\Omega)$, suggested by Fries and Coffey [24]:

244

245
$$S_v(\Omega) = \frac{kA_v\Omega_c^2}{\Omega^2(\Omega^2 + \Omega_c^2)} \quad (8)$$

246

247 where Ω is the spatial frequency of the track irregularity (Hz), A_v is the roughness coefficient
248 ($\text{cm}^2 \cdot \text{rad/m}$) and k is the safety coefficient. The values of the coefficients in Equation 8 which
249 were used to represent the three track quality states are given in Table 1. The same profile was
250 used for both rails.

251

252

253

Table 1 Material properties for modelling purposes

Component	Property	Value	Note	
Freight Wagon	Mass of car body (Kg 10^3)	91.4	Two UIC Class T0AB freight wagons were modelled. The associated FEM parameters required for the FEM are as suggested by [22].	
	Inertia of car body (Kg m^2)	1.33×10^5		
	Mass of bogie (Kg)	496		
	Inertia of bogie (Kg m^2)	190		
	Mass of wheel (Kg)	1257		
	Primary suspension stiffness (MN/m)	13		
	Primary suspension damping (Ns/m)	3×10^5		
	Secondary suspension stiffness (MN/m)	4.4		
	Secondary suspension damping (Ns/m)	4×10^3		
Rail	Young's modulus, GPa	210	Parameters for the FEM chosen to match the type of rail installed in situ	
	Poisson's ratio	0.3		
	Density kg/m^3	7830		
Tie	Young's modulus, GPa	35	From Chinese railway design standards: TB10001: 2005: [21]	
	Poisson's ratio	0.22		
	Density kg/m^3	2600		
Fastener	Vertical stiffness, kN/mm	78	Values taken from those suggested by [9] for the analysis of a heavy haul line in China built to similar standards	
	Vertical damping kN.s/m	50		
	Horizontal stiffness, kN/mm	45		
	Horizontal damping ,kN.s/m	60		
Ballast (0.5m thick)	Resilient modulus, MPa	180	From Chinese railway design standards: TB10001: 2005: [21]. Note the ballast was assumed to be clean (i.e not fouled)	
	Poisson's ratio	0.27		
	Density kg/m^3	1650		
Track quality*	<u>Poor (FRA4)</u>	Ω_c ($cm^2.rad/m$)	0.8245	Track quality is typically represented by a one-sided power spectral density (PSD) function in numerical models of the track system. For this study the PSD suggested by Fries and Coffey [23] was selected. The values of the coefficients Ω_c , A_v and k selected for each track quality state are those suggested by Fries and Coffey [23] to represent good, fair and poor track
	<u>(max speed 96</u>	A_v ($cm^2.rad/m$)	0.5376	
	<u>km/h)</u>	k	0.25	
		Amplitude (mm)	30~40	

	<u>Moderate (FRA5) (max speed 128 km/h)</u>	Ω_c (cm ² .rad/m)	0.8245	quality according to the US Federal Railroad Administration classification system.
		A_v (cm ² .rad/m)	0.2095	
		k	0.25	
		Amplitude (mm)	10~15	
	<u>Good (FRA6) (max speed 176 km/h)</u>	Ω_c (cm ² .rad/m)	0.8245	
		A_v (cm ² .rad/m)	0.0339	
		k	0.25	
		Amplitude (mm)	5~6	
Layer 1: Sand gravel (0.7 m thick)	<i>Parameters for FEM</i>	Resilient modulus MPa	180	Initial modulus values determined from plate loading tests conducted in-situ on the 100 m section of the Shuanghuang line used for the case study. Thereafter an iterative process was used to obtain the final resilient modulus values by successively modifying the resilient modulus values in each of the three layers until the accelerations given by the model matched field measurements [24].
		Poisson's ratio	0.3	
Density kg/m ³		2300		
	<i>Parameters for distress model</i>	a	0.52	Determined from plastic deformation laboratory tests on material taken from the 100m section of the embankment on the Shuanghuang line used for the case study. Note although the material in layer 1 cannot be considered to be fine-grained, it was found that its permanent deformation characteristics could be modelled using an equation of the form given by Equation 7 [25]
		b	0.15	
		m	1.49	
		σ_s (KPa)	350	
Layer 2: Class A engineering fill (2.3 m thick)	<i>Parameters for FEM</i>	Resilient modulus, MPa	130	As for layer 1 [24].
		Poisson's ratio	0.3	
Density kg/m ³		2100		
	<i>Parameters for Distress model</i>	a	0.85	As for layer 1 [25]
		b	0.14	
		m	1.49	
		σ_s , kPa	200	
Layer 3: Silty sand (3 m thick)	<i>Parameters for FEM</i>	Resilient modulus, MPa	50	As for layers 1 and 2 [24].
Poisson's ratio, ν	0.25			
Density kg/m ³	1800			

<i>Parameters for Distress model</i>	<i>a</i>	0.64	As for layes 1 and 2 [25]
	<i>b</i>	0.1	
	<i>m</i>	1.16	
	σ_s, kPa	100	

255

*Note that the maximum permitted amplitudes of track quality deviations on main-line heavy haul railway track in many countries is limited to between 6 – 10 mm.

