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Abelianisation of logarithmic \mathfrak{sl}_2 -connections

Nikita Nikolaev¹

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Abstract

We prove a functorial correspondence between a category of logarithmic \mathfrak{sl}_2 -connections on a curve X with fixed generic residues and a category of abelian logarithmic connections on an appropriate spectral double cover $\pi : \Sigma \rightarrow X$. The proof is by constructing a pair of inverse functors $\pi^{\text{ab}}, \pi_{\text{ab}}$, and the key is the construction of a certain canonical cocycle valued in the automorphisms of the direct image functor π_* .

Keywords Meromorphic connections · Spectral curves · Spectral networks · Stokes graph · Exact WKB · Abelianisation · Levelt filtrations · Singular differential equations

Mathematics Subject Classification Primary 53C05 · 34M03 · 34M35 · 34M40 · 34M45

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1 Introduction

This paper describes an approach to analysing meromorphic connections on Riemann surfaces. The technique, called *abelianisation*, is to introduce a decorated graph Γ on a Riemann surface X in order to establish a correspondence between meromorphic connections on vector bundles of higher rank over X and meromorphic connections on line bundles (which we call *abelian connections*) over a multi-sheeted ramified cover $\Sigma \rightarrow X$. Namely, given a flat vector bundle \mathcal{E} on X , an application of the standard local theory of singular differential equations near each pole allows one to extract valuable asymptotic information in the form of locally defined flat filtrations on \mathcal{E} , first discovered by Levelt [18]. These filtrations, often called *Levelt filtrations*, can be organised into a single flat line bundle \mathcal{L} over Σ , and \mathcal{E} can be recovered from \mathcal{L} using the combinatorial data encoded in Γ .

1.1 Main result

In this paper, we restrict our attention to the simplest case of \mathfrak{sl}_2 -connections with logarithmic singularities and generic residues. Our main result (Theorem 3.3) is a natural equivalence between a category of \mathfrak{sl}_2 -connections on X and a category of logarithmic abelian connections on a double cover Σ of X . More precisely, fix (X, D) a compact smooth complex curve with a finite set of marked points, fix the data of generic residues along D , and choose an appropriate meromorphic quadratic differential φ on X with double poles along D . Then φ gives rise to a double cover $\pi : \Sigma \rightarrow X$ (called the *spectral curve*) ramified at $R \subset \Sigma$, a graph Γ on X (called the *Stokes graph*), and a transversality condition on the Levelt filtrations extracted at nearby poles as dictated by Γ . Then there is a natural equivalence of categories:

$$\left\{ \begin{array}{l} \mathfrak{sl}_2\text{-connections on } X \\ \text{with logarithmic poles at } D \\ \text{with very generic residues} \\ \text{transverse with respect to } \Gamma \end{array} \right\} \xrightleftharpoons[\pi_{\Gamma_{ab}}]{\pi_{\Gamma}^{ab}} \left\{ \begin{array}{l} \text{abelian connections on } \Sigma \\ \text{with logarithmic poles} \\ \text{at } \pi^{-1}(D) \cup R \text{ with fixed residues} \\ \text{equipped with odd structure} \end{array} \right\}.$$

Given a flat vector bundle \mathcal{E} on X , the *abelianisation functor* π_{Γ}^{ab} extracts Levelt filtrations along D and glues them into a flat line bundle \mathcal{L} over Σ . In order to recover \mathcal{E} from \mathcal{L} , the main difficulty is that the naive guess that \mathcal{E} is the pushforward $\pi_*\mathcal{L}$ is incorrect because $\pi_*\mathcal{L}$ necessarily has logarithmic singularities along the branch locus. The solution is to realise the combinatorial content of the Stokes graph Γ in cohomology: we construct a canonical cocycle \mathbb{V} on X (called the *Voros cocycle*) which deforms the pushforward functor π_* , as a functor, and this deformation is the *nonabelianisation functor* π_{ab}^{Γ} . The Voros cocycle is constructed in a completely standardised and

combinatorial way from the Stokes graph Γ . This is significant because it means \mathbb{V} is constructed without reference to any specific choice of \mathcal{E} or \mathcal{L} , thereby setting up an equivalence of categories.

1.2 Context: spectral networks and exact WKB

Analysis of higher rank connections using abelian connections over a multi-sheeted cover has previously appeared in the context of spectral networks [7–10,14], and even earlier from a different point of view in the context of the exact WKB analysis; e.g., [4,16,21]. The purpose of our work is to give a mathematical formulation of abelianisation of connections, and this paper is the first and important step in this direction. Our point of view, via the deformation theory of the pushforward functor, sheds light on the mathematical content of the methods of spectral networks and the exact WKB analysis, unifying the insights coming from these theories. Indeed, the local expressions for the Voros cocycle \mathbb{V} involve precisely the same type of unipotent matrices that appear in the pioneering work of Voros on the exact WKB analysis [21] (we call \mathbb{V} the *Voros cocycle* exactly for this reason). At the same time, the off-diagonal terms of \mathbb{V} are given in terms of abelian parallel transports along canonically defined paths on the spectral curve. These appeared in the work of Gaiotto–Moore–Neitzke [8] which inspired the current project. In fact, one of the main achievements of this paper is giving a clear mathematical explanation that the path-lifting rule appearing in [8] emerges simply from the repeated application of the Voros cocycle.

1.3 Outlook

Abelianisation of connections can be seen as generalising the abelianisation of Higgs bundles [1,13] (a.k.a. the spectral correspondence, which is a key step in the analysis of Hitchin integrable systems and the geometric Langlands programme) to flat bundles. Indeed, Proposition 3.6 shows that the abelianisation line bundle \mathcal{L} is the correct analogue of the spectral line bundle. It was also conjectured in the work of Gaiotto–Moore–Neitzke [8] that such a procedure of abelianisation of connections should yield symplectic cluster coordinates on moduli spaces of meromorphic connections. This article (which is an extension of the work the author completed in his thesis [19]) is thus the first important step in realising this programme in mathematical terms.

