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# Time-dependent behaviours of railway prestressed concrete sleepers in a track system

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## 10 **Abstract**

11 The main functions of railway sleepers are: (i) to safely transfer loads from wheel axles to foundation;  
12 (ii) to secure both rails ensuring correct track gauge at all time; and, (iii) to restrain movements of rails  
13 to control longitudinal creeps. In reality, railway industry can experience costly problems of railseat  
14 twists and tight gauges, for instance, due to time-dependent actions and poor workmanship. These  
15 problems prevent trains to navigate over tracks safely, effectively and quietly. They require additional,  
16 expensive maintenance activities much more frequently over time such as rail renewal, rail  
17 reprofiling/grinding, rail pad replacement, curve lubrication adjustment, etc. On this ground, it is very  
18 important to maintain the geometry and topological/dimensional stability of railway sleepers. The long-  
19 term geometric performance of sleepers can be significantly influenced by time-dependent actions and  
20 behaviours. The creep and shrinkage in prestressed concrete sleepers result in internal actions that is  
21 led to geometric deformation, which change the rail gauge and influence the safety and reliability of  
22 track components. Time-dependent behaviours also lead to increased complex internal stresses, which  
23 can cause cracking on prestressed concrete railway sleepers. The cracks stemming from creep and  
24 shrinkage can be observed in real life, at a certain time after construction, along the sleepers and near  
25 the fasteners such as anchorage, bolt holes, and web openings. In this study, unprecedented  
26 experimental and numerical investigations are conducted to evaluate time-dependent behaviours of  
27 full-scale prestressed concrete sleepers. An empirical calculation method is also introduced and the  
28 empirical results are compared with both experimental and numerical results. Insights into creep and  
29 shrinkage effects are highlighted in order to essentially aid predictive and preventative track  
30 maintenance, supporting the effective and efficient decision making of both (i) engineers and  
31 manufacturers and (ii) infrastructure managers and owners.

32 **Keywords:** prestressed concrete sleeper, creep, shrinkage, time-dependent behaviour, finite element  
33 method (FEM), numerical analysis, experiment, Eurocode

34

35

## 36 1 Introduction

37 Railway transportation is believed to be the safest transportation system for both passengers and goods  
38 and provides a safe, economical, and comfortable ride. Ballast railway track is the most common  
39 railway track structure used around the world. Typical ballasted railway track can be categorized into  
40 superstructure and substructure, as shown in **Figure 1**. The superstructure consists of rails, rail pads,  
41 prestressed concrete sleepers, and fastening systems. The substructure includes ballast, sub-ballast, and  
42 formation. It is important for both the superstructure and sub-structure to ensure the safety and comfort  
43 of the ride. The structural element that distributes vertical loads from rails to the substructure is the  
44 sleeper. Traditional railway sleepers can be manufactured from timber, concrete, steel, and any other  
45 engineered materials. Prestressed concrete sleepers are the most popular type in railway track around  
46 world because of its structural performance [1-20]. The main functions of sleepers are: to maintain rail  
47 gauge; to transfer and distribute vertical loads from rails to the underlying ballast bed; to restrain  
48 longitudinal, lateral, or vertical movement; and to hold and support rails [21].

49 Long-term performance of prestressed concrete sleepers can be influenced by many factors, such as  
50 deterioration, cracking from dynamic loads, fatigue, and environmental or chemical degradation [22,  
51 23]. Sadeghi, J evaluated railway sleepers for its sufficient strength to sustain the environmental and  
52 traffic loads. Improvements by the incorporation of long-term effect were suggested in railway sleeper  
53 design [24]. Time-dependent behaviour can also significantly influence long-term performance of  
54 prestressed concrete. Creep and shrinkage can cause cracking of railway sleepers due to loss of  
55 prestress, deterioration, and increasement of internal pressure, which reduces the service life.

56 Creep and shrinkage of concrete were discovered in the early 20<sup>th</sup> century and have many very complex  
57 influential factors. Creep is the increasement of strain over time when the concrete structure is loaded.  
58 Shrinkage is the volume of concrete structure being reduced due to loss of water [25]. In recent decades,  
59 many researchers have tried to investigate mechanisms of creep and shrinkage, but they still cannot be  
60 fully understood.

61 The most important aspects to investigate when it comes to creep and shrinkage are material and  
62 structural analysis. In terms of material, researchers are trying to find more accurate predict model. In  
63 structural terms, the effect of creep and shrinkage will be studied. A number of experiments has been  
64 conducted to study creep and shrinkage in recent decades. There has been an improvement in the  
65 theoretical prediction and the analysis of the effects of creep and shrinkage. Presently, various design  
66 codes are predicting time-dependent behaviour such as Eurocode 2, ACI, and the Australian Standard.  
67 However, each code considering different parameters focuses on regional situation.

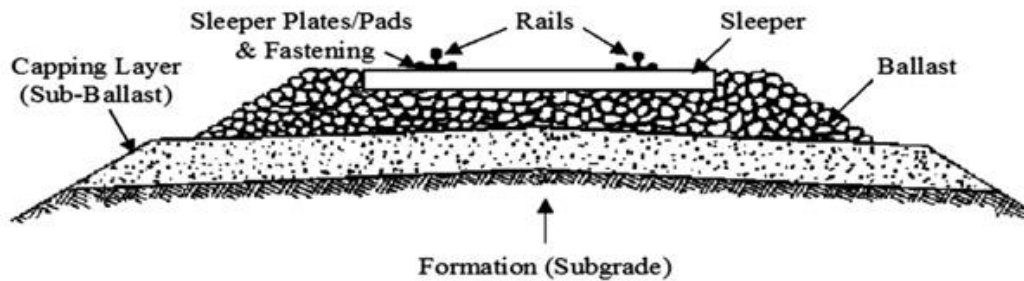
68 Investigators usually believe that creep and shrinkage have significant effects on long-span concrete  
69 structures. Most research on creep and shrinkage has focused on prestressed concrete bridge girders.  
70 W. He [26] stated creep and shrinkage affect camber development in prestressed bridge girders. Byle  
71 et al [27] monitored the long-term deformation of pretensioned high performance concrete bridge  
72 girders. Beam cambers and deflections, concrete strains, and concrete temperatures were monitored on  
73 girders in the bridge to estimate long-term behaviour. The analytical time-step method was used to  
74 predict time-dependent behaviour. A multi-scale method was utilized by Lopez [28] to investigate  
75 time-dependent deformation of prestressed concrete girders.

76 However, few researchers investigate time-dependent behaviour of prestressed concrete railway  
77 sleepers. The design process and effect factors are considered very different from prestressed concrete  
78 girders. Therefore, time-dependent behaviour of railway sleeper cannot be analysed like bridge girders.

79 Prestressed concrete sleeper is a very important component of railway track systems. As a prestressed  
 80 concrete structure, the performance can be influenced by time-dependent behaviour. In the long-term,  
 81 the deformation due to time-dependent behaviour can induce rail change. This deformation also leads  
 82 to loss of prestress and reduces the capacity of concrete. Structural damages occur, such as cracks,  
 83 which are the warning signs of structural failure.