256 *Vehicle Model*

257 In accordance with analytical railway foundation design convention, the railway freight vehicle
 258 was represented by the leading and trailing bogies of two coupled wagons [3]. The coupled
 259 wagons were modelled using a multibody system consisting of a car body, bolster, frame and
 260 wheelset (Figure 1). The primary suspension system, connecting the wheels and the frame, and
 261 the secondary system were modelled using a series of linear springs and viscous dashpots. The
 262 rail-wheel interaction in the normal direction was modelled as a Hertzian contact (where
 263 separation is allowed resulting in a zero contact force), since it is widely used in FE analyses to
 264 represent the contact between spherical objects and deformable surfaces (such as the wheel and
 265 the rail respectively in railway applications [9]). Hertzian contact assumes that the contact
 266 surface between the wheel and rail increases as the deformation increases. The normal contact
 267 force $P(t)$ can be determined as follows [9]:

$$268 \quad P(t) = \left[\frac{1}{G} \Delta Z(t) \right]^{\frac{3}{2}} \quad (9)$$

270 where $\Delta Z(t)$ is the elastic compression between the rail and wheel (m). The contact constant G
 271 is given by $G = 3.86R^{-0.115} \times 10^{-8} \text{ m/N}^{3/2}$ where R is the wheel radius. The wheel-rail creep
 272 force, τ_{crit} , is given by:

$$273 \quad \tau_{crit} = \mu P \quad (10)$$

274 where μ is the coefficient of friction between the rail and the wheel.

275 To quantify the effects of fluctuating dynamic wheel loads, the maximum stresses due to the
 276 passage of a train were computed at discrete sections along the track sufficiently short in length to
 277 enable the peak stresses to be determined. The principle of superposition was used to calculate
 278 the effect of a train of wagons. It was found that superimposing the loads in this way increased
 279 the stresses by less than 5% compared to calculating the stresses due only to the two coupled
 280 wagons.

281 *Model validation*

282 To provide confidence in the outputs of the developed FEM, computed and field measured and
 283 accelerations and vibrations were compared at various positions in the track structure for a train
 284 travelling at 71 km/h [4]. Since the embankment section has been newly constructed the track
 285 quality in the FEM was considered to be perfectly smooth. Table 3 shows that the computed and
 286 measured values are generally in good agreement, albeit that the computed values are slightly
 287 higher. A reason for the slightly higher computed values could be attributed to the heavy rainfall
 288 which occurred just before the vibration and acceleration measurements, but after the field tests
 289 which were carried out to determine the properties of the materials in the embankment (see Table
 290 1).

291

292

293

299 **Table 2:** Comparison of modelled and field measured accelerations and vibrations

	Accelerations (max) (g)		Vibration (dB)	
	Computed	Field	Computed	Field
Rail	40.00	23-50	155.0	153.7
Ties	10.00	3-11	147.1	138.0
Foundation surface	1.0	0.3-0.8	109.6	102.5
Foundation at 2m depth	0.40	0.4-0.6	105.0	100.5

300

301

ANALYSIS

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Wheel forces

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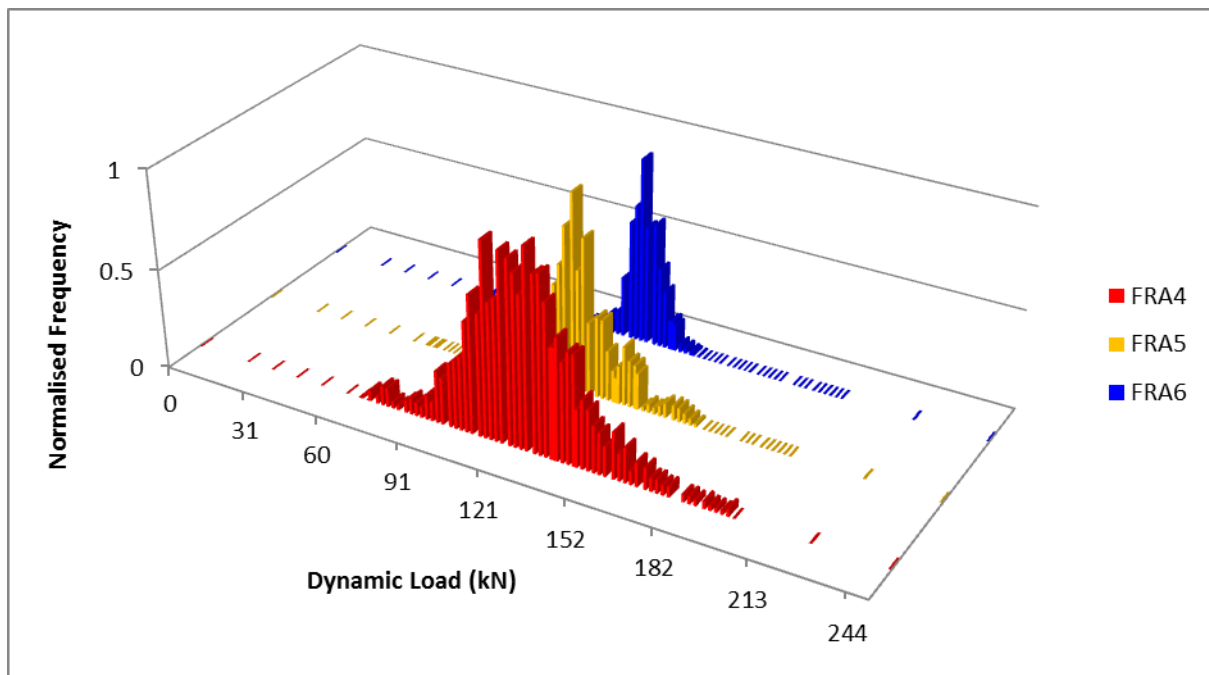
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Figure 2 shows the distribution of the magnitude of the maximum wheel forces at discrete sections along the embankment for a train of wagons with nominal wheel loads of 125kN travelling at 75km/h with the three track quality profiles. The distribution results from a combination of the variability in track quality and the presence of ties which cause the stiffness of the track system to vary along the track. The variability apparent in the distribution of loads reduces as the track quality improves, corroborating Eisenman’s experimental findings [2].