1.4 Content

The article is dedicated to the proof of Theorem 3.3, which proceeds by constructing the functors $\pi_{\Gamma}^{\text{ab}}, \pi_{\text{ab}}^{\Gamma}$ and showing that they form an inverse equivalence. Propositions 3.5 and 3.6 give a summary of the main properties of the relationship between (\mathcal{E}, ∇) and its abelianisation (\mathcal{L}, ∂) . We also make the curious observation that the nonabelian Voros cocycle may itself be abelianised: there is an abelian cocycle Δ on the spectral curve Σ which completely determines the Voros cocycle \mathbb{V} in the sense of Proposition 3.16.

2 Logarithmic connections and spectral curves

Throughout this paper, let X be a compact smooth complex curve and $D \subset X$ a finite set of marked points. We assume that D is nonempty with $|D| > \chi(X) = 2 - 2g_X$, where g_X is the genus of X . The Lie algebra $\mathfrak{sl}(2, \mathbb{C})$ is denoted by \mathfrak{sl}_2 .

2.1 Logarithmic connections and Levelt filtrations

2.1. A logarithmic \mathfrak{sl}_2 -connection on (X, D) is the data (\mathcal{E}, ∇, M) of a holomorphic rank-two vector bundle \mathcal{E} on X , a \mathbb{C}_X -linear map of sheaves

$$\nabla : \mathcal{E} \longrightarrow \mathcal{E} \otimes \Omega_X^1(D)$$

satisfying the Leibniz rule $\nabla(fe) = e \otimes df + f\nabla(e)$ for all $e \in \mathcal{E}, f \in \mathcal{O}_X$, and a trivialisation $M : \det(\mathcal{E}) \xrightarrow{\sim} \mathcal{O}_X$ such that $M(\text{tr } \nabla)M^{-1} = d$. They form a category, which we denote by $\text{Conn}_{\mathfrak{sl}_2}^2(X, D)$. We will often omit “ M ” from the notation.

2.2. Generic Levelt exponents and residue data. The residue sequence for $\Omega_X^1(D)$ implies that the restriction of ∇ to D is a well-defined \mathcal{O}_D -linear endomorphism $\text{Res } \nabla := \nabla|_D \in H_X^0(\text{End}(\mathcal{E}|_D))$, called the **residue** of ∇ along D . A further restriction of $\text{Res } \nabla$ to any point $p \in D$ is an endomorphism of the fibre $\text{Res}_p \nabla \in \text{End}(\mathcal{E}|_p)$ whose eigenvalues $\pm\lambda_p \in \mathbb{C}$ are called the **Levelt exponents** of ∇ at p . The determinant map $\det : \text{End}(\mathcal{E}|_D) \rightarrow \mathcal{O}_D$ sends $\text{Res } \nabla$ to a global section of \mathcal{O}_D :

$$a := -\det \text{Res } \nabla = \left\{ a_p := -\det(\text{Res}_p \nabla) = \lambda_p^2 \in \mathbb{C} \mid p \in D \right\} \in H_X^0(\mathcal{O}_D).$$

2.3 Definition (Generic residue data) The Levelt exponents $\pm\lambda_p$ at p are called **generic** if $\text{Re}(\lambda_p) \neq 0$ and $\lambda_p \notin \frac{1}{2}\mathbb{Z}$. We will refer to any section $a \in H_X^0(\mathcal{O}_D)$ as **residue data**, and say it is **generic** if for each $p \in D$, the two square roots $\pm\lambda_p$ of a_p define generic Levelt exponents.

2.4. Thus, a is generic if and only if each complex number a_p is *not* purely negative real or a quarter square $n^2/4$ for some $n \in \mathbb{Z}$. We will always order the generic Levelt exponents by their increasing real part: $-\lambda_p < \lambda_p$ if and only if $\text{Re}(\lambda_p) > 0$. The assumption that $\text{Re}(\lambda_p) \neq 0$ is necessary for the construction in this paper because we will use the ordering $<$, but the assumption that $\lambda_p \notin \frac{1}{2}\mathbb{Z}$ (usually called **non-resonance**) can be removed without a great deal of difficulty; in this paper, however, we restrict ourselves to this simplest situation and generalisations will appear elsewhere.

2.5 Example Perhaps the most familiar explicit example is the following. Take $X := \mathbb{P}^1$, fix $d \geq 3$ distinct points $D := \{u_1, \dots, u_d\} \subset \mathbb{P}^1$, and d constant matrices $A_1, \dots, A_d \in \mathfrak{sl}_2$ with $A_1 + \dots + A_d = 0$. We usually choose an affine coordinate z on $\mathbb{P}^1 =: \mathbb{P}_z^1$ such that u_d is the point at infinity. Then the trivial rank-two vector bundle $\mathcal{E} = \mathcal{O}_{\mathbb{P}^1} \oplus \mathcal{O}_{\mathbb{P}^1}$ is equipped with a logarithmic connection ∇ defined with

respect to the standard basis for \mathcal{E} by the following formula in the affine coordinate charts z and $w = z^{-1}$:

$$\nabla := d + \sum_{i=1}^{d-1} \frac{A_i}{z - u_i} dz \quad \text{and} \quad \nabla = d - \sum_{i=1}^{d-1} \frac{A_i}{1 - u_i w} \frac{dw}{w} \tag{1}$$

Evidently, ∇ has logarithmic singularities at each point u_i with residue $\text{Res}_{u_i} \nabla = A_i$. The residue $\text{Res} \nabla$ along D is then simply the full collection of the chosen matrices $\{A_1, \dots, A_d\}$. The eigenvalues $\pm\lambda_i \in \mathbb{C}$ of each A_i are the Levelt exponents of ∇ , so the residue data of ∇ is $a = \{\lambda_1^2, \dots, \lambda_d^2\}$.