84 This study focuses on deformation due to time-dependent behaviour because the critical dimension of  
 85 railway sleepers can be significantly affected by creep and shrinkage. In this paper, a numerical study  
 86 is rigorously executed to comprehensively evaluate time-dependent deformation on prestressed  
 87 concrete sleepers. Experimental studies of reliability concepts for the time-dependent deformation are  
 88 presented. The prediction concept based on Eurocode 2 is introduced as a method of theoretical analysis.  
 89 The research also carries out statistical and probabilistic studies to investigate time-dependent  
 90 behaviour.

91



92

93 **Figure 1.** Ballasted railway track structure

## 94 **2 Theoretical time-dependent behaviour prediction method**

95 Eurocode 2 [29] describes prediction methods of creep and shrinkage, which are utilised to calculate  
 96 the theoretical results of creep and shrinkage.

### 97 **2.1 Creep**

98 The total creep strain  $\varepsilon_{cc}(t, t_0)$  of concrete due to the constant compressive stress of  $\sigma_c$  applied at the  
 99 concrete age of  $t_0$  is given by:

$$100 \quad \varepsilon_{cc}(t, t_0) = \varphi(t, t_0) \times \frac{\sigma_c}{E_c} \quad (1)$$

101 where:

102  $\varphi(t, t_0)$  is the final creep coefficient;

103  $\sigma_c$  is the compressive stress.

104  $E_c$  is the tangent modulus.

$$105 \quad \varphi(t, t_0) = \varphi_{RH} \times \frac{16.8}{\sqrt{f_{cm}}} \times \frac{1}{(0.1 + t_0^{0.20})} \quad (2)$$

$$106 \quad \varphi_{RH} = 1 + \frac{1-0.01 \times RH}{0.1+h_0^{0.333}}, f_{cm} \leq 35MPa \quad (3)$$

$$107 \quad \varphi_{RH} = \left(1 + \frac{1-0.01 \times RH}{0.1+h_0^{0.333}} \alpha_1\right) \alpha_2, f_{cm} > 35MPa \quad (4)$$

$$108 \quad \alpha_1 = \left(\frac{35}{f_{cm}}\right)^{0.7}, \alpha_2 = \left(\frac{35}{f_{cm}}\right)^{0.2} f_{cm} = f_{ck} + 8MPa \quad (5)$$

$$109 \quad t_0 = t_{0,T} \left(\frac{9}{2+t_{0,T}^{1.2}}\right)^\alpha \geq 0.5, \alpha = \{-1(S), 0(N), 1(R)\} \quad (6)$$

110 where:

111  $\varphi_{RH}$  is the relative humidity coefficient.

112  $RH$  is relative humidity in percentage;

113  $h_0$  is the ratio of cross-sectional area and perimeter of the member in contact with the atmosphere,

$$114 \quad h_0 = 2A_c/u;$$

115 S, R and N refer to different classes of cement.

## 116 2.2 Shrinkage

117 The total shrinkage strain  $\varepsilon_{cs}$  can be given by:

$$118 \quad \varepsilon_{cs} = \varepsilon_{ds} + \varepsilon_{as} \quad (7)$$

119 where:

120  $\varepsilon_{ds}$  is drying shrinkage strain;  $\varepsilon_{as}$  is autogenous shrinkage strain.

121 The drying shrinkage strain  $\varepsilon_{ds}$  can be estimated by:

$$122 \quad \varepsilon_{ds} = \beta_{ds}(t, t_0) \times \varepsilon_{cd0} \times k_h \quad (8)$$

$$123 \quad \varepsilon_{cd0} = 0.85[(220 + 110\alpha_{ds1}) \times \exp(-\alpha_{sd2} \times 0.1f_{cm})] \times 1.55[1 - (0.01RH)^3]10^6 \quad (9)$$

$$124 \quad \beta_{ds}(t, t_0) = \frac{(t-t_s)}{(t-t_s)+0.04\sqrt{h_0^3}} \quad (10)$$

125 where:

126  $k_h$  is coefficient which depends on the national size  $h_0$ ;

127  $RH$  is relative humidity in percentage;

128  $h_0 = 2A_c/u$  in mm,  $A_c$  is cross sectional area,  $u$  is the perimeter of the member in contact with the  
129 atmosphere;

130 The values of parameter  $\alpha_{ds1}$  and  $\alpha_{ds2}$  as a function of the type of cement are shown as **Table 2**.

Cement type	$\alpha_{ds1}$	$\alpha_{ds2}$
S	3	0.13
N	4	0.12
R	6	0.11

131 **Table 2.** Cement type and coefficient

132 The autogenous shrinkage strain  $\varepsilon_{as}$  can be calculated from:

133 
$$\varepsilon_{as} = \beta_{as}(t) \times \varepsilon_{ca}(\infty) \quad (11)$$

134 
$$\varepsilon_{ca}(\infty) = 2.5 \times (f_{ck} - 10) \times 10^{-6} \quad (12)$$

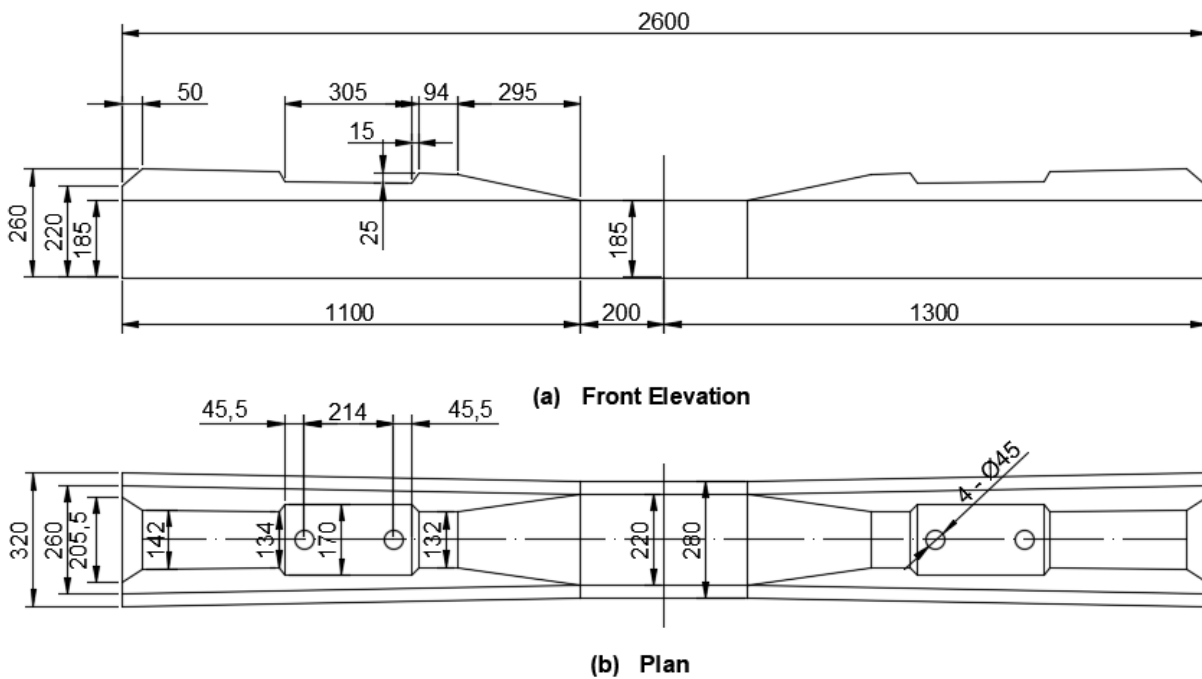
135 
$$\beta_{as}(t) = 1 - \exp(-0.2t^{0.5}) \quad (13)$$

