

The risk of subsequent surgery following bowel resection for Crohn's disease in a national cohort of 19,207 patients

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1 **TITLE PAGE**

2 **Title**

3 The risk of subsequent surgery following bowel resection for Crohn's disease in a national
4 cohort of 19,207 patients

5

6 **Short Title**

7 Risk of further surgery in Crohn's Disease

8

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38 Abstract

39 *Background and Aims*

40 Surgery is required for the majority of patients with Crohn's disease (CD) and further surgery may be
41 necessary if medical treatment fails to control disease activity. The aim of this study was to
42 characterize the risk of and factors associated with further surgery, following a first resection for
43 Crohn's disease.

44 *Methods*

45 Hospital Episode Statistics from England were examined to identify patients with CD and a first
46 recorded bowel resection between 2007 and 2016. Multivariable logistic regression was used to
47 examine risk factors for further resectional surgery within 5-years. Prevalence-adjusted surgical rates
48 for index CD surgery over the study period were calculated.

49 *Results*

50 19,207 patients (median age 39 (IQR 27-53) years and 55% female) with CD underwent a first
51 recorded resection during the study period. 3,141 (16%) underwent a further operation during the
52 study period. The median time to further surgery was 2.4 (IQR 1.2-4.6) years. 3% of CD patients had
53 further surgery within one year, 14% by 5 years and 23% by 10 years. Older age (≥ 58), index
54 laparoscopic surgery and index elective surgery (adjusted odds ratios 0.65 (95% CI 0.54-0.77), 0.77
55 (0.67-0.88), 0.63 (0.53-0.73), and 0.77 (0.69-0.85), respectively) were associated with a reduced risk
56 of further surgery by 5-years. Prior surgery for perianal disease (1.60 (1.37-1.87)), an extraintestinal
57 manifestation (EIM) of CD (1.51 (1.22-1.86)) and index surgery in a high-volume centre for CD
58 surgery (1.20 (1.02-1.40)) were associated with an increased risk of further surgery by 5-years. A 25%
59 relative and 0.3% absolute reduction in prevalence-adjusted index surgery rates for CD was observed
60 over the study period.

61 *Conclusions*

62 Further surgery following an index operation is common in CD. This risk was particularly seen in
63 patients with perianal disease, EIMs and those who underwent index surgery in a high-volume
64 centre.

65 What does this paper add to the existing literature?

66 Further surgery following a first recorded resection in Crohn's disease is high in England. Significant
67 risk factors for further surgery included those coded with extraintestinal manifestations and prior
68 perianal surgery. Over time, index resectional surgery rates have fallen as Crohn's disease
69 prevalence has increased.

70

71 **Key Words**

72 Inflammatory Bowel Disease, Crohn's Disease, surgery, colectomy, perianal disease, extraintestinal
73 manifestations of inflammatory bowel disease.

74 **Author contributions**

75 Study concept and design was jointly conceived by DK, BC, AD, PP and NT. Data extraction was
76 performed by BC and AD and analysis was performed by BC and DK. Manuscript was drafted by DK.
77 The data and manuscript were critically reviewed, revised, and approved by all authors.

78 **Abbreviations**

79 Inflammatory Bowel Disease (IBD), Crohn's Disease (CD), Hospital Episode Statistics (HES), Odds
80 Ratio (OR), interquartile range (IQR).

81

82 **Data Availability statement:**

83 HES data are available under a data sharing agreement with NHS Digital for the purposes of service
84 evaluation and is not available for open access.

85

86 **Funding declaration**

87 Nothing to Declare

88 **Conflicts of Interests**

89 NT reports grants from Dr. Falk, MSD, AstraZeneca and Pfizer outside the current work. Other
90 authors have no conflicts of interest to declare.

91

92

93 Introduction

94 Crohn's Disease (CD) is characterised by inflammation of the intestinal wall, which if complicated by
95 fistulisation and fibrotic stricturing usually requires surgical intervention ¹. Although a range of
96 medical therapies have emerged over recent decades, a majority of patients with CD require a
97 surgical bowel resection during their lifetime and the literature suggests a significant minority need
98 further operations due to the high disease recurrence rate in CD ²⁻⁴.

99 Disease recurrence is almost universal and guidelines advocate a surveillance and step up approach
100 following surgical resection for ileocaecal disease and prophylactic medical management, in
101 particular for high risk groups including smokers, those with penetrating disease behaviour and
102 those with a history of surgical resection ^{5,6}. There is hope that medical therapies will change the
103 natural history of CD and reduce the need for surgery and recurrent surgery in CD. However, with
104 evolving practice in the biologic era, only relatively short follow-up periods in randomised control
105 trials have demonstrated reduced surgical rates which have not translated to population studies ⁷.
106 Longer follow up from post-surgical intervention trials is awaited to see if long term benefit of such
107 medical therapies can be realised ⁷⁻⁹.

108 Although CD is the broad diagnostic label, it actually represents a spectrum of disease with different
109 areas of the bowel predominantly affected in different individuals. Small bowel predominant, upper
110 gastrointestinal, ileocaecal predominant and Crohn's colitis are recognised clinical patterns with
111 ileocaecal being the most common. In addition, patients with CD may suffer with perianal disease,
112 seen most commonly in the young, in those with Crohn's colitis and ileal disease ¹⁰⁻¹². Perianal
113 disease is not easily defined, but includes anal fissuring, abscess formation and perianal fistulas, and
114 some would also include haemorrhoids and perianal skin tags ¹³. Perianal disease complicating CD is
115 challenging to manage and can lead to reduced quality of life and herald a more severe disease
116 course ¹⁴. CD can also be associated with extraintestinal manifestations (EIM). Classical EIMs include

117 hepatobiliary, ophthalmic, dermatological and musculoskeletal conditions ^{15,16}. Up to half of patients
118 with inflammatory bowel disease (IBD) will experience an EIM during their disease, with most
119 running a parallel course to their intestinal disease ^{15,17-19}. EIMs are associated with a more
120 extensive, severe phenotype in UC but similar data on CD outcomes is limited ²⁰⁻²².

121 The aim of this study was to examine the risk of further surgery in CD following a first episode of
122 resectional surgery and risk factors for such events.

123

124 Methods and materials

125 Data source

126 Hospital Episode Statistics (HES) contain data on National Health Service (NHS) secondary care
127 episodes of inpatient and outpatient care for England. Diagnostic data are recorded using the
128 International Classification of Diseases version 10 (ICD-10) codes and procedural data is recorded
129 using Office of Population Censuses and Surveys Classification of Interventions and Procedures - 4th
130 revision (OPCS4) codes. Demographic data are also recorded with each episode and patients can be
131 tracked via a unique identification code between different episodes. Patient counts of five and less
132 are suppressed from publication in order to comply with the HES data confidentiality requirements.