2.6. The central object of study in this paper is the category of logarithmic \mathfrak{sl}_2 -connections on (X, D) with fixed generic residue data a , for which we shall use the following shorthand notation:

$$\text{Conn}_X^2 := \text{Conn}_{\mathfrak{sl}}^2(X, D; a) \subset \text{Conn}_{\mathfrak{sl}}^2(X, D)$$

2.7. Local diagonal decomposition. Fix a point $p \in D$, and consider a connection germ $(\mathcal{E}_p, \nabla_p)$ at p with generic Levelt exponents $\pm\lambda_p$ at p , where $\text{Re}(\lambda) > 0$. A coordinate trivialisation $\mathcal{E}_p \xrightarrow{\sim} \mathbb{C}\{z\}^2$ transforms ∇_p to a logarithmic \mathfrak{sl}_2 -differential system $d + A(z)z^{-1} dz$, where $A(z)$ is some \mathfrak{sl}_2 -matrix of holomorphic function germs. By [22, Theorems 5.1, 5.4], there exists a holomorphic SL_2 gauge transformation which transforms the given differential system into the diagonal system $d + \text{diag}(-\lambda_p, +\lambda_p)z^{-1} dz$ which depends only on λ_p and z . This classical theorem about singular ordinary differential equations admits vast generalisations, but we do not need them here. Together with the fixed ordering on the Levelt exponents, it induces a graded decomposition of \mathcal{E}_p with respect to which ∇_p is diagonal.

2.8 Proposition (Local diagonal decomposition) *Let $(\mathcal{E}_p, \nabla_p, M_p)$ be the germ of a logarithmic \mathfrak{sl}_2 -connection at $p \in D$ with generic Levelt exponents $\pm\lambda_p$. Then there is a canonical ordered decomposition*

$$\mathcal{E}_p \xrightarrow{\sim} \Lambda_p^- \oplus \Lambda_p^+ \quad \text{with} \quad \nabla_p \simeq \partial_p^- \oplus \partial_p^+$$

where $(\Lambda_p^\pm, \partial_p^\pm)$ is a rank-one logarithmic connection germ at p with residue $\pm\lambda_p$. Moreover, M induces a flat skew-symmetric isomorphism $M_p : \Lambda_p^- \otimes \Lambda_p^+ \xrightarrow{\sim} \mathcal{O}_{X,p}$.

Here, “skew-symmetric” means that M_p is multiplied by -1 under the switching map. The order on the Levelt exponents $-\lambda_p < +\lambda_p$ determines a ∇_p -invariant filtration $\mathcal{E}_p^\bullet := (\Lambda_p^- \subset \mathcal{E}_p)$ on the vector bundle germ \mathcal{E}_p , which we will refer to as the **Levelt filtration** in reference to the more general such concept studied by Levelt in his thesis [18].

We will refer to the ∇_p -invariant filtration $\mathcal{E}_p^\bullet := (\Lambda_p^- \subset \mathcal{E}_p)$, given by the order on the Levelt exponents $-\lambda_p < +\lambda_p$, as the **Levelt filtration** on the vector bundle germ \mathcal{E}_p . Clearly, any pair of logarithmic \mathfrak{sl}_2 -connection germs $(\mathcal{E}_p, \nabla_p), (\mathcal{E}'_p, \nabla'_p)$ with the

same generic Levelt exponents $\pm\lambda_{\mathfrak{p}}$ at \mathfrak{p} are isomorphic and any such isomorphism is necessarily diagonal with respect to the diagonal decompositions. Any morphism $(\mathcal{E}_{\mathfrak{p}}, \nabla_{\mathfrak{p}}) \rightarrow (\mathcal{E}'_{\mathfrak{p}}, \nabla'_{\mathfrak{p}})$ necessarily preserves the Levelt filtration.

2.9 Example Continuing Example 2.5, assume that ∇ has generic residue data, and restrict our attention to the disc germ of, say, the singularity u_1 . There is an SL_2 matrix $G = G(z)$, holomorphic at $z = u_1$, such that

$$G\nabla G^{-1} = d + \begin{bmatrix} -\lambda_1 & \\ & +\lambda_1 \end{bmatrix} \frac{dz}{z - u_1}$$

Then the line subbundles Λ_1^-, Λ_1^+ are generated by $e_- := G^{-1} \begin{bmatrix} 1 \\ 0 \end{bmatrix}$ and $e_+ := G^{-1} \begin{bmatrix} 0 \\ 1 \end{bmatrix}$.

2.2 Logarithmic connections and double covers

Logarithmic connections can be pulled back and pushed forward along ramified covers. In this section we describe these operations, restricting ourselves to the simplest case of double covers $\pi : \Sigma \rightarrow X$ with simple ramification and which are trivial over the polar divisor D . Thus, let $C := \pi^{-1}(D)$ and let $R \subset \Sigma$ be the ramification divisor. Here and everywhere, we assume that R has no higher multiplicity and that the branch locus $B := \pi(R) \subset X$ is disjoint from D . We denote by $\sigma : \Sigma \rightarrow \Sigma$ the canonical involution.

2.10. Odd abelian connections. Connections on line bundles are sometimes called *abelian connections*. The line bundle $\mathcal{O}_{\Sigma}(R)$ carries a canonical logarithmic connection ∂_R , defined to be the connection for which the canonical map $\mathcal{O}_{\Sigma} \rightarrow \mathcal{O}_{\Sigma}(R)$ is flat. Explicitly, if z is a local coordinate on Σ vanishing at $r \in R$, then the local section $z^{-1} \in \mathcal{O}_{\Sigma}(R)$ gives a trivialisation, in which ∂_R is given by

$$\partial_R(z^{-1}) = d(z^{-1}) = -z^{-1} dz \otimes z^{-1}, \quad \text{i.e.,} \quad \partial_R = d - z^{-1} dz$$

2.11 Definition (Odd abelian connection) An *odd abelian logarithmic connection* on $(\Sigma, R \cup C)$ is the data $(\mathcal{L}, \partial, \mu)$ consisting of an abelian logarithmic connection on $(\Sigma, R \cup C)$ equipped with a skew-symmetric isomorphism $\mu : \mathcal{L} \otimes \sigma^* \mathcal{L} \xrightarrow{\sim} \mathcal{O}_{\Sigma}(R)$ intertwining $\partial \otimes \sigma^* \partial$ and ∂_R .