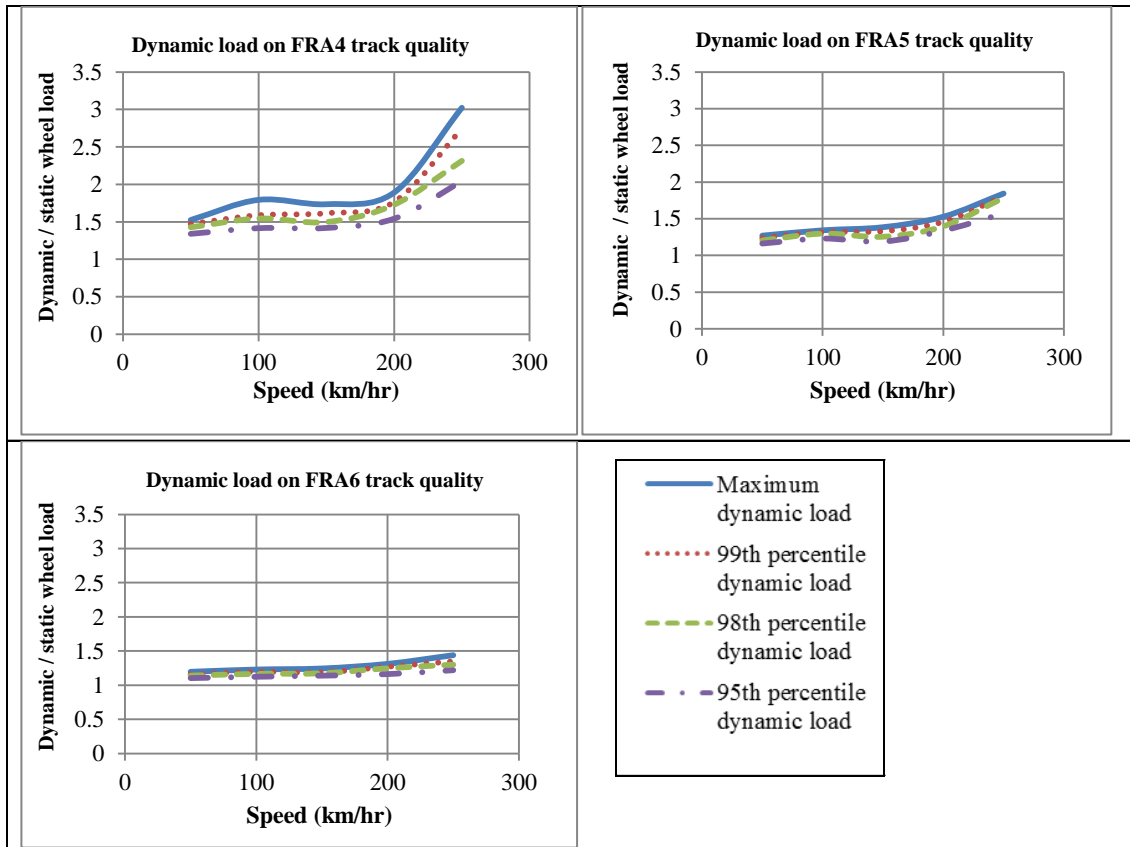


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320

Figure 2 Dynamic rail force distribution at a speed of 75 km/h

321 To demonstrate the effect of speed and track quality on the magnitude of the maximum
 322 wheel loads, Figure 3 shows the 95th, 98th and 99th wheel forces (normalized by the static wheel
 323 load) as a function of vehicle speed and track condition. As might be expected, the dynamic
 324 wheel load increases with both train speed and deteriorated track. For example, when the track
 325 quality is in good condition and for train speeds of 50km/h, 2% of the track experiences dynamic
 326 wheel loads of between 15%-20% greater than the static wheel load. For speeds of 250km/h the
 327 dynamic forces are between 35%-45% greater than for the static case. When the track quality is in
 328 poor condition the corresponding load increases are 44%-52% and 125%-205% respectively.
 329