133 Inclusion criteria

134 Adult patients ≥ 18 years old were included in the study. For study inclusion, patients required a
135 record of a small or large bowel surgical resection between 1st January 2007 and 31st December
136 2016. Patients also required a Crohn's disease (ICD-10: K50) diagnosis on their index surgery
137 admission. Two authors identified and came to a consensus for the procedural codes used, a list of
138 which is found in Appendix 1. Given evidence of different outcomes in patients with Crohn's colitis
139 compared with isolated small bowel disease ²³, patients were included in a separate CD colitis sub-
140 cohort if they had a CD colitis code (K50.1) and an OPCS-4 code identifying a colectomy procedure.

141 Exclusion criteria

142 Patients were excluded if, following a CD diagnosis at index surgery, they had a greater frequency of
143 Ulcerative Colitis (UC) (ICD-10: K51) diagnoses coded subsequently. Patients were also ineligible for
144 inclusion if they had a cancer diagnosed during the year before index surgery or during follow-up to
145 reduce the risk of resectional surgery being carried out for a cause other than CD. Patients without a
146 recorded age, an age less than 18 and those with a missing or invalid code for sex were excluded, as
147 were patients with residency outside of England. Further resectional surgery that took place either

148 during the same admission episode or within a 30-day period following index surgery was excluded
149 from the primary analysis in order that surgical complications were not counted. Certain index
150 surgical codes (e.g. stoma formation) were deemed to be associated with a high likelihood of
151 subsequent planned elective surgical procedures (Appendix 1). Patients undergoing an operation on
152 an elective admission within a year of such index surgery were also excluded from the primary
153 analysis, as the two operations were regarded as one staged episode of resection. The exclusion of
154 operations which were done in order to complete an index surgical procedure (such as re-joining the
155 bowel), rather than further resectional surgery for recurrent disease, was done in order to reduce
156 the risk of overestimating the recurrent surgery rate. However, the patients themselves were not
157 excluded from further analysis so further *valid* surgery in these patients would be included.

158 Data validation

159 To assess the validity of CD surgical coding, a list of patients meeting the same ICD-10 and OPCS4
160 coding criteria was provided by the local coding departments at Sandwell & West Birmingham
161 Hospitals NHS Trust. The accuracy of coding at each site was then assessed by consulting the
162 electronic patient records to establish if both the CD diagnosis and the surgical procedural code
163 were accurate.

164 Demographic data

165 Patient age, sex, deprivation status and ethnicity were identified from index surgery admission
166 coding. For the overall cohort, age was divided into quintiles 18-26, 27-34, 35-44, 45-57 and ≥ 58 for
167 analysis. Ethnicity was stratified into white, Asian, other minority ethnicities and unknown. The
168 Charlson comorbidity index, a measure of multimorbidity in patients and previously validated in HES
169 ²⁴, was calculated using secondary diagnostic coding. Deprivation quintiles were calculated from the
170 Index of Multiple Deprivation, a classification based on income, employment, crime and living
171 environment ²⁵. Deprivation quintile 5 is the least deprived quintile and quintile 1 the most deprived.

172 Previous codes for perianal surgery or EIMs prior to the index resectional surgery admission were
173 recorded (Appendix 2 and 3).

174 Outcome measures

175 The primary outcome measure was first further resectional surgery during the follow up period after
176 their first resection until December 31st 2018. Multiple further surgeries by 1, 5 and 10 years were
177 also examined. Further resectional bowel surgery within 5 years was examined in those with at least
178 5 years of follow up time for multivariable analysis. Secondary outcomes examined included the
179 trends in CD surgery standardised to the burden of CD for a particular year using the annual point
180 prevalence of CD in England ²⁶. The use of infliximab in the year prior to and following index surgical
181 resection and the change in infliximab use over time was also investigated (infliximab is coded in HES
182 as a high-cost drug under anti-TNF therapy).

183

184 Statistical analysis

185 Demographic data is presented as number and percentage where applicable. Age and time to
186 surgery are presented as median and interquartile range (IQR). Characteristics of included and
187 excluded patients were compared using Chi-squared tests for categorical data.

188 A multivariable logistic regression model was constructed for risk of further surgery within 5 years of
189 index surgery in those with at least 5 years of follow up for both the entire cohort and the Crohn's
190 colitis sub-cohort with estimates presented as adjusted odds ratios (aOR). Variables included in the
191 models were age quintiles, sex, provider volume of index resectional CD surgery, ethnicity,
192 deprivation quintiles, index surgery admission method, Charlson comorbidity score, year of index
193 surgery, prior perianal disease (defined as previous perianal surgery), the presence of an EIM at
194 baseline and whether the index surgery was performed as a laparoscopic procedure.

195 A Kaplan-Meier plot of time to further surgery was produced for those with index surgery performed
196 as an elective and emergency procedure. A further Kaplan Meier plot of time to further surgery with
197 three curves representing three eras of index surgery was produced with accompanying global and
198 stratified log rank tests.

199 A sensitivity analysis using multivariable logistic regression, including *all* first further surgery for CD
200 within 5 years of index surgery was constructed. This sensitivity analysis incorporated those
201 operations previously excluded, including surgery within 30 days of index operation and those at risk
202 of staged elective operations within one year of index resection.

203 Index resectional surgery rates for CD in England were produced by dividing the yearly count of
204 index resectional surgery by CD prevalence in England, derived from a nationally representative
205 primary care database standardised to the English adult population per year, taken from Office for
206 National Statistics data, taking account of the changing population at risk and CD prevalence ^{26,27}.
207 Linear regression was used to assess the change in rate of index surgery over the time.

208 All statistical analyses were carried out using Stata SE v16 ²⁸. P-values of <0.05 were considered
209 statistically significant.

210

211 **Ethics**

212 HES data is available under data sharing agreements with NHS Digital for the purpose of service
213 evaluation. Ethics approval is not, therefore, required. HES data was granted by the Health
214 Informatics Request Review Group at University Hospitals NHS Foundation Trust: UHB Registration
215 number CARMS-14875.

216 Results

217 Data validation

218 All admissions at Sandwell & West Birmingham Hospitals NHS trust with an ICD-10 code for CD
219 (K50*) and a surgical code (Appendix 1), excluding individuals with any cancer code, were examined
220 between December 2015 and December 2017. Of the 65 cases identified, all were accurately coded
221 as CD when compared to the electronic patient record. 64 (98%) were correctly coded for the
222 surgical procedure when compared to the operating notes.