Here, “skew-symmetric” means μ satisfies $\sigma^* \mu = -\mu$. Abelian connections with a similar structure but over the *punctured* curve $\Sigma \setminus C \cup R$ have appeared in [14, §4.2] under the name *equivariant connections*. We refer to the isomorphism μ as the *odd structure* on (\mathcal{L}, ∂) . Odd abelian connections form a category

$$\text{Conn}_{\Sigma}^1 = \text{Conn}_{\text{odd}}^1(\Sigma, R \cup C)$$

where morphisms are morphisms of connections $\phi : \mathcal{L} \rightarrow \mathcal{L}'$ that intertwine the odd structures μ, μ' in the sense that $\mu' \circ (\phi \otimes \sigma^* \phi) = \mu$. It is easy to check that if μ_1, μ_2 are any two odd structures on the same abelian connection (\mathcal{L}, ∂) , then $(\mathcal{L}, \partial, \mu_1) \cong (\mathcal{L}, \partial, \mu_2)$, and there are exactly two such isomorphisms.

2.12 Proposition (Residues of odd connections) *The residue of any odd abelian connection $(\mathcal{L}, \partial, \mu)$ at a ramification point is $-1/2$. In particular, the monodromy of ∂ around a ramification point is -1 . Furthermore, if $p \in D$ and $p_{\pm} \in C$ are the two preimages of p , then the residues of ∂ at p_{\pm} satisfy*

$$\text{Res}_{p_-} \partial + \text{Res}_{p_+} \partial = 0 .$$

Proof The residue of ∂_R at $r \in R$ is -1 . If $\lambda = \text{Res}_r \partial$, then the residue of the connection $\partial \otimes \sigma^* \partial$ at r is 2λ , so the odd structure on \mathcal{L} forces $\lambda = -1/2$. Next, since $\sigma(p_-) = p_+$, the residue at p_- of $\sigma^* \partial$ is equal to the residue of ∂ at p_+ . This means $\partial \otimes \sigma^* \partial$ has residue $\text{Res}_{p_-} \partial + \text{Res}_{p_+} \partial$ at p_- . But the residue of ∂_R at p_- is 0, so the odd structure on \mathcal{L} forces the identity. \square

By using the residue theorem for connections [6, Cor. (B.3), p.186], it is easy to compute the degree of a line bundle carrying an odd connection.

2.13 Proposition (Degree of odd connections) *If $(\mathcal{L}, \partial, \mu) \in \text{Conn}_{\text{odd}}^1(\Sigma, R \cup C)$, then $\text{deg}(\mathcal{L}) = \frac{1}{2}|R| = -\text{deg}(\pi_* \mathcal{O}_{\Sigma})$.*

2.14. Pullback and pushforward of connections. The pullback of \mathcal{O}_X -modules along π extends to a pullback functor on connections

$$\pi^* : \text{Conn}_{\mathfrak{sl}}^2(X, D) \rightarrow \text{Conn}_{\mathfrak{sl}}^2(\Sigma, C)$$

by the rule $\pi^* \nabla(\pi^* e) = \pi^*(\nabla e)$ for any local section $e \in \mathcal{E}$. Clearly, the Levelt exponents of ∇ at $p \in D$ and the Levelt exponents of $\pi^* \nabla$ at any preimage $\tilde{p} \in C$ of p are the same. More interesting is pushing connections forward along π . The direct image functor π_* of \mathcal{O}_{Σ} -modules can be used to pushforward connections from Σ down to X , but the relationship between the polar divisors is more complicated (see [11, proposition 2.17] for more generality).

2.15 Proposition (Pushforward of odd abelian connections) *The direct image π_* extends to a functor*

$$\pi_* : \text{Conn}_{\text{odd}}^1(\Sigma, R \cup C) \longrightarrow \text{Conn}_{\mathfrak{sl}}^2(X, B \cup D) . \tag{2}$$

Moreover, for any $\partial \in \text{Conn}_{\text{odd}}^1(\Sigma, R \cup C)$, if $\pm\lambda \in \mathbb{C}$ are its residues at the two preimages $p_{\pm} \in C$ of a point $p \in D$, then the Levelt exponents of $\pi_ \partial$ at p are $\pm\lambda$.*

Proof A logarithmic connection on $(\Sigma, R \cup C)$ is a map $\partial : \mathcal{L} \rightarrow \mathcal{L} \otimes \Omega_{\Sigma}^1(R \cup C)$, and its direct image is therefore $\pi_* \partial : \pi_* \mathcal{L} \rightarrow \pi_*(\mathcal{L} \otimes \Omega_{\Sigma}^1(R \cup C))$. We claim that there is a canonical isomorphism $\Omega_{\Sigma}^1(R \cup C) \xrightarrow{\sim} \pi^* \Omega_X^1(B \cup D)$. First, $\pi^* \Omega_X^1(B \cup D) = (\pi^* \Omega_X^1)(\pi^*(B \cup D))$, where $\pi^*(B \cup D) = 2R \cup C$ (pulled back as a divisor). The derivative map $d\pi : \mathcal{T}_{\Sigma} \rightarrow \pi^* \mathcal{T}_X$ drops rank along R ; i.e., it is a nonvanishing section of the line bundle $\mathcal{T}_{\Sigma} \otimes \pi^* \mathcal{T}_X(-R)$, thereby inducing an isomorphism $\pi^* \mathcal{T}_X \xrightarrow{\sim} \mathcal{T}_{\Sigma}(R)$. Dualising, we get $\pi^* \Omega_X^1 \xrightarrow{\sim} \Omega_{\Sigma}^1(-R)$. Thus, the projection formula implies $\pi_*(\mathcal{L} \otimes \Omega_{\Sigma}^1(R \cup C)) \cong \pi_* \mathcal{L} \otimes \Omega_X^1(B \cup D)$. To check that $\pi_* \partial$ satisfies the Leibniz

rule, let $e \in \pi_*\mathcal{L}$ be a local section on some open set $U \subset X$, and $f \in \mathcal{O}_X(U)$. Then $\pi_*\partial(fe) = \pi_*(\partial(\pi^*f \cdot e))$. Now it is clear that the Leibniz rule for $\pi_*\partial$ follows from the Leibniz rule for ∂ . Therefore, $(\pi_*\mathcal{L}, \pi_*\partial)$ is a rank-two logarithmic connection on $(X, B \cup D)$.