330
 331 **Figure 3 Dynamic wheel force vs. speed**
 332

333 Figure 3 shows a local maximum dynamic load for all three track conditions at a vehicle
 334 speed of approximately 100km/h. For perfectly smooth track the critical speed is that which
 335 results in a wheel encountering a tie at a frequency which matches the resonant frequency of the
 336 track structural system [11]. This frequency is known as the tie-passing frequency. When this
 337 phenomenon occurs, the response of the track to successive loading cycles are in-phase resulting
 338 in an amplified track response. For imperfect track, Figure 3 shows that the magnitude of the
 339 amplified response is also related to the quality of the track and therefore the magnitude of the
 340 dynamic loads. The effect of resonance is particularly apparent for the poorest track quality
 341 (FRA4) when the amplitudes of the most extreme dynamic loads caused by the presence of large
 342 track irregularities are sufficient for the resonance to be apparent. The resonant frequency can
 343 vary from 30Hz to 2000Hz depending on a number of factors including [26]:

344

345

1. Vehicle characteristics:

346

a. Wheels, bogie and wagon spacing

347

b. Sprung and un-sprung mass, primary and secondary stiffness and damping

348

2. Track properties:

349

a. Track stiffness and damping of the different track components.

350

b. The mass of the track structure, i.e. ballast, sub-ballast and subgrade.

351

c. Tie spacing

352

353

Permanent strain and settlement in the embankment

354

The proportion of the amount of the life used by a single passage of the coupled wagon system in the three layers of the embankment was determined using equations 6 and 7 respectively. In accordance with the literature, permanent strain of 2% and 25mm of settlement in the embankment were taken to indicate failure according to the two measures of damage [27]. For a train travelling at 75km/h, the distribution in the Class A Engineering Fill layer of the embankment (i.e. the second layer) of fatigue life usage according to the strain and settlement criteria is shown in Figure 4.

360

361

In general it can be seen from Figure 4 that the variability and magnitude of the damage increases as the track quality decreases. It is also apparent that some sections along the embankment are subject to much greater damage than others (i.e. those sections which are in the vicinity of a particular irregularity). For example, for the second layer of the embankment when the track is in good condition the computed 99th percentile value of strain damage is approximately 1.7 times greater than the computed median value and 2.3 times greater than the median value when the track is in poor condition. Similarly, for the settlement criterion the 99th percentile value is approximately 1.4 times greater than the median value when the track is in good condition and 2.8 times greater when the track is in poor condition.

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Assuming the train and track operating conditions remain unchanged the same sections of track will experience these greater amounts of damage for every load cycle over the life of the track. The resulting localized settlement is likely to cause increased dynamic loads in the same vicinity thus accelerating further the accrued damage. An example is the occurrence of localized failures, such as wet spots which can become apparent on railways built on soft foundations. Wet spots are caused by the upward migration of fines into the ballast under dynamic loads leading to ballast fouling and poorly performing ballast. The resulting non-homogenous railway track stiffness leads to worsening track quality which will increase further the magnitude of dynamic.

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Constant tie spacing can result in resonance as mentioned above and therefore varying the spacing between ties could be a means of reducing localized track deterioration. In practice however, this is impractical for many railway infrastructure operators who use automated tie relaying systems and tamping machines. In the UK, for example, the practice is only employed at problematic sites where tie spacing is varied manually by +/- 5%. The approach advocated here can also be used to investigate alternative approaches to avoid resonance, such as determining permissible ranges of speeds at which specific types of vehicle can travel on particular sections of track.