223 Cohort characteristics

224 From 1st January 2007 until 31st December 2016, 19,270 patients with CD and a first resectional
225 bowel surgery were identified for study inclusion (Figure 1). The cohort median age was 39 (IQR 27-
226 53) years and 55% were female. 88% of patients were of white ethnicity and 81% of patients had a
227 Charlson comorbidity score of 0. 56% (10,768) of index resections took place in providers in the
228 upper tertile of provider volume for these operations (≥ 139 of these procedures over the 10-year
229 study period). 55% (10,584) of index resections were performed during an elective admission. 8.9%
230 (1,703) of patients had a perianal disease surgical intervention coded prior to index resection,
231 indicating a severe perianal disease component to their CD. 26.5% (5,098) of patients' index surgery
232 were coded as laparoscopic (of 6,148 patients whose procedure started as laparoscopic, 1,050 (17%)
233 were converted to open surgery). Index surgery recorded as a laparoscopic procedure increased
234 from 11% of cases in 2007 to 37% in 2016. At baseline, 1,035 (5.4%) codes for an EIM of IBD were
235 identified. 0.3% (51) of patients had multiple EIMs recorded. Infliximab was coded in 12% (2,331) of
236 patients in the year prior to index surgery overall, but over the study period a rise in use from 5.6%
237 in 2007 to 19% in 2016 was observed. 4.9% (932) of patients received infliximab in the year following
238 index surgery (2.9% in 2007 increasing to 7.5% in 2016). 2.3% (438) of patients received infliximab
239 both before and after index surgery (0.6% in 2007 increasing to 3.9% in 2016). Characteristics of the

240 overall cohort and of those with at least 5 years of follow up are presented in Table 1. Annual
241 infliximab rates and laparoscopic surgery rates are shown in the appendix 11 and 12 respectively.

242 Of those excluded, deprivation level and ethnicity were comparable to those eligible for study
243 inclusion, $p=0.093$ and 0.448 , respectively. Those excluded from the study had proportionally more
244 males, fewer patients aged 18-34 and more aged 58 and over. More patients with comorbidities
245 were excluded compared to those included. These inclusion-exclusion differences were similar in
246 those with at least 5 years of follow-up (Appendix 4).

247

248 Further surgery during the follow-up period

249 Overall, 3,141 further resections were recorded during the study period, in 16.4% of patients. 625
250 (20%) patients had further surgery performed within the first year that was not considered a staged,
251 elective completion of the index surgical intent. Patients undergoing further surgery had a median
252 (IQR) age of 37 (27-49) and 53% (1,667) were female. Age deciles at which patients underwent index
253 and further surgery are shown in Appendix 5.

254 65.5% of further resections took place on an elective admission. 14% (459) of further surgery began
255 as laparoscopic procedures, 2.5% (81) of which were converted to open procedures. 18.6% of index
256 surgeries performed during emergency admissions had further surgery performed on an emergency
257 admission compared to 14.6% of patients with an elective index surgery. Figure 2 shows a Kaplan-
258 Meier curve for further surgery stratified by the index resection admission method (emergency or
259 elective). 24.7% (421) of further surgery patients had a baseline perianal surgical intervention and
260 7% (215) had a baseline EIM recorded. The median (IQR) time to further surgery was 2.36 (1.15-4.55)
261 years overall. During follow up 79% (2,488) of patients had only one further resection recorded while
262 21% (653) of patients had two or more further resections. By two years following the index resection
263 7% (1,413/19,207) of patients had undergone further surgery, 13.7% (1,827/13,368) at 5 years and

264 22.6% (830/3,674) at 10 years had further resections. Of those with 10 years of follow up, 5.9%
265 (215/3,674) of patients had two or more further resections.

266 When followed from index resection stratified by 3-year eras (2007-9, 2010-12, 2013-15), a
267 separation in the rates of further surgery was observed, Figure 3. Globally a difference between
268 curves was observed, log rank test $p = 0.003$. When stratified, a significant difference between the
269 two earliest and the earliest and latest eras was observed (2007-09 and 2010-12 $p < 0.001$, and 2007-
270 09 and 2013-15 $p = 0.048$), though not between the latest two eras (2010-12 and 2013-15 $p = 0.784$).

271

272 **Multivariable logistic regression analysis of factors associated with further surgery**
273 **within 5 years**

274 Table 2 shows the multivariable logistic regression model for factors associated with risk of further
275 surgery within 5 years. Patients with a minimum of 5 years of follow up (those enrolled between
276 2007 and 2013) were examined using multivariable logistic regression to assess factors associated
277 with further resection within 5 years of index resection. 13,3368 (70%) patients were included in the
278 analysis. 13.7% (1,827) of this cohort had a further resection within 5 years of index resection (Table
279 1). Factors associated with risk of further resection within 5 years were presence of baseline EIM
280 (aOR 1.51 (95% CI 1.22-1.86), $p < 0.001$), baseline previous perianal surgical intervention (1.60 (1.37-
281 1.87), $p < 0.001$), a comorbidity score of 1-4 compared to those with a score of 0 (1.16 (1.01-1.35),
282 $p = 0.049$) and undergoing index resection in the high-volume providers of CD surgery (1.20 (1.02-
283 1.40), $p = 0.027$). Factors associated with a reduced risk of further resection included index surgery
284 performed laparoscopically (0.77 (0.67-0.88), $p < 0.001$), the oldest age quintile (≥ 58 years old)
285 compared to the youngest quintile (18-25) (0.65 (0.54-0.77), $p < 0.001$) and index resection
286 performed on an elective admission (0.77 (0.69-0.85), $p < 0.001$).

287 *All further surgery*

288 In the primary analysis, first further surgery was excluded if it took place within 30 days of index
289 resection or was deemed to be a staged procedure, e.g. reversal of a stoma within one year of index
290 resection performed on an elective admission; in this secondary analysis, all further surgery was
291 included. In total, 21.3% (4,095) of patients underwent a further CD surgical resection during the
292 follow-up period. A multivariable logistic regression model of factors associated with all further
293 surgery within 5 years provided similar findings to the primary analysis and can be seen in Appendix
294 6. The oldest age quintile (≥ 58 years old) compared to the youngest (18-25), index surgery
295 performed laparoscopically, and elective index resection were all associated with a reduced risk of
296 further resection (0.73 (0.63-0.86), 0.78 (0.67-0.85) and 0.66 (0.61-0.73), respectively). Baseline
297 previous perianal surgery, the presence of an EIM at baseline and index CD resection performed in a
298 high-volume provider of such resections were associated with increased further surgical risk (1.51
299 (1.31-1.74), 1.53 (1.27-1.85) and 1.19 (1.03-1.36), respectively). In this sensitivity analysis high
300 comorbidity score (5+) was associated with an increased risk of further surgery compared to those
301 with a score of 0 (1.30 (1.07-1.57), $p=0.009$), however, the association with comorbidity scores 1-4
302 were not statistically significant. Baseline characteristics and regression model tables are shown in
303 Appendices 6 and 7, respectively.