To show that the odd structure on \mathcal{L} induces an \mathfrak{sl}_2 -structure on $\pi_*\mathcal{L}$, recall that there is a canonical isomorphism $\det(\pi_*\mathcal{L}) \cong \det(\pi_*\mathcal{O}_\Sigma) \otimes \text{Nm}(\mathcal{L})$, where $\text{Nm}(\mathcal{L})$ is the norm of \mathcal{L} [12, Cor. 3.12]. For a double cover, there is a canonical isomorphism $\pi^*\text{Nm}(\mathcal{L}) \cong \mathcal{L} \otimes \sigma^*\mathcal{L}$. Moreover, it is easy to see that $\pi^*\det(\pi_*\mathcal{O}_\Sigma)$ is canonically isomorphic to $\mathcal{O}_\Sigma(-R)$. The statement about the residues is obvious because π is unramified over D . \square

2.16. Image of π_* . One can show that the monodromy of $\pi_*\partial$ around the branch locus B is a quasi-permutation representation of the double cover $\Sigma \rightarrow X$ [17]. As a result, no connection on (X, D) is the pushforward of an abelian connection on Σ . In other words, the image of the pushforward functor π_* in $\text{Conn}_{\mathfrak{sl}}^2(X, B \cup D)$ does not even intersect the subcategory $\text{Conn}_{\mathfrak{sl}}^2(X, D)$. Abelianisation fixes this problem: in Sect. 3.3, we will explicitly construct a deformation of the pushforward functor π_* which *does* map into $\text{Conn}_{\mathfrak{sl}}^2(X, D)$.

2.3 Spectral curves for quadratic differentials

Let φ be a quadratic differential on (X, D) , by which we mean a meromorphic quadratic differential on X with at most order-two poles along D ; i.e., it is a global holomorphic section of $S^2\Omega_X^1(2D)$. The standard reference is [20]; see also [3, §§2,3]. By the Riemann–Roch Theorem,

$$\dim H_X^0(S^2\Omega_X^1(2D)) = 2|D| + 3g_X - 3 \tag{3}$$

2.17. Quadratic residue. In any local coordinate x centred at $\mathfrak{p} \in D$, a quadratic differential φ with a double pole at \mathfrak{p} is expanded as $\varphi = (a_{\mathfrak{p}}x^{-2} + \dots) dx^2$. The coefficient $a_{\mathfrak{p}} \in \mathbb{C}$ is a coordinate-independent quantity, called the (*quadratic*) *residue* of φ at \mathfrak{p} and denoted $\text{Res}_{\mathfrak{p}}(\varphi)$. The residue of φ along D is thus a global section $a = \text{Res}(\varphi) \in H_X^0(\mathcal{O}_D)$, same as what we called *residue data* in Sect. 2.1. There is a *quadratic residue short exact sequence*:

$$0 \longrightarrow S^2\Omega_X^1(D) \longrightarrow S^2\Omega_X^1(2D) \xrightarrow{\text{Res}} \mathcal{O}_D \longrightarrow 0 \tag{4}$$

2.18 Lemma *For any $a \in H_X^0(\mathcal{O}_D)$, there is a quadratic differential φ on (X, D) with $\text{Res}(\varphi) = a$.*

Proof By the Kodaira Vanishing Theorem, $H_X^1(S^2\Omega_X^1(D)) = 0$, which implies that the residue map $\text{Res} : H_X^0(S^2\Omega_X^1(2D)) \rightarrow H_X^0(\mathcal{O}_D)$ is surjective. This means that any residue data a decorating the divisor D can be lifted to a quadratic differential φ . \square

2.19. In view of (3), the only configuration (X, D) for which there is a unique quadratic differential φ with specified residues is $(g_X, |D|) = (0, 3)$ (i.e., \mathbb{P}^1 with three marked points). In this case, the three-dimensional vector space of quadratic differentials $H_X^0(S^2\Omega_X^1(2D))$ can be parameterised by the residues α, β, γ at the three points of D . Identifying (X, D) with $(\mathbb{P}^1, \{0, 1, \infty\})$, one can show that the unique quadratic differential with residues α, β, γ at the double poles $0, 1, \infty$ is

$$\varphi = \frac{\gamma z^2 - (\alpha - \beta + \gamma)z + \alpha}{z^2(z-1)^2} dz^2. \quad (5)$$

2.20. Generic quadratic differentials. We will say that a quadratic differential φ is *generic* if all zeroes are simple. The subspace of generic quadratic differentials in $H_X^0(S^2\Omega_X^1(2D))$ is obviously open dense given as the complement of a hypersurface. If $(g_X, |D|) \neq (0, 3)$, then the space of quadratic differentials is at least one-dimensional; but if $(g_X, |D|) = (0, 3)$, this is a condition on the residues of φ . One can use (5) to calculate that the open subspace of generic quadratic differentials for $(g_X, |D|) = (0, 3)$ is the complement of the quadratic hypersurface

$$\left\{ \alpha^2 + \beta^2 + \gamma^2 - 2\alpha\beta - 2\alpha\gamma - 2\beta\gamma = 0 \right\} \subset \mathbb{C}_{\alpha\beta\gamma}^3 \cong H_X^0(S^2\Omega_X^1(2D)). \quad (6)$$