136

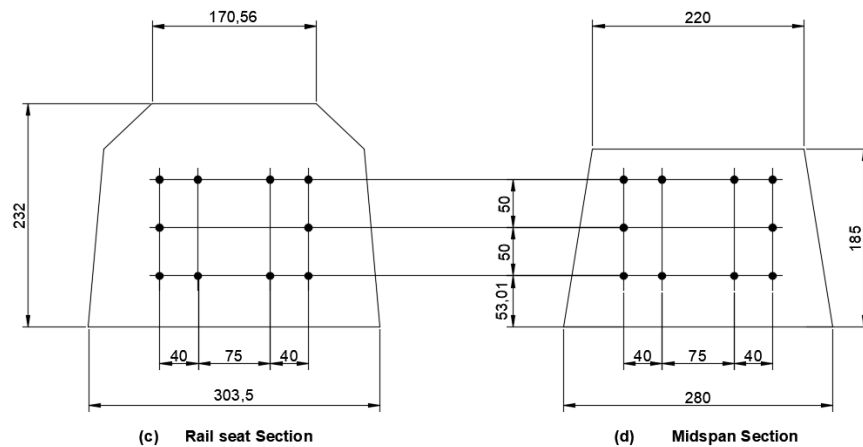
### 137 3 Type III prestressed concrete sleeper details

138 In this study, the 2600 mm long Chinese Type III prestressed concrete sleeper are analysed. The  
 139 geometric details of the sleeper are shown in **Figure 2**. Dimension information for the selected  
 140 prestressed concrete sleeper is shown below:

- 141 (a) Track gauge: 1435 mm;  
 142 (b) Concrete strength class: 42.5  
 143 (c) Distance between rail seats: 1818 mm



144



145

146

**Figure 2.** Chinese Type III prestressed concrete sleeper geometric details

147

Cement type	Basic variables	Value
Concrete properties	Mean compressive strength	65Mpa
	Modulus of elasticity	33Gpa
	Yield strength	1570Mpa
	Modulus of elasticity	200Gpa

148

**Table 3.** Material properties

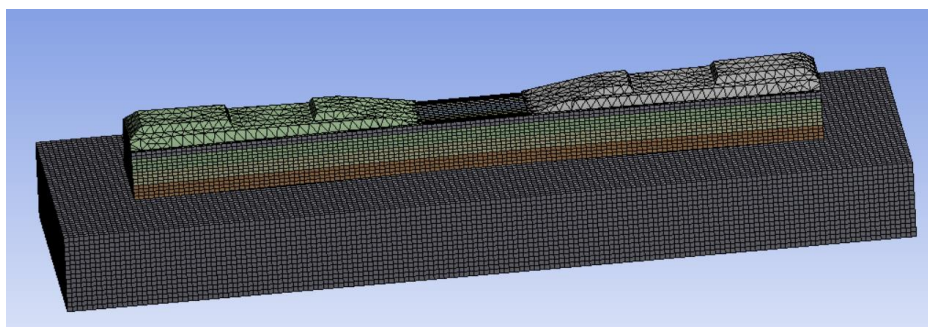
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## 151 4 Finite element modelling

### 152 4.1 Finite element model details

153 The finite element models in this paper are developed by Ansys Workbench. The model consists of  
 154 three parts: sleeper, ballast, and tendons. The finite element model is shown in **Figure 3**. In this research,  
 155 concrete and ballast (block) are modelled as solid elements. Prestressed tendons are modelled as beam  
 156 elements. In this FE model, concrete, prestressed tendons, and ballast are assumed to have perfect  
 157 contact. The material parameters of FEM are shown in **Table 4**.



158

159

**Figure 3.** Finite element model of Chinese Type III prestressed concrete sleeper

160

Parts	Modulus of elasticity (Mpa)	Density (kg/m <sup>3</sup> )	Poisson's ratio
Sleeper	33000	2400	0.23
Ballast	33000	2400	0.30
Tentons	200000	9800	0.30

161

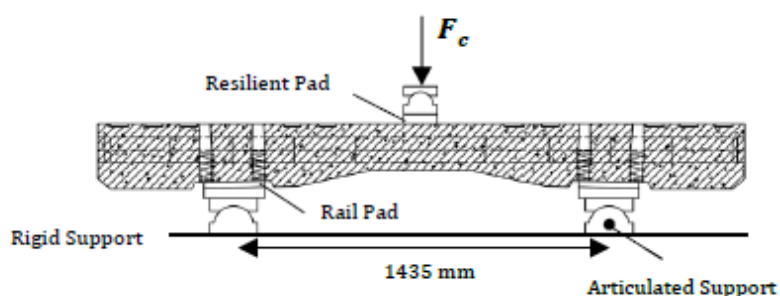
**Table 4.** Material parameters of FEM

## 162 4.2 Element types, boundary conditions and loading

163 In total, the model consists of 33921 nodes and 12246 elements, with most of these elements being 10-  
 164 node tetrahedron elements. In the model, the boundary conditions are No Separation, where the  
 165 concrete, prestressing tendons, and ballast are assumed to be well adhered. The bottom interface of  
 166 ballast is set as fixed support. In this study, the external loads are not considered for time-dependent  
 167 behaviour. Therefore, the Standard Earth Gravity is applied at the FEM. The Thermal Condition is  
 168 used to simulate prestressing force transfer.

## 169 4.3 Finite element sleeper model validation

170 In this step, the static capacity test of sleeper [30] is used to validate the material and structural  
 171 properties of FE sleeper model. The simulation of time-dependent behaviour will be conducted when  
 172 FE sleeper model has been validated. An experimental programme was conducted at Beijing Jiaotong  
 173 University by Professor Jing's group. **Figure 4** shows the apparatus for this centre negative moment  
 174 test of sleeper. The results of load-deflection responses for the prestressed concrete sleeper at centre,  
 175 obtained from this experiment using digital image correlation (DIC), are utilised to evaluate the mesh  
 176 sensitivity of FE model. The fracture results are used to validate the failure mode of the FE sleeper  
 177 model.