304

305 **Crohn's colitis sub-cohort**

306 2,329 patients with a CD colitis code and an index colectomy code were identified for a sub-cohort
307 analysis, of which 507 (21.8%) went on to have a further resection. The median age in this group was
308 41 (IQR 28-54) years and 57% were female. Charlson comorbidity score and ethnicity were similar to
309 the overall cohort (80% with score 0 and 88% white). 54% (1,257) of index resectional surgeries took
310 place on an elective admission and 57% (1,321) in providers in the upper tertile of provider volume
311 of these operations (≥ 18 of these procedures over the 10-year study period). 13% of CD colitis

312 patients had a previous perianal surgical intervention coded prior to index resectional surgery
313 (compared to 9% overall). At baseline, 173 (7%) patients were coded with an EIM. The CD colitis sub-
314 cohort characteristics are shown in Appendix 8.

315 Further surgery in the Crohn's colitis sub-cohort

316 In the CD colitis sub cohort, 20% (100) of patients having further surgery had two or more further
317 resections during the follow-up period. By two years following the index resection, 10% (243/2,329)
318 of patients had undergone a further resection, 19% (302/1,623) by 5 years and 28% (123/435) by 10
319 years. The median (IQR) time to a further resection was 2.14 (1.17-3.97) years in the CD colitis sub-
320 cohort. Infliximab was coded in 16% (81) of patients in the year before or after a further resection.
321 18% (136) of further surgery patients had a baseline perianal surgical intervention recorded, and
322 10% (53) had a baseline EIM recorded. It was again observed in the sub-cohort that those who
323 underwent index resection during an elective admission were associated with a reduced risk of
324 further surgery within 5 years (aOR 0.75 (0.57-0.98), p=0.033). Comorbidity score of 5+ compared to
325 scores of 0 were also associated with a reduced risk of further surgery (0.47 (0.23-0.95), p=0.035)
326 while perianal disease was associated with a 65% increased risk (1.65 (1.16-2.34), p=0.005). Index
327 surgery performed laparoscopically was not significantly associated with 5 year surgery risk (0.99
328 (0.70-1.39), p=0.950). The multivariable logistic regression model of factors associated with 5-year
329 further resection in the CD colitis sub-cohort is shown in Appendix 9.

330

331 Changes in practice over the study period

332 Levels of infliximab use in the year prior to and following index resection (before further surgery)
333 increased from 5.6% to 19.0% and 2.9% to 7.5%, respectively, between 2007 and 2016. Index
334 resections per year increased from 1,816 in 2007 to 1,973 in 2016. When CD prevalence over time
335 was accounted for, surgical rates actually fell from 12.2 to 9.2 resections per 1000 CD patients in
336 England over this period (p<0.001) (Appendix 10) ²⁶. A fall in rates was seen for index resections

337 irrespective of whether the admission method was elective or emergency. Figure 4 shows the trends
338 in English CD prevalence and the rates of index resection for CD over the study period, stratified by
339 surgery and admission type.

340 Discussion

341 In this study we have shown that 16.4% of patients underwent further surgery after an initial
342 resection of large and/or small bowel for Crohn's disease. The rate of at least one further surgery by
343 5 years was 14% and by 10 years 23%. 5.9% of those with 10 years of follow up had undergone more
344 than one further operation for CD. Rates were higher still in the CD colitis sub-cohort with 19%
345 undergoing further surgery by 5 years after index surgery and more than 28% by 10 years, of which
346 8.3% had more than one further surgery. Overall, 21% of patients undergoing further surgery had at
347 least two further surgeries during the study period with 2% having 4 or more operations after an
348 index operation. Older age, index surgery performed laparoscopically and elective admission for
349 index surgery were all associated with a reduced risk of further surgery by 5 years. Prior perianal
350 surgical intervention, an EIM at baseline and high provider volume of index surgery were associated
351 with an increased risk of further surgery by 5 years. In the CD colitis sub-cohort comorbidity scores
352 of 5+ (though not age) were associated with a reduced risk of further surgery while laparoscopic
353 surgery was not found to be associated with further surgery.

354 Over time index surgery rates for CD have fallen ^{29,30}. Increased recognition and understanding of
355 these conditions with early medical intervention, national IBD audit and standards for IBD care in the
356 UK, changing attitudes to surgery and novel medical therapies are all likely to play important roles in
357 this reduction ^{29,31-33}. In the current study, we have used previous data showing an increase in CD
358 prevalence over time to demonstrate that although the number of index surgical resections for CD
359 have increased over time, the denominator (CD patients in the population) has also increased,
360 leading to a fall in rates of CD index surgery in real terms ³⁴. However, there remains a clear risk of
361 further surgery in patients undergoing resection. Surgery is often the right option in CD, leading to
362 prolonged disease-free periods for many with associated improvements in quality of life ^{35,36}.
363 Recurrent surgery has also fallen over time, a likely result of an evolving therapeutic armoury in CD
364 and improved surgical care ^{2,30}. However, recurrence rates following resectional CD surgery remain

365 high, and while endoscopic recurrence is higher than clinical relapse, the need for further surgery
366 remains substantial ^{4,37}. The data presented here parallels others' findings. Ahmed et al, using HES
367 data, showed that as a proportion of CD hospital admissions, all types of major abdominal surgery
368 for CD have fallen over time ³⁸. Similarly, a UK primary care study looking at first and further
369 resectional surgery over 10 years from CD diagnosis and index surgery, respectively, found a
370 significant fall in surgical risk ³⁰. Historically, surgical rates have fallen significantly, even before the
371 advent of biologic medications ^{29,39}. However, meta-analyses have found that index surgery and
372 further surgery risk, though falling over time, remain high ^{2,3}.

373 Those in the oldest age quintile were at reduced risk of further surgery compared to the youngest
374 patients studied. This observation has been demonstrated previously and although date of diagnosis
375 is not available in the HES database, those with new onset CD in older age may be less at risk of
376 surgery than the young ^{40,41}. Moreover, those who reach older age with CD may experience
377 autoimmune disease "burn-out" where the immune system is less able to mount a severe
378 inflammatory response and so runs a more benign course ⁴². Younger patients known to have a more
379 severe disease course may be less adherent to treatment or less engaged with follow up and thus be
380 at increased risk of emergency presentations as well as higher recurrent risk due to the natural
381 history of CD in the young ^{1,43}.