2.21 Lemma *Let $a \in H_X^0(\mathcal{O}_D)$ be generic residue data. If $(g_X, |D|) = (0, 3)$, assume in addition that a is contained in the complement of the hypersurface (6). Then there exists a generic quadratic differential φ on (X, D) such that $\text{Res}(\varphi) = a$.*

2.22 Example Consider the following examples of meromorphic quadratic differentials on $X := \mathbb{P}_z^1$:

$$\begin{aligned} \varphi_1 &:= \frac{1}{9} \frac{z^2 - z + 1}{z^2(z-1)^2} dz^2, \\ \varphi_2 &:= \frac{1}{9} \frac{z^4 + 1}{z^2(z-1)^2(z+1)^2} dz^2, \\ \varphi_3 &:= e^{3\pi i/4} \frac{16}{15} \frac{4z^4 + 15iz^2 + 4}{(z^4 + 1)^2} dz^2. \end{aligned}$$

The quadratic differential φ_1 is of the form (6) with $\alpha = \beta = \gamma = 1/9$. They respectively have double poles along $D_1 := \{0, 1, \infty\}$, $D_2 := \{0, \pm 1, \infty\}$, and $D_3 := \{e^{\pm\pi i/4}, e^{\pm 3\pi i/4}\}$. Each quadratic residue of φ_1 and φ_2 is $1/9$; each quadratic residue of φ_3 is $e^{\pi i/4}$. The quadratic differential φ_1 has two simple zeros at $e^{\pm\pi i/3}$. The quadratic differentials φ_2, φ_3 both have four simple zeros; they are respectively $e^{\pm\pi i/4}, e^{\pm 3\pi i/4}$ and $\pm \frac{1}{2}e^{\pi i/4}, \pm 2e^{3\pi i/4}$. Consequently, all three of these quadratic differentials are generic with generic residues.

2.23. The log-cotangent bundle. Let Y be the total space of $\Omega_X^1(D)$, sometimes called the *log-cotangent bundle*, and let $p : Y \rightarrow X$ be the projection map. Like the usual cotangent bundle, the log-cotangent bundle Y has a canonical one-form, which can be

constructed as follows. Let $\theta \in H^0(Y, p^*\Omega_X^1(D))$ be the tautological section. Then the fibre product

$$\begin{array}{ccc} \mathcal{A} & \xrightarrow{\Gamma} & p^*\mathcal{T}_X(-D) \\ \downarrow & & \downarrow \\ \mathcal{T}_Y & \xrightarrow{p_*} & p^*\mathcal{T}_X \end{array}$$

exists in the category of vector bundles, because $p : Y \rightarrow X$ is a surjective submersion. Unravelling the definition of the fibre product, we find that \mathcal{A} consists of all vector fields on Y that are tangent to the divisor $p^*D \subset Y$; i.e., $\mathcal{A} \cong \mathcal{T}_Y(-\log p^*D)$. Finally, dualising the surjective map $\mathcal{A} \rightarrow p^*\mathcal{T}_X(-D)$ yields an injective morphism $p^*\Omega_X^1(D) \hookrightarrow \Omega_Y^1(\log p^*D)$. The **canonical one-form** $\eta_Y \in H^0(Y, \Omega_Y^1(\log p^*D))$ on Y is then defined as the image of the tautological section θ under this map.

2.24 Example Take $X = \mathbb{P}_z^1$ with $D = \{0, 1, \infty\}$. Then $\Omega_{\mathbb{P}^1}^1(D)$ has a trivialisation over the affine z -chart given by the logarithmic one-form $z^{-1}(z - 1)^{-1} dz$. With respect to this trivialisation, the canonical one-form η_Y is simply $yz^{-1}(z - 1)^{-1} dz$ where y is the linear coordinate in the fibre.

2.25. The spectral curve. If φ is a quadratic differential on (X, D) , then $p^*\varphi$ is a section of $S^2(\Omega_Y^1(\log p^*D))$ via $p^*\Omega_X^1(D) \hookrightarrow \Omega_Y^1(\log p^*D)$. The **spectral curve** of φ is the zero locus in Y of the section $\eta_Y^2 - p^*\varphi \in S^2(\Omega_Y^1(\log p^*D))$:

$$\Sigma := \text{Zero}(\eta_Y^2 - p^*\varphi) \tag{7}$$

We denote by $\pi : \Sigma \rightarrow X$ the restriction to Σ of the canonical projection $p : Y \rightarrow X$. We also denote the ramification divisor by $R \subset \Sigma$ and the branch divisor by $B \subset X$. As a double cover, Σ is equipped with a canonical involution $\sigma : \Sigma \rightarrow \Sigma$.

If φ is generic, then Σ is embedded in Y as a smooth divisor, and the projection $\pi : \Sigma \rightarrow X$ is a simply ramified double cover, branched exactly at the zeroes of φ , and trivial over the points of D . Its genus is $g_\Sigma = |D| + 4(g_X - 1) + 1$. (see, e.g., [1, remark 3.2]). Using the Riemann–Hurwitz formula, the number of ramification points $|R|$ of π , which is the same as the number of zeroes $|B|$ of φ , is

$$|R| = |B| = 2|D| + 4(g_X - 1) \tag{8}$$

2.26 Example For the quadratic differential φ_1 from Example 2.22, the spectral curve Σ has genus 0, hence is a copy of \mathbb{P}^1 . If we trivialise $\Omega_{\mathbb{P}^1}^1(D)$ over the affine z -chart using the differential form $z^{-1}(z - 1)^{-1} dz$, then Σ is given by the equation $y^2 = \frac{1}{9}(z^2 - z + 1)$. For both quadratic differentials φ_2 and φ_3 , the spectral curve has genus 1, so it is an elliptic curve over \mathbb{P}^1 , and it is given by $y^2 = \frac{1}{9}(z^4 + 1)$.