178

**Figure 4.** Apparatus of centre negative moment test of sleeper

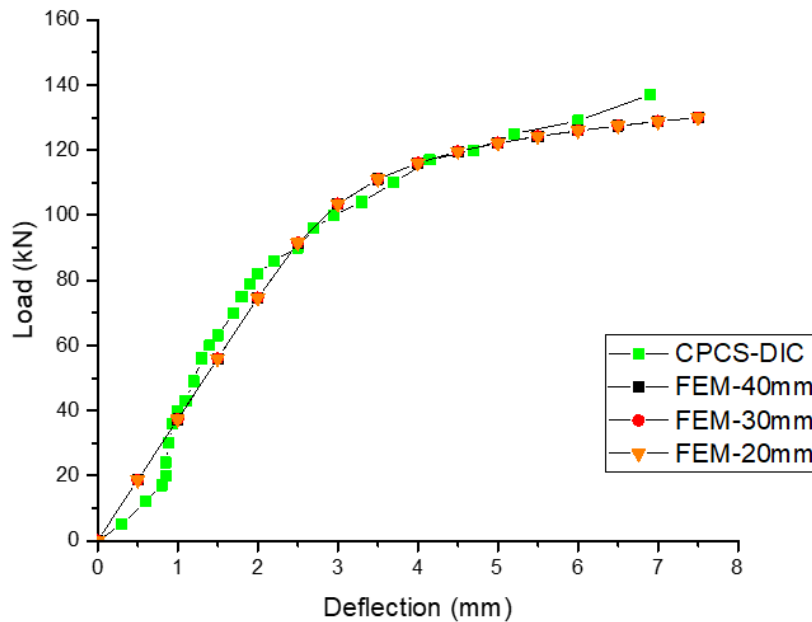
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### 181 4.3.1 Mesh size validation

182 In order to validate the FE prestressed concrete sleeper model, mesh sizes of 20mm, 30mm, and 40mm  
 183 are attempted to use in sleeper model to analyse the mesh sensitivity. The results of load-deflection



184 responses (including experimental data and FEM) at the centre of prestressed concrete sleeper are  
 185 plotted in **Figure 5**.



186

187 **Figure 5.** Load-deflection responses of prestressed concrete sleeper at rail centre section

188 **Figure 5** shows the load-deflection responses between FEM 20mm, 30mm, and 40mm are slightly  
 189 different. The 30mm mesh size is selected, in comparison with the DIC (experimental) results, this  
 190 mesh size similar and close (with 5.99% max error). It can be seen that FE results accurately meets  
 191 experimental data.

#### 192 4.3.2 Failure mode validation

193 To complete the validation process, failure mode validation needs to be conducted. As we know,  
 194 concrete starts cracking when the load exceeds maximum tensile strength. **Figure 6** shows *Normal*  
 195 *Stress* distribution on the X axis of the FE model. In **Figure 6** the red area represents tensile zoom and  
 196 the blue area is compressive zoom. With displacement increasing, the stresses also become larger.  
 197 Once the X axis stress in tensile zoom reaches the tensile strength of the sleeper, it can be assumed to  
 198 be cracking (failure). In this step, the tensile strength is calculated by the theoretical design standard  
 199 (Eurocode 2) [28]. The tensile strength will be used for determining cracking load and deflection in  
 200 FEM results in order to compare this with experimental cracking load/deflection. The mean value  
 201 tensile strength of concrete  $f_{ctm}$  is given by:

$$202 \quad f_{ctm} = 2.12 \ln(1.8 + 0.1 \times f_{ck}) \quad (14)$$

203 where  $f_{ck}$  is compressive strength of concrete.

204 The tensile strength is calculated as equal to 4.49 MPa, and the sleeper is assumed to start cracking  
 205 after this point. The stress/load-deflection responses of mesh size 30mm FE model are illustrated in  
 206 **Figure 7** in order to obtain cracking load. From **Figure 7**, the cracking deflection is 1.09mm when the  
 207 X axis stress meets 4.49MPa. Therefore, the cracking load is 42.16kN. In the centre negative moment

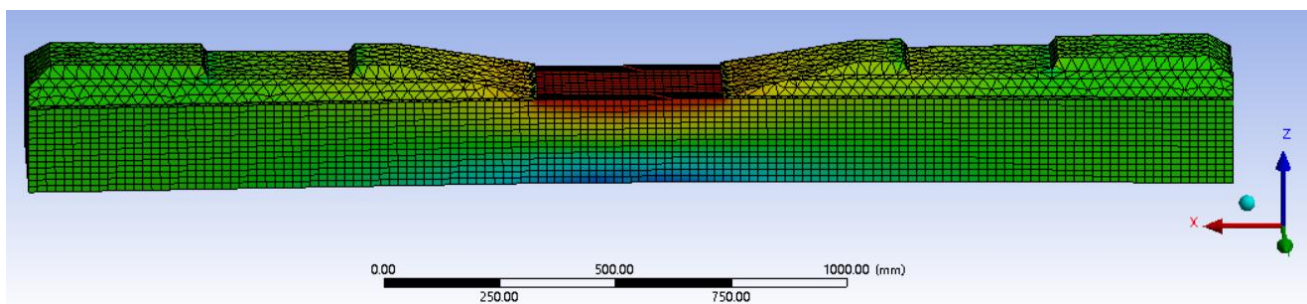
208 test of the sleeper, the first cracking was observed at 45kN and from **Figure 5** (CPCS-DIC) the cracking  
 209 deflection was at 1.13mm. The comparison between experimental and FE results is shown in **Table 5**.

210

Cracking load	Experiment	FE					
	45kN	Size 20mm		Size 30mm		Size 40mm	
		kN	Deviation	kN	Deviation	kN	Deviation
		42.12	6.39%	42.16	6.30%	42.03	6.61%

211 **Table 5.** Comparison cracking loads between experiment and FE

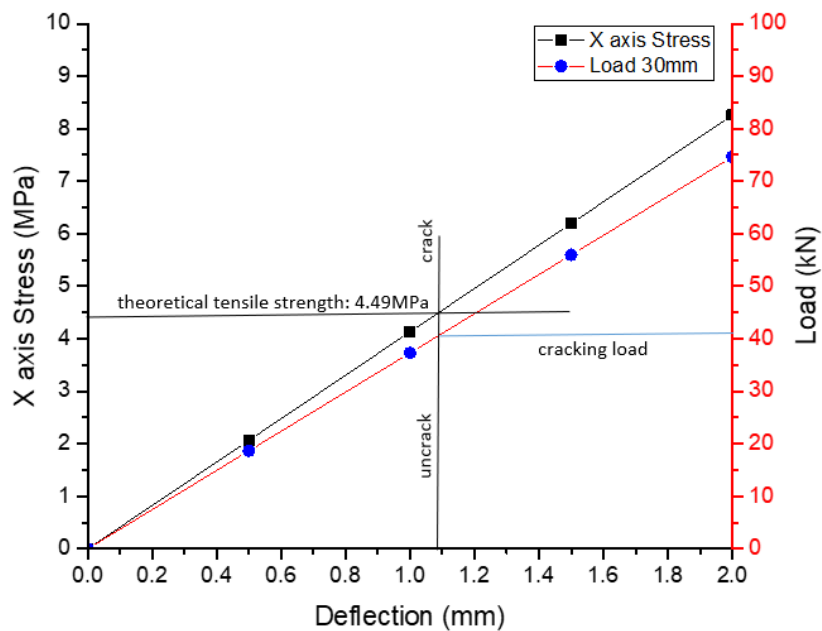
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213

214

**Figure 6.** Normal stress distribution through X axis



215

216 **Figure 7.** The stress/load-deflection responses of mesh size 30mm FE model

217

## 218 4.4 Analysis settings

### 219 4.4.1 Creep numerical model

220 In this study, the Creep Rate Equation is chosen to simulate the effect of creep. The equations consider  
 221 the creep strain rate, which can be a function of stress, strain, temperature, and neutron flux level. The  
 222 Time-hardening of *Creep Strain Rate Model* adopts the following functions:

$$223 \dot{\varepsilon}_{cr} = C_1 \sigma^{C_2} \varepsilon_{cr}^{C_3} e^{-C_4/T} \quad (15)$$

224 where:

225  $\dot{\varepsilon}_{cr}$  is change in equivalent creep strain with respect to time;

226  $\varepsilon_{cr}$  is equivalent creep strain;

227  $\sigma$  is equivalent stress;

228  $T$  is temperature (absolute);

229  $C_1, C_2, C_3, C_4$  are constants.