382 Index surgery during an emergency admission was associated with an increased risk of further
383 surgery both overall and in the CD colitis sub-cohort. The reason behind such an association is likely
384 to be multifactorial. More aggressive disease may present acutely and be an indication of a more
385 severe disease course; up to 16% of cases of CD may present in such a way ³⁶. Partially obstructing
386 strictures, initially managed conservatively, are at risk by their nature of progressing to complete
387 obstruction requiring emergency intervention ⁴⁴. Emergency surgery poses a higher risk of
388 complications associated with both the emergency situation (peritoneal contamination,
389 malnourished patient, sepsis, etc.) as well as the increased need for laparotomy rather than

390 laparoscopic surgery in emergency settings^{34,45,46}. This implies that further surgery will not only be
391 for CD recurrence but also relate to previous surgery, e.g. adhesions³⁶.

392 An increased risk of further surgery was associated with index surgery at higher volume providers.
393 This may represent the fact that more complex disease is seen more commonly in higher volume
394 centres where multidisciplinary teams with surgeons expert in IBD are based⁴⁷. Other factors found
395 to be associated with increased risk of further surgery were prior perianal surgical intervention and
396 the presence of a baseline EIM. Perianal disease has been shown previously to be associated with
397 increased disease relapse^{14,48}. Perianal disease and in particular fistulas have an impact not only on
398 the need for index surgery but also on the risk of further surgery. A population based cohort study
399 by Bernell et al, found a relative risk of index resectional surgery of 1.2 (95%CI 1.03-1.3) for those
400 with perianal fistulas in CD and a 40% (1.4 (1.2-1.7)) increased relative risk for disease recurrence
401 following index resection⁴⁸. A further study from Bernell et al, in 907 patients undergoing
402 ileocaectomy, found that perianal fistulas conferred a 1.6 (1.2-2.3) relative risk of disease
403 recurrence⁴⁰. Others have also shown this risk association and perianal fistulas is an indicator of the
404 need for continued medical therapy following surgical resection^{5,49,50}.

405 EIMs are common in IBD with up to half of patients developing at least one EIM and a higher
406 prevalence in those with CD¹⁵. EIMs have a spectrum of severity and associated morbidity and those
407 with less clinical consequence may not be reliably recorded in a secondary care setting (e.g.
408 episcleritis). In light of this limitation, it may be appropriate to consider the EIMs captured in this
409 study as signs of clinical activity, which is consistent with the fact that most EIMs run a parallel
410 course to bowel activity¹⁹. EIMs were recorded at baseline, rather than at the time of further
411 surgery, suggesting that those with EIMs have a more severe disease course compared to those
412 without.

413

414 Study limitations

415 Database studies of this kind have significant strengths in terms of patient numbers, demographics,
416 and the reliability of procedural coding, which we have been able to validate in a hospital setting.

417 Although the first resectional surgery recording was the method used to include subjects in this
418 study, it is possible that resectional surgery took place historically before HES coding was
419 established. This would mean that some patients in the study would be included who have had
420 previous resectional operations. It should be noted that although attempts were made to reduce
421 confounding by excluding suspected staged surgical procedures, there is still a risk of inclusion of
422 such procedures as a new surgical episode if they took place more than one year after the index
423 procedure. A further limitation in terms of procedural coding is the detail, which is not available
424 from, for instance, operation notes. Ileocaecal resection, for example, is a common procedure for
425 terminal ileal and caecal disease but is coded under the right hemicolectomy code identifier.
426 Moreover, the length of ileal resections may be a risk factor associated with recurrence but is not
427 available from HES coding⁴⁰. Endoscopic balloon dilatation for Crohn's disease strictures is safe and
428 effective and may delay or even prevent further surgery⁵¹. However, we found very few episodes of
429 this procedure in HES and it may have been coded under colonoscopy. However, audits of large
430 teaching hospitals in England suggest low annual numbers of endoscopic balloon dilatation⁵².

431 Significant risks shown to be associated with a more severe disease course in CD which are not
432 available in HES include age at diagnosis, disease extent, disease duration, family history and
433 smoking status^{53,54}. Although infused anti-TNF therapy (infliximab or biosimilar) is captured as a high
434 drug cost in HES, it is clear that other biologics, including self-administered subcutaneous
435 medications, and oral drugs such as azathioprine are not. This is a significant limitation given the
436 frequent use of adalimumab (either originator or biosimilar)³³. The IBD audit 2016 demonstrated a
437 fall in surgery prior to medical treatment between 2012 and 2016, demonstrating changing trends
438 potentially linked to therapeutics³³. We have shown that there is a separation in risk of further

439 resections between patients who had index resection in 2007-9 and 2010-12 and 2007-9 and 2013-
440 15. It is not possible to ascribe causality to this observation, however it is noteworthy that approval
441 in England for maintenance anti-TNF therapy was introduced in 2010⁵⁵. Furthermore, this study was
442 retrospective and includes data that are now several years old, and changes in the use of biologic
443 therapy and surgical technique, including laparoscopic surgery, over this time may limit its
444 applicability to current patients with Crohn's disease.

445 Conclusions

446

447 This study has shown that further resectional surgery for CD remains common with a quarter of
448 patients in England having one or more further operations over a 10-year follow-up period. Prior
449 perianal disease, the presence of an EIM, index operation in a high-volume provider of such surgery
450 and emergency admission at the time of the first operation for CD are all associated with an
451 increased risk of further surgery by 5 years. We have also demonstrated that rates of first resection,
452 when adjusted for CD prevalence, have fallen over time. Healthcare professionals should be aware
453 of these findings in light of endoscopic surveillance guidelines and the recommendation to
454 proactively manage patients with CD in order to reduce the risk that recurrent disease poses to
455 patients, including recurrent surgery.

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576

577

578 Tables and Figures

579 Table 1: Demographic and clinical characteristics of study cohort

580

Demographics		Patients (%)	Further Surgery (%)	Patients with ≥ 5 -year follow-up (%)	Further surgery within 5 years (%)
Sex	Male	8677 (45.2)	1474 (17.0)	5971 (44.7)	833 (14.0)
	Female	10530 (54.8)	1667 (15.8)	7397 (55.3)	994 (13.4)
Age quintile	18-25	4344 (22.6)	793 (18.3)	2678 (20.0)	400 (14.9)
	26-34	3536 (18.4)	601 (17.0)	2775 (20.8)	394 (14.2)
	35-44	3738 (19.5)	671 (18.0)	2706 (20.2)	387 (14.3)
	45-57	3793 (19.7)	628 (16.6)	2606 (19.5)	374 (14.4)
	58+	3796 (19.8)	448 (11.8)	2603 (19.5)	272 (10.4)
Median (IQR) age		39 (27-53)	37 (27-49)	40 (28-53)	38 (27,50)
Provider volume of index surgery	Low (1-79)	2297 (12.0)	340 (14.8)	1731 (12.9)	211 (12.2)
	Med (80-139)	6142 (32.0)	961 (15.6)	4071 (30.5)	524 (12.9)
	High (>139)	10768 (56.1)	1840 (17.1)	7566 (56.6)	1092 (14.4)
Ethnicity	White	16903 (88.0)	2798 (16.6)	11850 (88.6)	1643 (13.9)
	Asian	562 (2.9)	101 (18.0)	350 (2.6)	57 (16.3)
	Other minority ethnicities	609 (3.2)	94 (15.4)	410 (3.1)	48 (11.7)
	Unknown	1133 (5.9)	148 (13.1)	758 (5.7)	79 (10.4)
Deprivation quintile	1 (Most deprived)	4127 (21.5)	713 (17.3)	2826 (21.1)	416 (14.7)
	2	4127 (21.5)	690 (16.7)	2879 (21.5)	402 (14.0)
	3	3958 (20.6)	655 (16.5)	2770 (20.7)	378 (13.6)
	4	3650 (19.0)	553 (15.2)	2522 (18.9)	321 (12.7)
	5 (Least deprived)	3345 (17.4)	530 (15.8)	2371 (17.7)	310 (13.1)
Index surgery admission method	Emergency	8483 (44.2)	1576 (18.6)	5879 (44.0)	914 (15.5)
	Elective	10584 (55.1)	1546 (14.6)	7385 (55.2)	900 (12.2)