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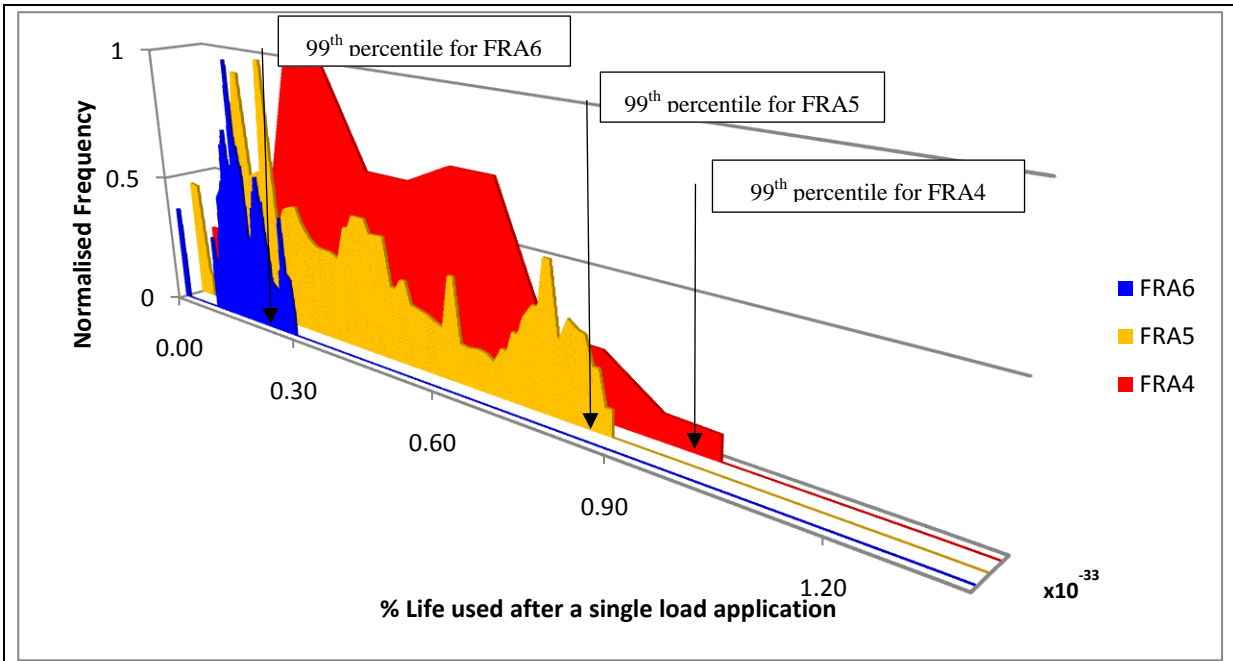
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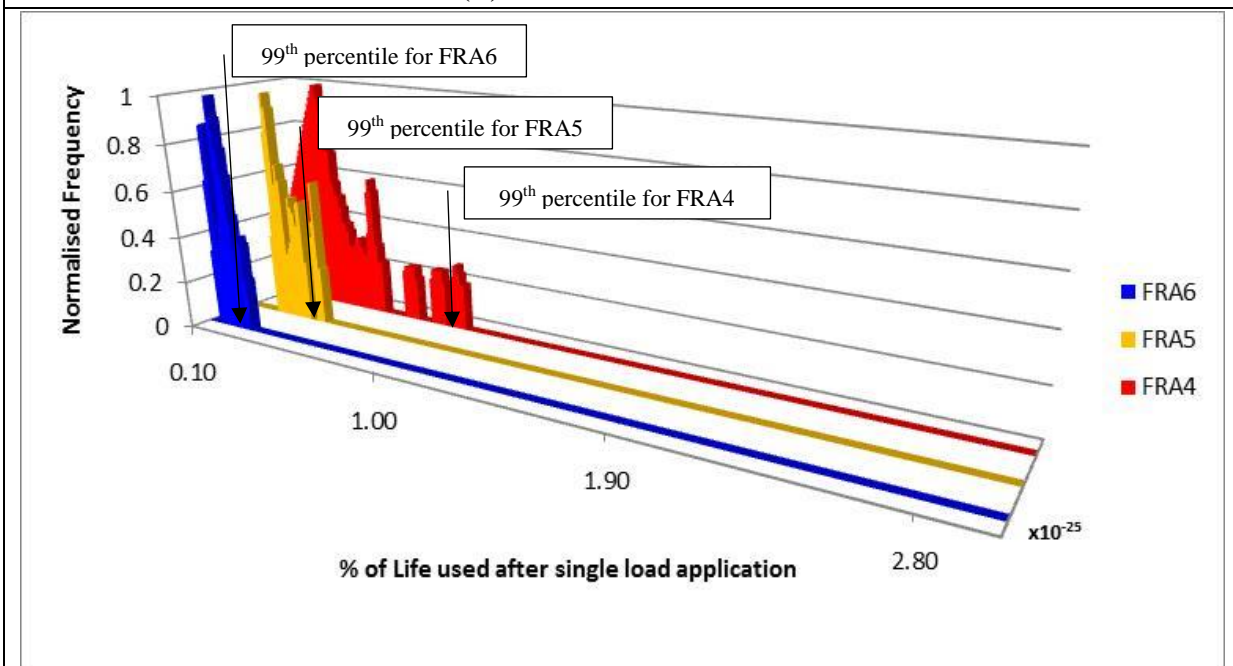
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(A): Plastic strain criterion



(B): Settlement criterion

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Figure 4 Distribution of fatigue life usage (damage) in second layer of embankment at a speed of 75 km/h