Notice that, although the quadratic differential φ_1 is singular at the points 0, 1 in the affine z -chart, its spectral curve Σ is perfectly well-behaved above these points (see

Fig. 1 A real slice of the total space of $\Omega^1_{\mathbb{P}^1}(D)$ over the real line in $\mathbb{P}^1_{\mathbb{R}}$. In blue is the spectral curve Σ of the quadratic differential φ_1 from Example 2.22

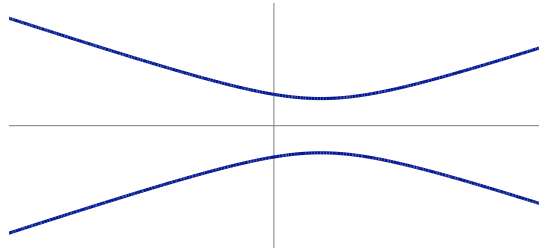


Fig. 2 A real slice of the total space of $\Omega^1_{\mathbb{P}^1}$ over the real line in $\mathbb{P}^1_{\mathbb{R}}$. In blue is the curve given by the equation $(y dz)^2 = \varphi_1$, where φ_1 is the quadratic differential from Example 2.22

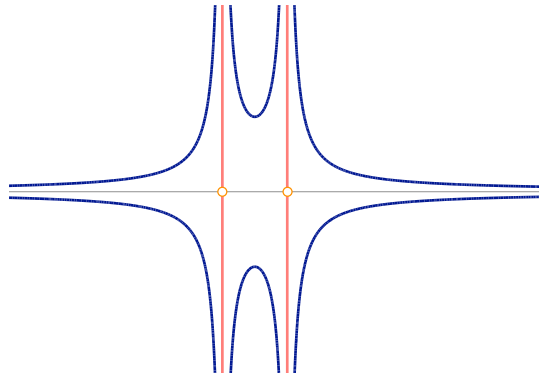


Fig. 1). This is a manifestation of the fact that our spectral curve Σ is embedded inside the total space of the logarithmic cotangent bundle rather than the usual cotangent bundle. In contrast, constructing a spectral curve of φ_1 using the same equations but in the usual cotangent bundle yields a curve which escapes from the total space above the points 0, 1 (see Fig. 2).

2.27. The canonical one-form. Pulling back the canonical one-form η_{γ} to Σ yields a differential form η with logarithmic poles along $C := \pi^{-1}(D)$, called the *canonical one-form* on Σ . It satisfies $\eta^2 = \pi^*\varphi$ and $\sigma^*\eta = -\eta$, and can therefore be thought of as the ‘canonical square root’ of the quadratic differential φ . It has zeroes along the ramification locus R , and its residues at the two preimages $p_{\pm} \in C$ of any point $p \in D$ satisfy $\text{Res}_{p_-} \eta = -\text{Res}_{p_+} \eta$ and $(\text{Res}_{p_{\pm}} \eta)^2 = \text{Res}_p \varphi$. If the residue data $a = \text{Res}(\varphi)$ is generic, we can fix an order on the preimages of p :

$$p_- < p_+ \quad :\Leftrightarrow \quad \text{Re}(\text{Res}_{p_-} \eta) < 0 < \text{Re}(\text{Res}_{p_+} \eta) \quad . \quad (9)$$

If $p_- < p_+$, we shall call p_- a *sink pole* and p_+ a *source pole*. The divisor C is thus decomposed equally into sinks and sources $C = C^- \sqcup C^+$.

2.4 Logarithmic connections and spectral curves

In general, connections do not have an invariant notion of eigenvalues or eigenvectors. However, in the presence of a spectral curve, we can make sense of these notions as follows.

2.28. Let $\pi : \Sigma \rightarrow X$ be the spectral curve of a generic quadratic differential φ with generic residue data a along D . Suppose $(\mathcal{E}, \nabla) \in \text{Conn}_X^2$ is a logarithmic \mathfrak{sl}_2 -connection on (X, D) with residue data a . If $\mathfrak{p} \in D$, let $\pm\lambda_{\mathfrak{p}}$ be the Levelt exponents at \mathfrak{p} , which by construction are the residues of η at the preimages $\mathfrak{p}_{\pm} \in C$. Consider the local diagonal decomposition $\mathcal{E}_{\mathfrak{p}} \cong \Lambda_{\mathfrak{p}}^- \oplus \Lambda_{\mathfrak{p}}^+$.

Let z be a local coordinate on Σ centred at \mathfrak{p}_{\pm} in which η is in normal form $\pm\lambda_{\mathfrak{p}} dz/z$. Since Σ is unramified over \mathfrak{p} , we also use z as a local coordinate on X centred at \mathfrak{p} . If we fix a basepoint \mathfrak{p}_* near \mathfrak{p} , then examining the Levelt normal form of $\nabla_{\mathfrak{p}}$ with respect to the coordinate z we obtain germs of (multivalued) flat sections $\psi_{\mathfrak{p}}^{\pm}$ which can be expressed as $\psi_{\mathfrak{p}}^{\pm} = f_{\mathfrak{p}}^{\pm} e_{\mathfrak{p}}^{\pm}$, where $e_{\mathfrak{p}}^{\pm}$ is a (univalued) generator of $\Lambda_{\mathfrak{p}}^{\pm}$, and $f_{\mathfrak{p}}^{\pm}$ is the germ of a (multivalued) function defined in the coordinate z by $f_{\mathfrak{p}}^{\pm}(z) = \exp\left(-\int_{\mathfrak{p}_*}^z \pm\lambda_{\mathfrak{p}} dz'/z'\right)$. The observation is that the integrand in this expression is precisely the canonical one-form η thought of as written in the local coordinate z near \mathfrak{p} .