	Unknown	140 (0.7)	19 (13.6)	104 (0.8)	13 (12.5)
Year of index surgery	2007	1816 (9.5)	434 (23.9)	1816 (13.6)	260 (14.3)
	2008	1848 (9.6)	429 (23.2)	1848 (13.8)	261 (14.1)
	2009	1886 (9.8)	395 (20.9)	1886 (14.1)	279 (14.8)
	2010	1901 (9.9)	342 (18.0)	1901 (14.2)	252 (13.3)
	2011	1962 (10.2)	304 (15.5)	1962 (14.7)	235 (12.0)
	2012	2004 (10.4)	335 (16.7)	2004 (15.0)	285 (14.2)
	2013	1951 (10.2)	270 (13.8)	1951 (14.6)	255 (13.1)
	2014	1902 (9.9)	269 (14.1)	-	-
	2015	1964 (10.2)	191 (9.7)	-	-
	2016	1973 (10.3)	172 (8.7)	-	-
	Charlson comorbidity score	0	15620 (81.3)	2594 (16.6)	11009 (82.4)
1-4		2465 (12.8)	408 (16.6)	1615 (12.1)	241 (14.9)
5+		1122 (5.8)	139 (12.4)	744 (5.6)	89 (12.0)
Prior perianal surgery		1703 (8.9)	421 (24.7)	1148 (8.6)	231 (20.1)
Extraintestinal manifestation		1035 (5.4)	215 (24.7)	622 (4.7)	119 (19.1)
Laparoscopic Index surgery		5098 (26.5)	662 (13.0)	3051 (22.8)	334 (10.9)
Total		19207	3141 (16.4)	13368	1827 (13.7)

**≤5 patients: data not shown to ensure patient anonymity*

581 Table 2: Multivariable logistic regression of factors associated with further resection
 582 within 5 years of index resection
 583

Factors		Adjusted Odds Ratio	[95% Conf. Interval]		P value
Sex	Male	reference			
	Female	1.01	0.91	1.12	0.847
Age quintile	18-25	reference			
	26-34	0.95	0.81	1.10	0.470
	35-44	0.95	0.82	1.11	0.512
	45-57	0.97	0.83	1.13	0.663
	58+	0.65	0.54	0.77	<0.001
Provider volume of index surgery	Low	reference			
	Medium	1.05	0.89	1.25	0.559
	High	1.20	1.02	1.40	0.027
Ethnicity	White	reference			
	Asian	1.10	0.82	1.47	0.532
	Other minority ethnicities	0.79	0.58	1.07	0.126
	Unknown	0.71	0.56	0.90	0.005
Deprivation quintile	1 (Most deprived)	reference			
	2	0.95	0.82	1.10	0.503
	3	0.94	0.81	1.09	0.427
	4	0.88	0.75	1.03	0.100
	5 (Least deprived)	0.91	0.77	1.07	0.238
Index admission surgery	Emergency	reference			
	Non-emergency	0.77	0.69	0.85	<0.001
Charlson comorbidity Score	0	reference			
	1-4	1.16	1.00	1.35	0.050
	5+	0.96	0.75	1.22	0.710
Year of index resection	2007	reference			
	2008	0.97	0.80	1.17	0.721
	2009	1.02	0.85	1.22	0.853
	2010	0.89	0.73	1.07	0.214
	2011	0.80	0.66	0.97	0.021
	2012	0.98	0.82	1.18	0.840
	2013	0.90	0.74	1.08	0.263
Prior perianal surgery		1.60	1.37	1.87	<0.001
Presence of extraintestinal manifestations		1.51	1.22	1.86	<0.001
Index surgery performed laparoscopically		0.77	0.67	0.88	<0.001