230 The implicit method is used to analyse creep, which is robust, fast, accurate, and recommended for  
 231 general use. The implicit method can model pure creep, creep with isotropic hardening plasticity, and  
 232 creep with kinematic hardening plasticity, using both von Mises and Hill potentials. Where the material  
 233 model combination with creep is supported, any of the implicit creep models can be used.

### 234 4.4.2 Shrinkage numerical model

235 Shrinkage consists of plastic shrinkage and drying shrinkage. Plastic shrinkage happens in the first few  
 236 hours after placing concrete. Drying shrinkage is mainly due to loss of water by evaporation and this  
 237 lasts perhaps for years after the prestressed concrete sleeper is put in service. Therefore, the shrinkage  
 238 numerical model focuses on drying shrinkage simulation. The *Thermal Condition* are used in the  
 239 shrinkage simulation. In this method, thermal deformation is used to predict time-dependent shrinkage  
 240 shortening by changing temperature. The shrinkage shortening at specific time (in days) is converted  
 241 to temperature. The prediction equation is shown below:

$$242 T = -250 + a * \exp(b * t) \quad (16)$$

243 where  $T$  is temperature that converts time-dependent shrinkage to thermal shortening;

244  $t$  is time which unit in days;

245  $a, b$  are constants.

## 246 5 Experiment

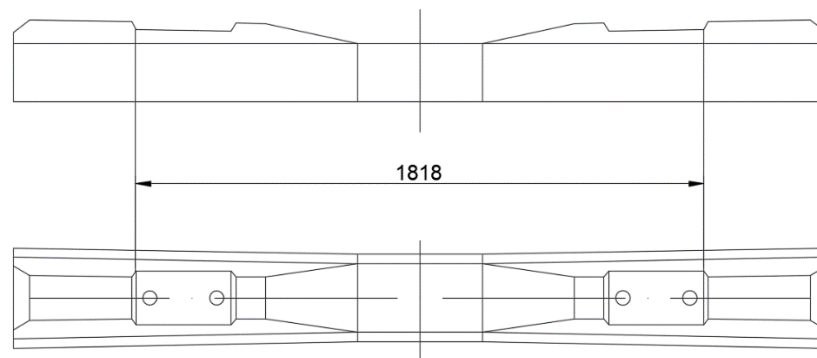
247 To evaluate the performance of prestressed concrete sleepers on time-dependent behaviour, an  
 248 experiment was conducted at a concrete sleeper factory in Hebei province, China. Five Chinese Type  
 249 III prestressed concrete sleepers were randomly selected since demoulding in sleeper factory. The  
 250 sleepers were standard gauge which commonly used in ballasted railway in China. A series of static  
 251 tests on the prestressed sleepers were performed in accordance with Eurocode 2. The average

252 compressive strength of demoulding concrete was 62MPa and the elastic modulus was 36500MPa.  
253 Each prestressing tendon with 7mm in diameter has a specified minimum proof force of 420kN.

254 To research deformation on prestressed concrete sleeper due to time-dependent behaviour, the critical  
255 dimension is measured so as to observe the change with time. This experiment lasted for 180 days. The  
256 setup for testing is illustrated in **Figure 8**. The distance between edge of rail seats is regarded as critical  
257 dimension which is 1818mm shown in **Figure 8**. In this investigation, the critical dimension  
258 measurement was arranged at 0, 7, 28, 35, 42, 49, 56, 60, 90, 180 days. The vernier calliper was utilised  
259 to conduct measurement. **Figure 9** shows critical dimension measurement of specimens conducted in  
260 sleeper factory. It should be noted that the measuring position for each specimen need to be marked in  
261 first measurement. This step guarantees the following testing would be carried out at same position to  
262 avoid human errors.

263 It should also be noted that the discreteness during the testing. Many influential factors could lead to  
264 the experimental results various. For example, in practice, the compressive strength and elastic  
265 modulus of concrete are varying with time. Even in same curing conditions, the material properties  
266 could be different. The theoretical methods consider several main parameters and the value is constant.  
267 Environmental factor is the most difficult to control. The relative humidity measurement was also  
268 carried out which average value is approximate 70%. In fact, relative humidity could change every  
269 hour and it can also be affected by seasonal variation. Therefore, more than three specimens are  
270 suggested to test for average results.

271



272

273

**Figure 8.** setup for time-dependent behaviour testing

274



Figure 9. Sleeper critical dimension measurement

275

276

277

## 278 6 Results and discussion

### 279 6.1 Theoretical results

280 The theoretical results were calculated for 40 years according to Eurocode 2 (the equation shown in  
 281 Part 2). The information of material properties and sleeper structure were following Chinese Type III  
 282 prestressed concrete sleeper details (shown in Part 3). The calculation of sleeper deformation was  
 283 divided into two parts: centre and side. The length of centre sleeper is 400mm and both sides length is  
 284 709mm utilised to calculate the deformation. The width is determined by the cross-section of the  
 285 sleeper. 75% relative humidity was applied in the theoretical calculation. The theoretical results of  
 286 time-dependent behaviour are shown in **Table 6**.

Time (days)	Creep (mm)	Shrinkage (mm)	Total shortening (mm)
1	0.114	0.043	0.16
3	0.184	0.082	0.27
7	0.245	0.136	0.38
28	0.371	0.289	0.66
60	0.458	0.398	0.86
90	0.507	0.454	0.96
180	0.594	0.534	1.13
365	0.675	0.587	1.26
1095	0.766	0.626	1.39
1825	0.791	0.634	1.43
3650	0.814	0.640	1.45
7300	0.826	0.643	1.47
14600	0.832	0.644	1.48

287

**Table 6.** Theoretical results of time-dependent behaviour288 **6.2 Experimental results**

289 The deformation between edges of rail seats were recorded for 180 days (shown in **Table 7**). The  
 290 average deformation was also calculated in order to compare the theoretical and numerical results.

<b>Time</b> <i>(days)</i>	<b>X-1</b> <i>(mm)</i>	<b>X-2</b> <i>(mm)</i>	<b>X-3</b> <i>(mm)</i>	<b>X-4</b> <i>(mm)</i>	<b>X-5</b> <i>(mm)</i>	<b>Average</b> <i>(mm)</i>
0	0	0	0	0	0	0
7	0.4	0.6	0.4	0.6	0.3	0.46
28	0.6	0.9	0.5	0.7	0.6	0.66
35	0.6	0.9	0.6	0.8	0.6	0.7
42	0.6	1	0.6	0.8	0.6	0.72
49	0.6	1.1	0.6	1	0.8	0.82
56	0.65	1.1	0.7	0.95	0.8	0.84
60	0.7	1.1	0.8	0.9	0.8	0.86
90	0.9	1	0.8	1.1	0.9	0.94
180	1.1	0.9	1	1.2	0.95	1.03

291

**Table 7.** Experimental results of time-dependent behaviour

292

293 **6.3 Numerical results**

294 The numerical models for time-dependent behaviour simulation were introduced in Part 4.4. The  
 295 computation was carried out in Ansys Workbench. The time-dependent deformation, including creep  
 296 and shrinkage, was respectively calculated for 10 years (3650 days) shown in **Table 8**.