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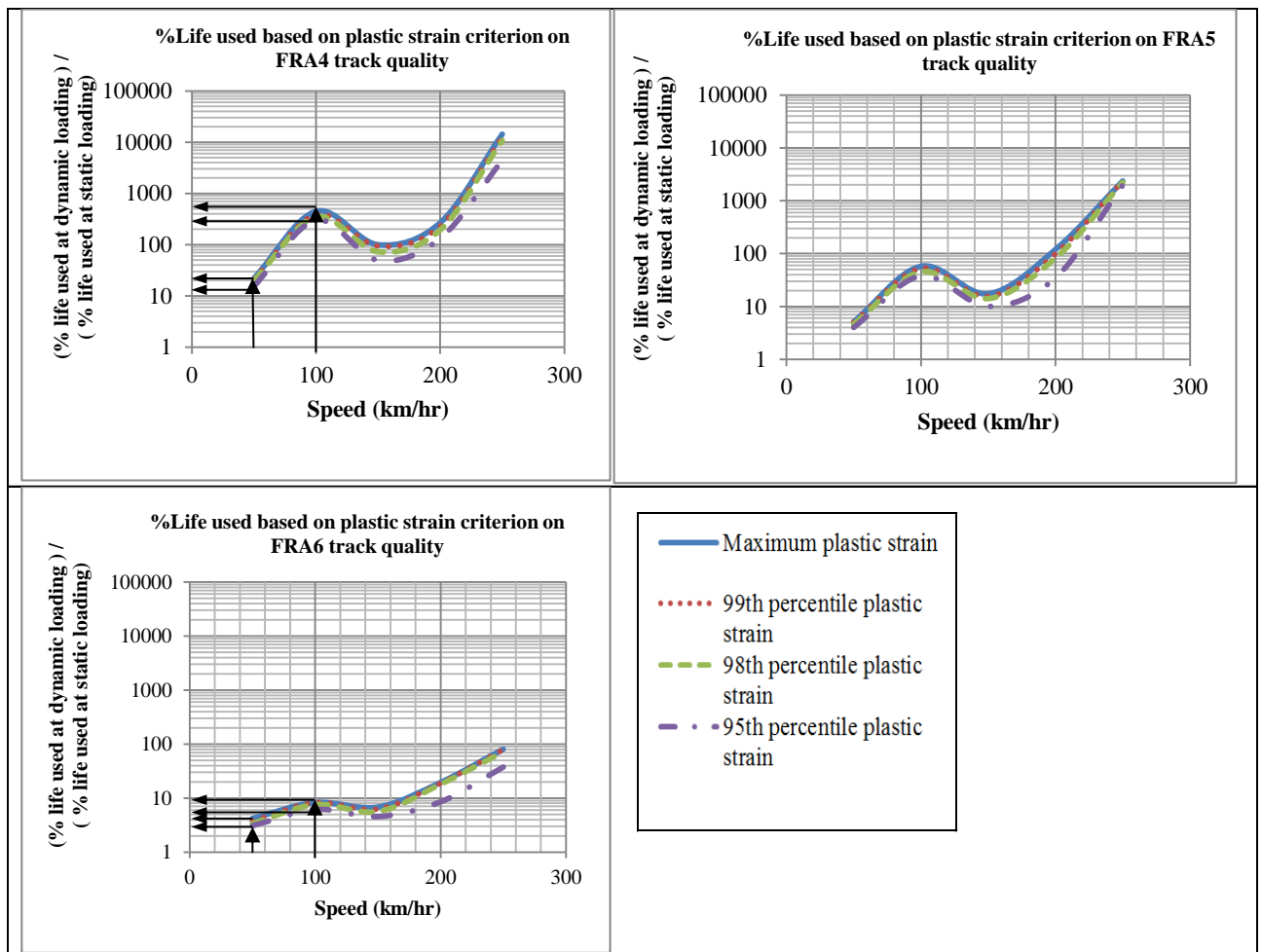
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The 95th, 98th, 99th and 100th percentile computed fatigue life usage for a single passage of the coupled wagon system as a function of speed and track condition, are shown in Figure 5 and Figure 6 according to the strain and settlement measures of damage. In both figures the values have been normalized by the fatigue life by the application of a single static load. The resonance effect is evident at vehicle speeds of approximately 100km/h.