584

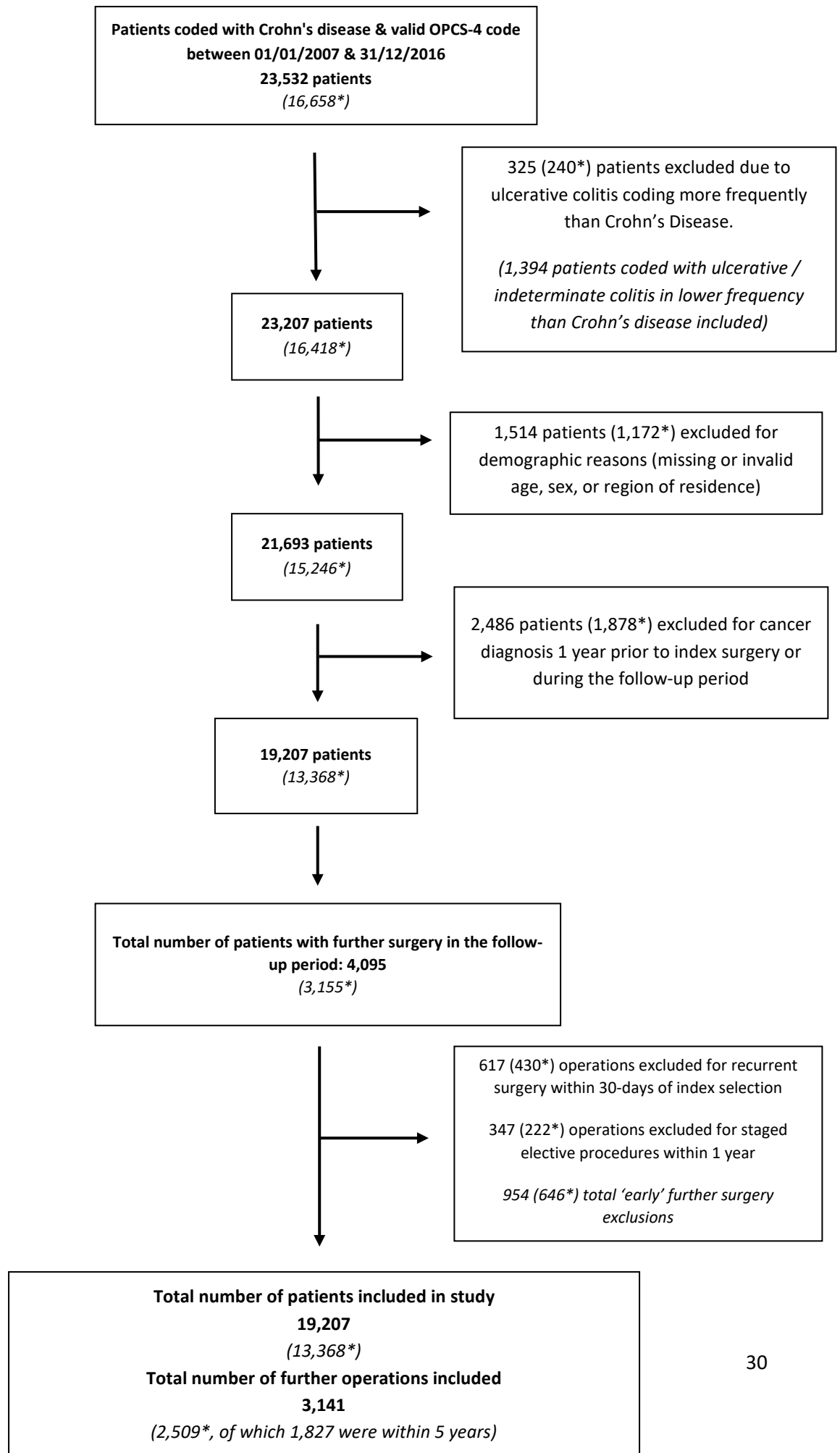


Figure 1. Study Flow Chart *Patients with at least 5 years of follow up included in primary analysis.

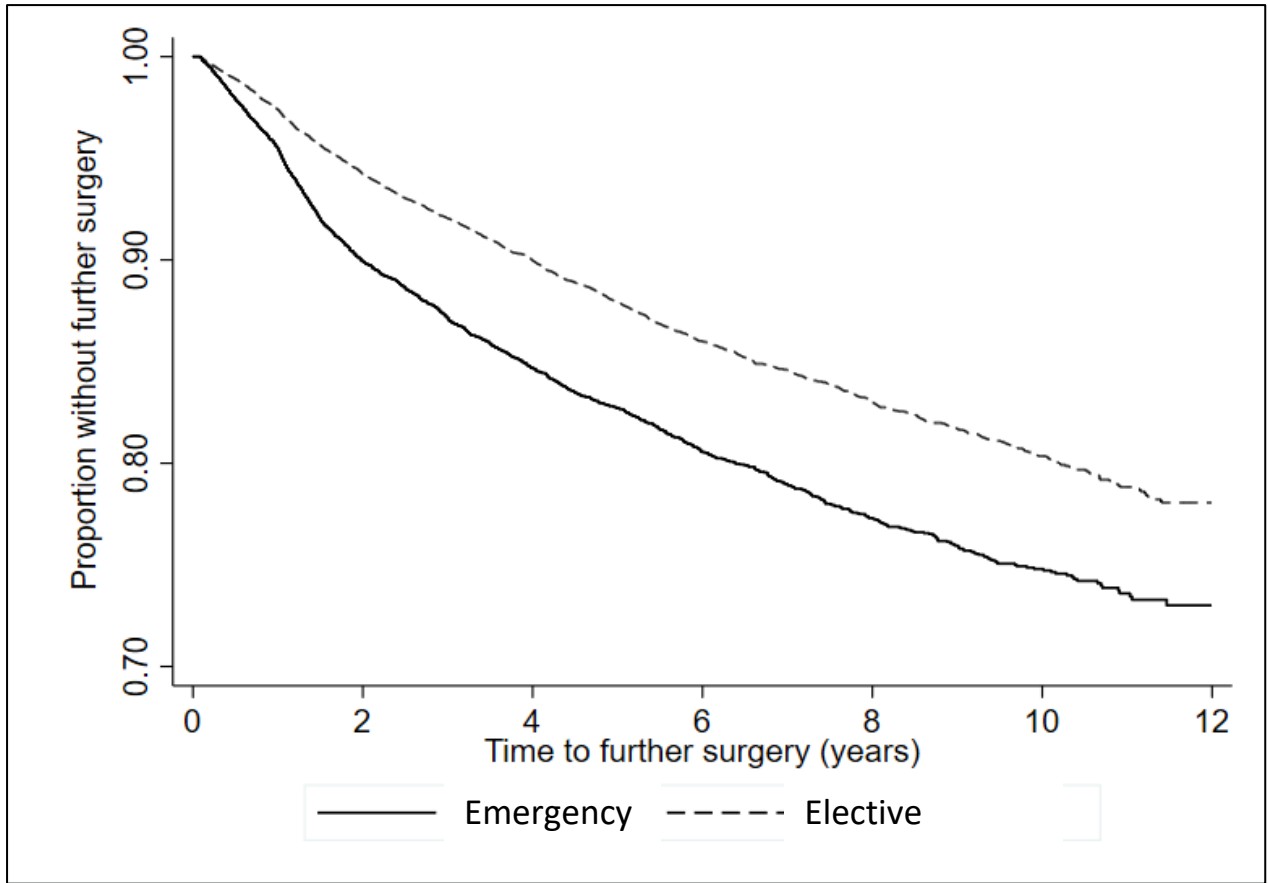


Figure 2. Kaplan-Meier curve showing time to further resection for those who underwent an index resection during an emergency or an elective admission.