<b>Time</b> <i>(days)</i>	<b>Creep</b> <i>(mm)</i>	<b>Shrinkage</b> <i>(mm)</i>	<b>Total shortening</b> <i>(mm)</i>
1	0.11439	0.01049	0.12488
3	0.18807	0.08915	0.27722
7	0.26182	0.12631	0.38813
28	0.3817	0.30038	0.68208
90	0.47508	0.42187	0.89695
180	0.53366	0.5257	1.05936
365	0.58838	0.54688	1.13526
1095	0.66287	0.58199	1.24486
3650	0.73918	0.61668	1.35586

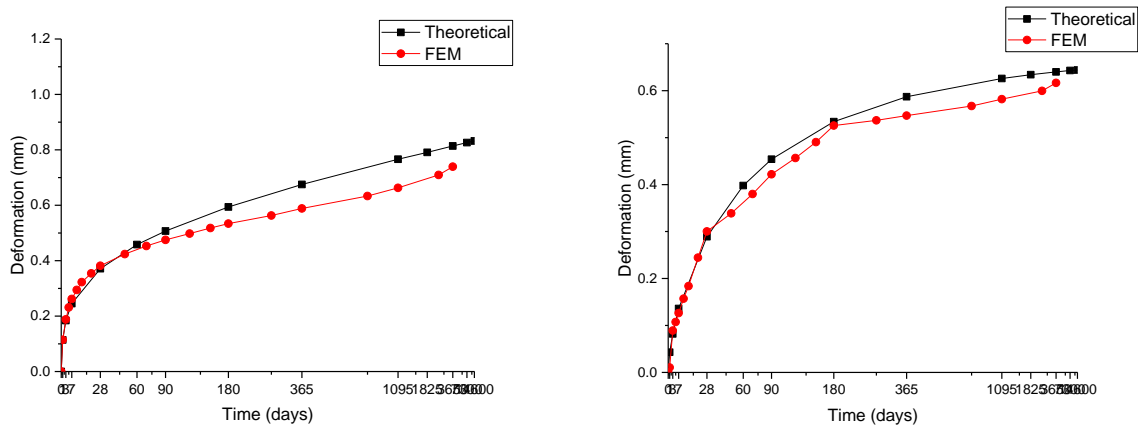
297

**Table 8.** Numerical results of time-dependent behaviour298 **6.4 Discussion**

299 **Figure 10** shows a comparison between time-dependent deformation results of theoretical time-  
 300 dependent behaviour prediction method and the numerical model for 10 years (3650 days). It is clear  
 301 seen that both of creep and shrinkage increase with time. In early age, deformation increases sharply  
 302 and after 3 years (1095 days) increase of deformation becomes very slow. From **Figure 10**, the

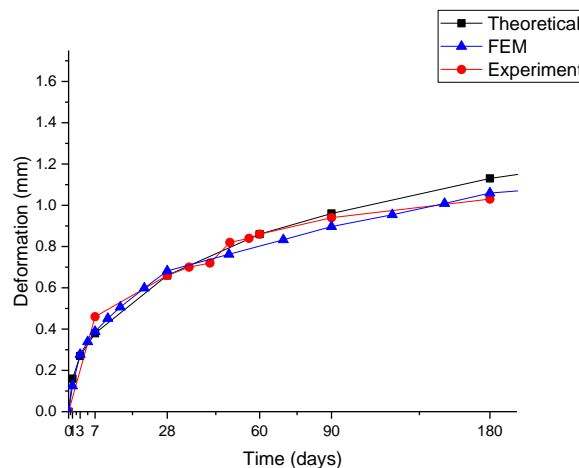
303 deformation due to creep is higher than shrinkage, which creep has more effect on sleeper dimension  
 304 than shrinkage. The results of the numerical models for both of creep and shrinkage can be compared  
 305 against theoretical results on which the model is calibrated. The numerical models should at least be  
 306 able to estimate time-dependent behaviour well. The maximum errors between theoretical and  
 307 numerical results for creep and shrinkage deformation prediction are 13.46% and 7.03% respectively.

308 The 180-day experimental results are illustrated in **Figure 11** in order to validate FEM model and  
 309 theoretical method. Experimental results present time-dependent deformation including creep and  
 310 shrinkage. Therefore, theoretical and numerical results need to combine creep and shrinkage results in  
 311 order to compare with experimental data. In **Figure 11**, both theoretical and numerical predictions are  
 312 quite close to the experimental results while maximum errors for theoretical and numerical results in  
 313 comparison with experimental data are 8.85% and 4.58% respectively. The comparison between the  
 314 experimental and numerically obtained time-dependent deformation curves indicates that the  
 315 numerical model is able to predict the development of time-dependent behaviour.



316 (a) creep deformation  
 317 (b) shrinkage deformation

318 **Figure 10.** Comparison between theoretical and numerical results of creep and shrinkage for 10 years

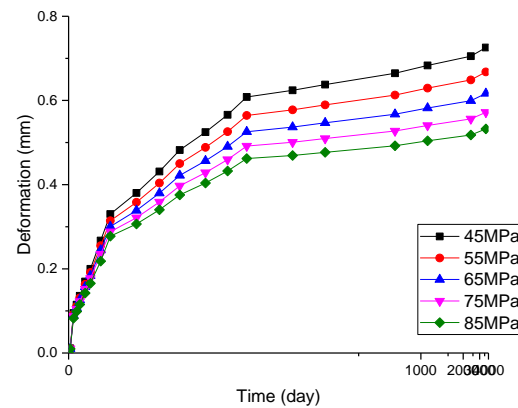
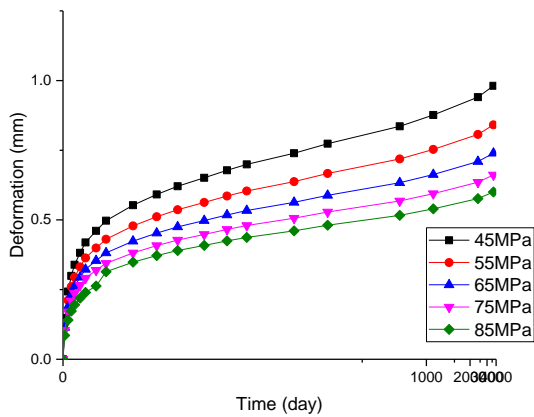


319  
 320 **Figure 11.** Comparison between theoretical, numerical and experimental results of time-dependent  
 321 behaviour for 180-day