397 As may be expected the damage in the second layer of the embankment increases with
 398 both train speed and reduced track condition. For example, when the track quality is in good
 399 condition (FRA6) and for train speeds of 50km/h, 5% of the area of second layer of the
 400 embankment is subject to between 3-4 times the plastic strain than would be caused by a static
 401 train load. For speeds of 100km/h, 5% of the area of the second layer is subject to levels of
 402 plastic strain which are between 6-8.5 times greater than caused by a static load. For track in
 403 poor condition (FRA4) the corresponding computed increases in plastic strain are between 14-20
 404 (50 km/h) and 300-450 (100 km/h) times respectively. Similar results can be observed for the
 405 permanent settlement measure of damage.
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Figure 5 Plastic strain vs. speed (second layer of the embankment)

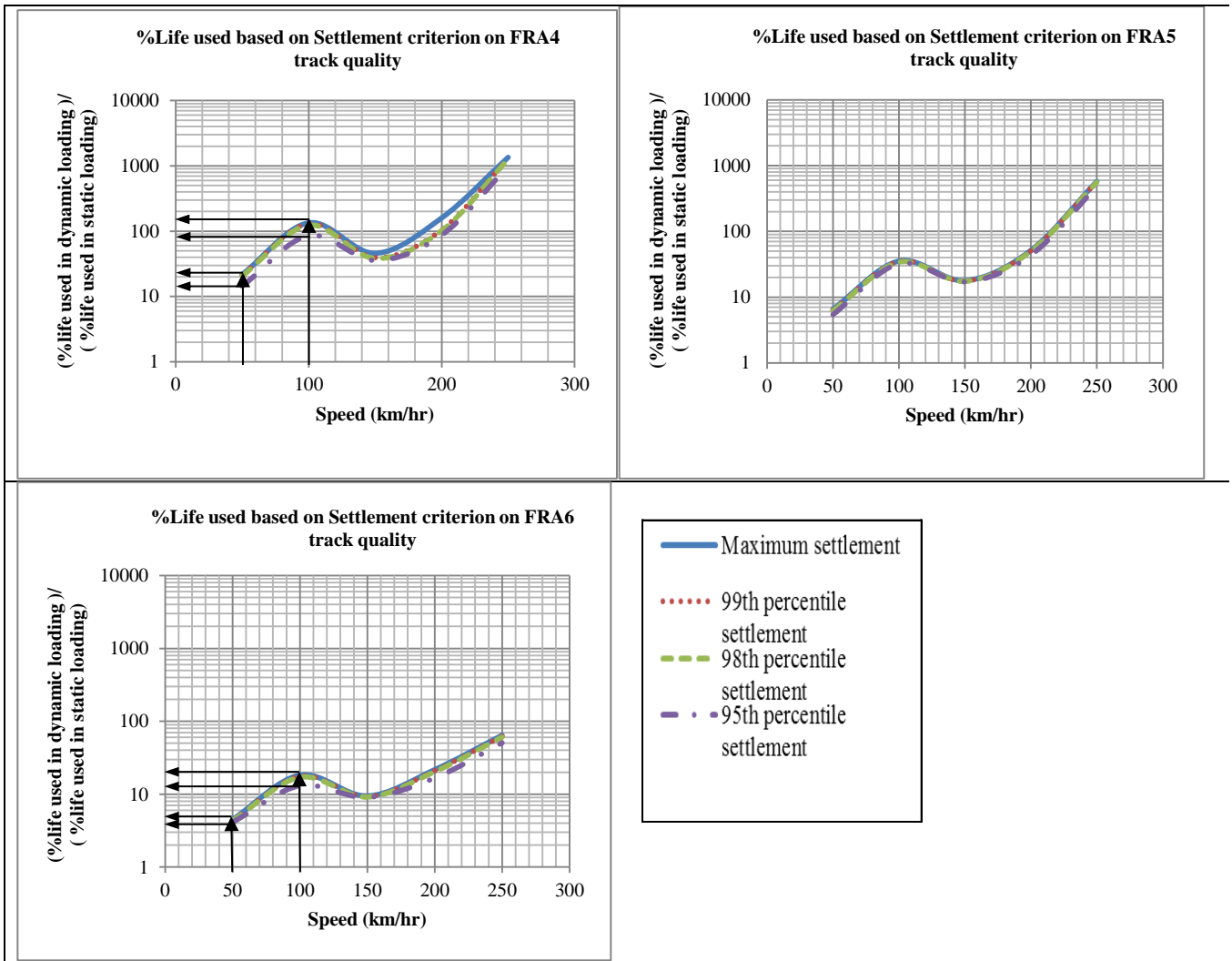


Figure 6 Total settlement vs. speed (second layer of the embankment)

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APPLICATION

To demonstrate the implications of the proposed changes to the Shuanguang line an analysis was carried out to compare the number of load cycles which each layer in the embankment could further undergo before failure. This analysis considered the plastic strain criterion under the following regimes:

- Existing regime (i.e. 40MGT/yr for trains with wheel loads of 125kN for another 90 years.

This is equivalent to $\frac{40 \times 10^6 \times 10^3 \times 90}{125/10 \times 8} = 3.6 \times 10^{10}$ load cycles (assuming 1 load cycle in the subgrade comprises of the leading and trailing axle axles of two adjacent wagons.)

- The proposed regime for the remaining 90 years of the life of the track (i.e. 600MGT/yr with wheel loads of 150kN which is equivalent to $\frac{600 \times 10^6 \times 10^3 \times 90}{150/10 \times 8} = 4.5 \times 10^{11}$ load cycles).