587

588

589 Figure 3

590

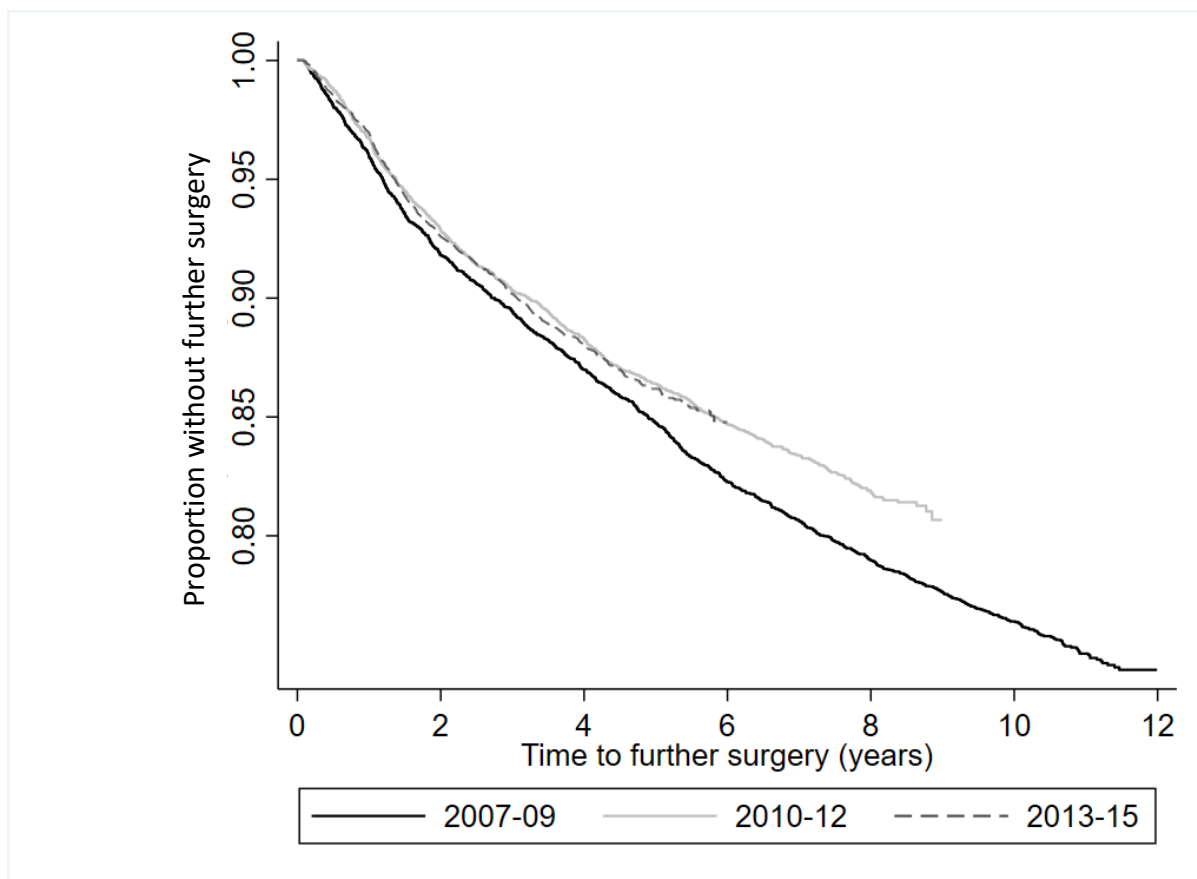
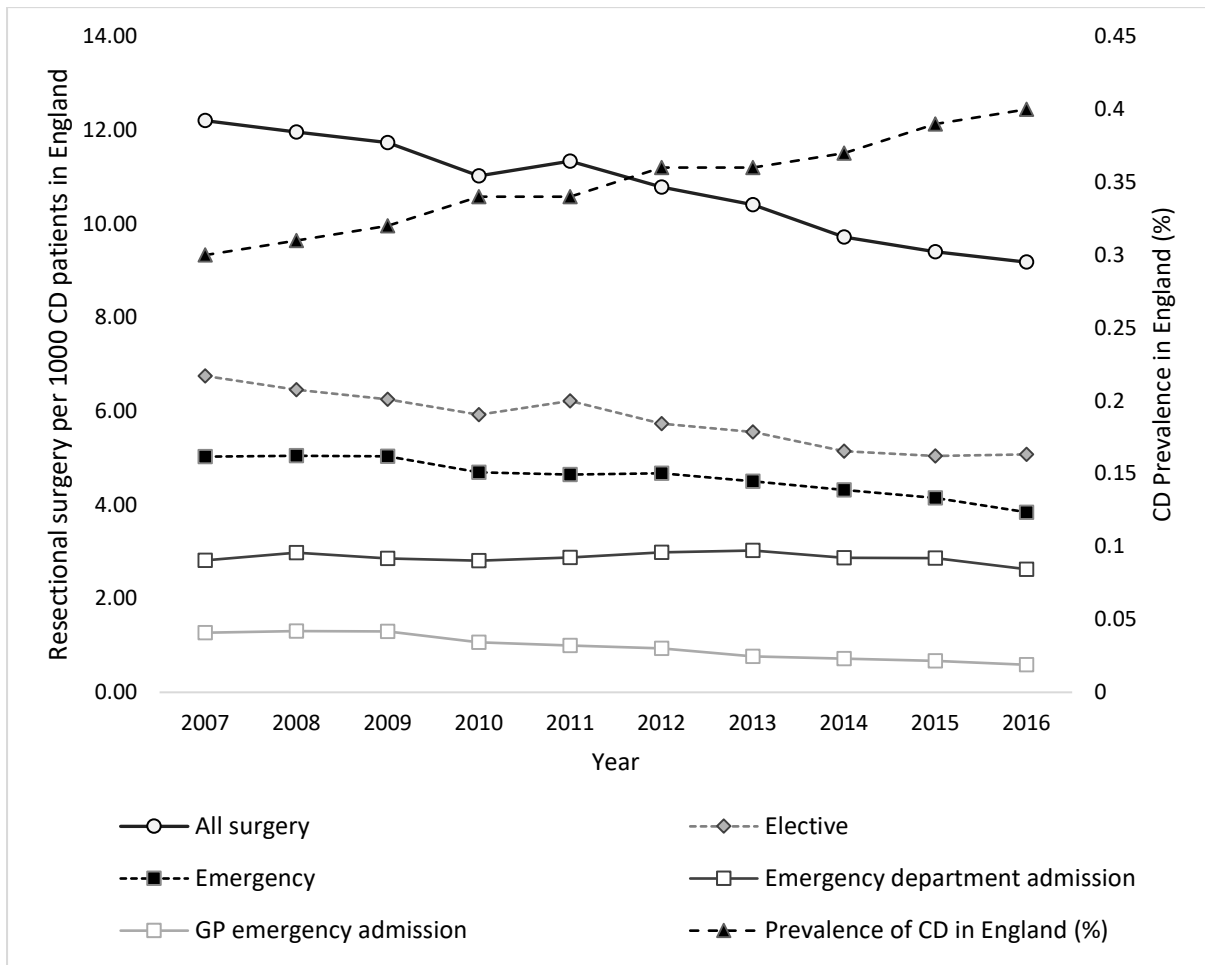


Figure 3. Kaplan-Meier analysis showing the proportion of patients who have further surgery stratified by 3-year time periods of index Crohn's disease resection

591

592

593 Figure 4



594

595 Figure 4. Index resection rates stratified by surgery and admission type, and Crohn's disease
 596 (CD) prevalence in England
 597 GP: General practitioner