322 **6.5 Parametric study**

323 **6.5.1 Concrete compressive strength**

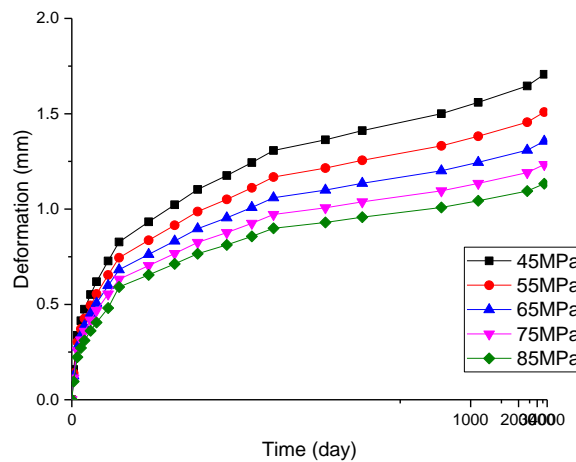
324 The material property is a key factor that influences time-dependent behaviour of prestressed concrete  
 325 [6]. Therefore, various concrete compressive strengths of sleeper were studied to determine their  
 326 performance. Based on the validated finite element model, concrete strengths of 45MPa, 55MPa,  
 327 65MPa, 75MPa, 85MPa are researched through a parametric study. The various concrete strength  
 328 results are plotted in **Figure 12**. This figure compares deformation due to time-dependent behaviour  
 329 with concrete compressive strength between 45MPa to 85MPa. It can be seen that the time-dependent  
 330 behaviour, both of creep and shrinkage, is inversely proportional with the development of concrete  
 331 strength. The results comply with theoretical predictions. From **Figure 12**, it can be seen that the  
 332 maximum difference of time-dependent deformation between concrete strength 45MPa and 85MPa is  
 333 0.574mm (42.3%). The deformation is reduced by almost 10% with concrete strength increasing to  
 334 10Mpa.



335

336 (a) creep deformation in various strength

(b) shrinkage deformation in various strength



337

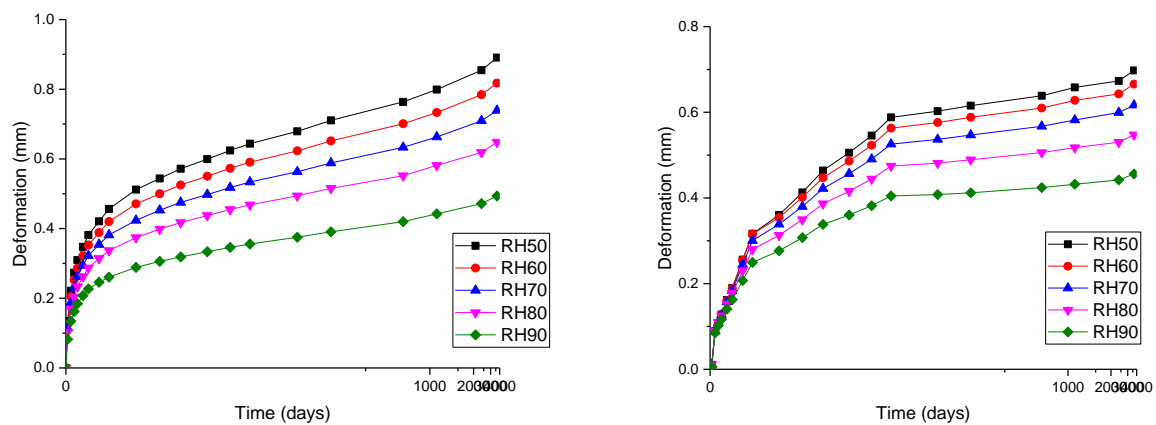
338 (c) total time-dependent deformation in various concrete strength



339 **Figure 12.** Parametric study of time-dependent behaviour in various concrete strength

### 340 6.5.2 Relative humidity

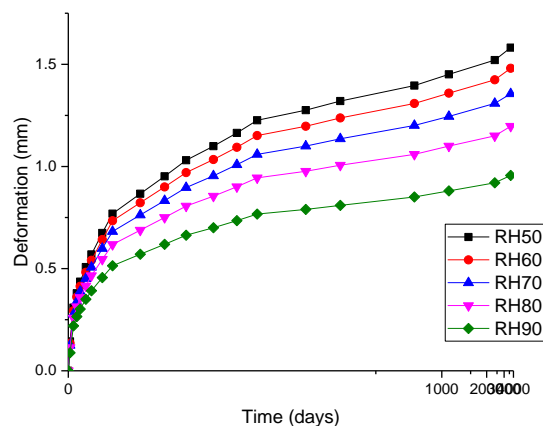
341 According to preview research, the most significant parameters affect creep and shrinkage are the  
 342 concrete strength and relative humidity [6]. Therefore, the analysis of relative humidity is conducted.  
 343 Based on the validated finite element model, the relative humidity of 50%, 60%, 70%, 80%, and 90%  
 344 are studied. **Figure 13** illustrates the relationships between different relative humidity and time-  
 345 dependent behaviour. This figure compares deformation due to time-dependent behaviour with relative  
 346 humidity between 50% to 90%. It can be seen that the deformation tends to be higher when the relative  
 347 humidity reduces. The difference of total deformation between 50% and 90% is up to 46.13%. In  
 348 comparison creep and shrinkage deformation, creep has more influence by relative humidity. The  
 349 difference of creep deformation between 50% and 90% is 53.74%, whereas the difference of shrinkage  
 350 deformation is 39.19%. It is noted that the relative humidity is more likely to affect the performance  
 351 of railway sleepers than concrete strength.



352

353 (a) creep deformation in various humidity

(b) shrinkage deformation in various humidity



354

355 (c) total time-dependent deformation in various relative humidity

356 **Figure 13.** Parametric study of time-dependent behaviour in various relative humidity

### 357 6.5.3 Loss of prestress

358 Analysis of the long-term loss of prestress is an important part of the railway sleeper design process.  
 359 In many prestressed concrete structures design process, the loss of prestress is a controlling parameter.  
 360 The prestress loss could result in undesired deflections and cracking under service conditions. Loss of  
 361 prestress occurs in two stages: loss at transfer and long-term loss. The immediate loss could be caused  
 362 by anchorage, friction, and seating. The long-term loss is most due to interrelated effects of creep and  
 363 shrinkage on concrete, and relaxation of steel tendons.