425 For the two regimes, the ballast was considered to be clean throughout the analysis. The
426 fractions of the remaining number of cycles to failure of each layer was calculated using Equation
427 6 and are shown in Table 3 normalized by the desired number of loading cycles. Under current
428 operating conditions, Table 3 shows that for all track speeds considered, except for 150km/h,
429 when the track quality is in a poor or fair condition the material in the second layer of the
430 embankment would fail prematurely. From Table 3 it can also be seen that for the proposed
431 heavier wheel load regime the second layer would fail prematurely no matter the train speed or
432 track condition. The upper layer of the embankment would also fail prematurely if the condition
433 of the track was maintained to anything other than a good condition, except for the case where the
434 train speed is limited to 75km/h. The effect of travelling at the critical speed on the reduction in
435 the life of the material in the embankment is also evident for the heavier wheel load.

436 The consequence of ballast maintenance on track life is evident by comparing the remaining
437 life under the three track conditions modeled. For example, the second layer will last between 4-8
438 times longer, depending on the speed of the train, if the track is in a good condition (FRA6)
439 compared to a fair condition (FRA5).

440 A further analysis determined the amount of additional granular material
441 (ballast/sub-ballast) required to reduce the deviator stress in the Engineering Fill layer so that 99%
442 of the track would not exceed the allowable fatigue life values. The resulting thicknesses are
443 given in Table 3 and demonstrate that significant additional amounts of granular material would
444 be required under the existing regime for all speeds if the track is not maintained in a good
445 condition. Should the proposed changes to the capacity of the line take place, then the study
446 suggests that an additional thickness of at least 110mm of the granular layer would be required,
447 provided that the track is maintained in good condition.
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Table 3: Remaining number of cycles to failure (according to plastic strain criteria) and additional ballast thickness requirements

	Sand / gravel layer						Engineering fill layer						Silty sand layer						Additional granular layer (mm)					
	FRA4		FRA5		FRA6		FRA4		FRA5		FRA6		FRA4		FRA5		FRA6		FRA4		FRA5		FRA6	
Load (kN) Speed (km/h) 75	125	150	125	150	125	150	125	150	125	150	125	150	125	150	125	150	125	150	125	150	125	150	125	150
	8.58	0.66	41.2	1.87	123	5.06	0.13	0.009	0.64	0.03	2.29	0.12	2480	32.1	12500	114	45300	419	105	280	20	185	0	110
100	2.43	0.19	13.8	0.63	81.25	3.33	0.02	0.002	0.22	0.01	1.61	0.08	368	4.78	3700	33.8	27000	251	220	420	75	260	0	130
125	5.55	0.21	29.3	0.69	113	3.56	0.06	0.003	0.55	0.01	2.03	0.06	263	4.02	2420	18.8	8900	88.2	160	375	25	250	0	150
150	13.68	0.24	66.5	0.76	160	3.80	0.18	0.004	1.52	0.01	2.58	0.04	191	3.38	1570	10.3	2680	30.9	90	335	0	245	0	175
175	8.33	0.15	25.8	0.30	97.25	2.12	0.12	0.003	0.54	0.00	1.38	0.02	92	1.63	398	2.62	1020	13.4	110	365	26	320	0	205

451

452 CONCLUSIONS

453 A novel rigorous analytical-numerical approach has been provided to take into account the spatial
454 fluctuating nature of dynamic wheel loads within railway track structural design methods. Such an
455 approach helps to ensure the adequate design of the structural system and thus facilitates the safe
456 operation of the railway track, prevents premature track failure and therefore unplanned
457 maintenance, and reduces train operating costs.

458

459 A number of conclusions may be drawn from the study as follows:

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- 461 1. The magnitude of dynamic loads is a function of the train speed, axle load and track
462 quality and specific locations along the track, corresponding to areas of poorer track
463 quality, experience significantly higher dynamic loads. A natural frequency of vibration
464 of the track structure was identified which corresponds to the tie passing frequency and is
465 a function of the magnitude and wavelength of track irregularities.
- 466 2. The importance of ensuring good track quality is evident. Increased dynamic loads
467 resulting from poor track quality can lead to localized increased rates of foundation
468 deterioration and may lead to other types of track failure. This can cause a cycle of
469 worsening track quality which in turn increases the localized dynamic loads.
- 470 3. The case study analysis of the Shuanghuang line, although relatively simplistic in that it
471 assumed amongst other things constant train speed and track quality condition over time,
472 showed that, provided that the track is maintained in good condition, an additional 20% of
473 granular layer material would be required to prevent premature embankment failure.

474

475 A number of other causes of dynamic loads could be considered within an enhanced version of the
476 model, thereby further increasing the accuracy of any analysis. These include out of round wheels,
477 rail irregularities, fouled ballast and hanging ties. Furthermore it is recognized that the approach
478 advocated requires the use of a number of parameters associated with the FEM and the deterioration
479 models which need to be selected carefully for the conditions at hand.

480

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487

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