364 The Eurocode 2 [29] describes predict methods of loss due to time-dependent behaviour.

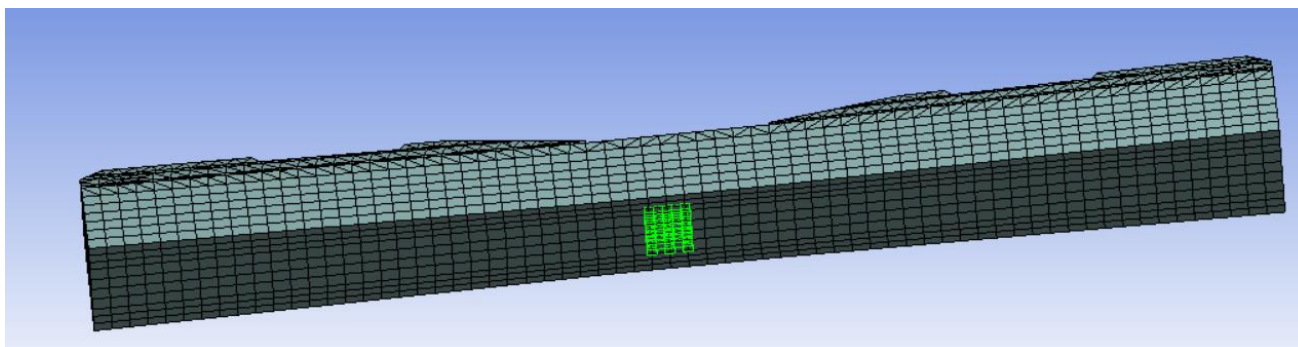
365 Loss due to creep:  $Loss = \sigma_c \frac{E_s}{E_{cm}} \varphi$

366 Loss due to shrinkage:  $Loss = \varepsilon_{cs} E_s A_{ps}$

367 Loss due to relaxation:  $Loss = \Delta\sigma_{pr} A_{ps}$

368 The bottom part of midspan of the sleeper is selected as typical position to investigate the loss of  
 369 prestress for numerical simulation (shown in **Figure 13**). The *Normal Stress* is used to checked the  
 370 remained prestress in numerical model in different periods. The ratios of remained prestress and initial  
 371 prestress are calculated in order to generate loss percentage. **Figure 14** illustrates the theoretical and  
 372 numerical results of results of loss of prestress.

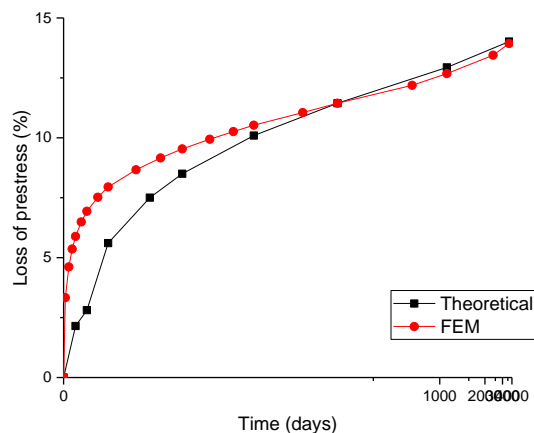
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374

375 **Figure 13.** Typical position on numerical sleeper model for loss of prestress investigation

376



377

378 **Figure 14.** Comparison between theoretical and numerical results of loss of prestress

379 The numerical results comply with theoretical prediction. The loss of prestress exists along the whole  
 380 life of railway sleepers. According to the experience of amount of preview research, the final value of  
 381 remained prestress is only about 75% of the initial prestress [31]. In this study, the predicted loss of  
 382 prestress is around 14%. The results can be used in further research to investigate the capacity of the  
 383 railway sleeper.

## 384 7 Conclusion

385 Railway sleeper is one of the most important part in conventional track structure. Performance of  
 386 prestressed concrete sleeper is influenced by time-dependent behaviour like other concrete structure.  
 387 The deformation caused by creep and shrinkage can significantly influence the critical dimension of  
 388 railway sleepers. Time-dependent behaviour of prestressed concrete sleepers is commonly related to  
 389 material properties, environmental conditions, axle loads, and dynamic loads etc. A series of problems  
 390 could be caused by time-dependent behaviour including dimension change, loss of prestress, cracks,  
 391 deterioration, and decrease of capacity. The main effect of creep and shrinkage on railway sleeper  
 392 happens in early-age service. First two months, the deformation due to time-dependent behaviour could  
 393 reach 60% of total deformation. After 3 years, the deformation achieves 95% of total deformation and  
 394 increasement becomes very slow and stable.

395 This paper presents the finite element results of Chinese Type III prestressed concrete sleeper analysis  
 396 on the time-dependent behaviour. An experimental program was set up and completed where the  
 397 critical dimension of five Chinese type III prestressed concrete sleepers were measured for 180 days  
 398 in order to calibrate creep and shrinkage numerical models. This paper also introduces theoretical creep  
 399 and shrinkage prediction methods based on Eurocode 2. The finite element model has been established  
 400 and validated using comprehensive experimental data. The simulation shows great agreement in  
 401 comparison with the theoretical and experimental results. The numerical results show that the creep  
 402 and shrinkage deformation predicted by the numerical model are very close to 180-day experimental  
 403 results. For 10-year prediction, numerical results are also very close to theoretical results which  
 404 maximum error less than 13.46%. The relative humidity is also an important factor that influences  
 405 creep and shrinkage. However, it is also very hard to control. In experiment, the relative humidity was  
 406 not permanent during long-term measurement. It could be the reason that induces errors between  
 407 experimental, theoretical, and numerical results.

408 In summary, for time-dependent behaviour, the relative humidity and concrete strength are the most  
409 influential factors. They largely influence creep and shrinkage. The parametric study highlights the  
410 effect of concrete strength, relative humidity, and loss of prestress. The parametric study indicates the  
411 increment of the concrete strength reduces time-dependent deformation and it provides a guide that  
412 railway engineer determines strength of sleeper when considering time-dependent behaviour. In  
413 addition, reduction of water-cement ratio will decrease time-dependent deformation. The  
414 environmental factors such as relative humidity needs to be considered comprehensively, because the  
415 relative humidity is more likely to affect the performance of railway sleepers than concrete strength.  
416 Dry climates usually result in more deformation due to creep and shrinkage. This opinion gives an  
417 instruction for railway sleepers serviced in harsh environments (dry climates area). In this situation, it  
418 is suggested that periodically sprays water on sleepers as maintenance in order to keep railway track  
419 moisture. The parametric study also reveals percentage of the prestress loss in different periods, which  
420 helps inspection of prestressed concrete sleepers in railway system.

421 In practice, the problems on prestressed concrete sleepers associated with time-dependent behaviour  
422 can be: (a) change of railway sleeper geometry and influence rail gauge; (b) Reduction of sleeper's  
423 loading capacity; (c) loss of prestress; (d) cracking on surface of railway sleepers. The major cause of  
424 these problems is due to creep and shrinkage. These problems could result in serious consequence like  
425 train derailment, increase of maintenance cost, service life of railway sleepers will reduce etc. It is  
426 necessary to investigate time-dependent behaviour and find a reliable method to estimate the potential  
427 effects on railway track system to avoid accidents. This paper presents the numerical analysis on time-  
428 dependent behaviour. It finds the main parameters affect time-dependent behaviour, and they are also  
429 applied in FE model to evaluate the performance of railway sleepers. The experimental results have a  
430 good agreement with numerical results that indicate the FE model is reliable. For the further research  
431 on creep and shrinkage, more parameters like load conditions, different materials, support conditions  
432 [32-36] will be reviewed to evaluate the performance of railway sleepers under various conditions.

433 This article proposes the reliability concepts and rationale associated with the development of time-  
434 dependent behaviour prediction methods. It reinforces the fundamental design guideline for prestressed  
435 concrete sleepers to optimally suit any local track. This paper addresses the importance challenge  
436 towards truly realistic condition-based predictive track maintenance. The outcome can be applied in  
437 long-term railway infrastructure maintenance, concrete manufacturer factory, design code. The insights  
438 will enhance the inspection of long-term serviced sleepers and improve track maintenance.

439

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