2.29. To express this in a coordinate-free way, let $U \subset X$ be any simply connected open neighbourhood of \mathfrak{p} disjoint from B and all other points of D . Then U has two disjoint preimages U_{\pm} on Σ where U_{\pm} contains \mathfrak{p}_{\pm} . Let η_{\pm} be the restriction of η to U_{\pm} , and we can think of η_{\pm} as being defined on U . Define (multivalued) functions on the punctured neighbourhood $U^{\circ} := U \setminus \{\mathfrak{p}\}$ by $f_{\pm}(q) := \exp\left(-\int_{\mathfrak{p}_*}^q \eta_{\pm}\right)$. Note that the germ of f_{\pm} at \mathfrak{p} is precisely $f_{\mathfrak{p}}^{\pm}$, and that f_{\pm} satisfies the differential equation $d \log f_{\pm} = -\eta_{\pm}$; moreover, f_{\pm} is nowhere-vanishing on U° . Analytically continue the solutions $\psi_{\mathfrak{p}}^{\pm}$ to multivalued flat sections ψ_{\pm} of \mathcal{E} over U° , and define $e_{\pm} := f_{\pm}^{-1} \psi_{\pm}$. These sections of \mathcal{E} form a basis of holomorphic generators over U satisfying:

$$\nabla e_{\pm} = \eta_{\pm} \otimes e_{\pm} .$$

Thus, we can think of e_{\pm} as an *eigensection* of ∇ with *eigenvalue* η_{\pm} , and the line subbundles $\Lambda_U^{\pm} \subset \mathcal{E}|_U$ that they generate determine the flat *eigen*-decomposition of (\mathcal{E}, ∇) over U that uniquely continues the local diagonal decomposition of $\mathcal{E}_{\mathfrak{p}}$:

$$\mathcal{E}|_U \xrightarrow{\sim} \Lambda_U^- \oplus \Lambda_U^+ \quad \text{with} \quad \nabla \simeq \partial^- \oplus \partial^+ .$$

2.30. More invariantly, let $\tilde{U} \subset \Sigma$ be any simply connected neighbourhood of a pole $\mathfrak{p} \in C = \pi^{-1}(D) \subset \Sigma$ which is disjoint from R and all other points of C . Let f be any (multivalued) solution of the differential equation $d \log f = -\eta$ defined over the punctured neighbourhood $\tilde{U}^* := \tilde{U} \setminus \{\mathfrak{p}\}$. Then the same calculation as above shows that the pullback $\pi^* \mathcal{E}$ over \tilde{U} has a section e which is an eigensection of $\pi^* \nabla$ with eigenvalue η :

$$\pi^* \nabla e = \eta \otimes e .$$

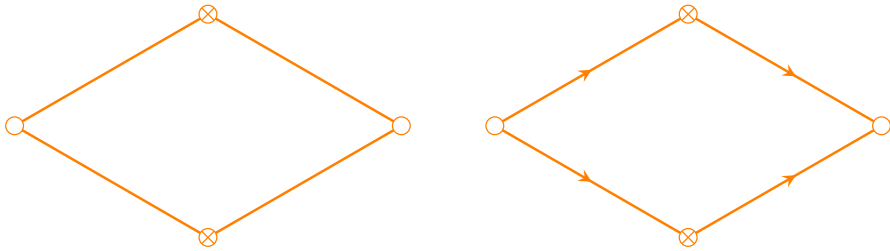


Fig. 3 A *horizontal strip* on X (left) and on Σ (right). Topologically an open disc, the boundary consists of exactly four critical leaves of $\vec{\mathfrak{F}}$ or $\vec{\mathfrak{F}}$, two points in D or C (not necessarily distinct), and two points in B or R (necessarily distinct). The preimage of a horizontal strip on X is a pair of horizontal strips on Σ . *Notation:* points in B or R are denoted by \otimes ; points in D or C are denoted by \circ

2.5 The Stokes graph

Fix some generic residue data a . If $(g_X, |D|) = (0, 3)$, assume in addition that a is contained in the complement of the hypersurface (6). For any generic quadratic differential φ on (X, D) with residues a , let Σ be its spectral curve with canonical one-form η .

2.31. The horizontal foliation. The curves X and Σ , viewed as real two-dimensional surfaces, are naturally equipped with singular foliations $\vec{\mathfrak{F}}$ and $\vec{\mathfrak{F}}$, respectively, with the property that $\vec{\mathfrak{F}} \xrightarrow{\pi} \vec{\mathfrak{F}}$ is the orientation double cover of $\vec{\mathfrak{F}}$. These foliations are well-known (see, e.g., [15,20]), and we only recall what is necessary (see [3, §3] for a concise survey). The foliation $\vec{\mathfrak{F}}$ can be defined as the integration of the real distribution $\ker(\text{Im}(\eta))$ inside the real tangent bundle of Σ . Concretely, the local equation for a leaf passing through a point p is given by $\text{Im}\left(\int_p^z \eta\right) = 0$. Evidently, this foliation is singular at the poles $C \equiv \pi^{-1}(D)$ and at the ramification points R . The foliation $\vec{\mathfrak{F}}$, defined as the image of $\vec{\mathfrak{F}}$ under π , is often called the *horizontal foliation* for the quadratic differential φ ; it is singular at the poles D and the branch points B . A leaf of $\vec{\mathfrak{F}}$ (or $\vec{\mathfrak{F}}$) is *critical* if one of its endpoints belongs to B (or R). A critical leaf of $\vec{\mathfrak{F}}$ is a *saddle trajectory* if both of its endpoints belong to B .

2.32. If the horizontal foliation $\vec{\mathfrak{F}}$ has no saddle trajectories, then by [3, Lemma 3.1] the open real surface $X \setminus (D \cup B \cup \Gamma)$, where Γ is the union of all critical leaves of $\vec{\mathfrak{F}}$, decomposes into a finite disjoint union of topological open discs, called *horizontal strips* (Fig. 3). Similarly, the open real surface $\Sigma \setminus (C \cup R \cup \bar{\Gamma})$, where $\bar{\Gamma}$ is the union of all critical leaves of $\vec{\mathfrak{F}}$, is also a finite disjoint union of horizontal strips (Fig. 3).

2.33. Saddle-free quadratic differentials and very generic residues. If the horizontal foliation $\vec{\mathfrak{F}}$ has no saddle trajectories, then the quadratic differential φ is said to be *saddle-free*. It follows from [3, Lemma 4.11] that the subset of quadratic differentials which are saddle-free is open dense. Note that “saddle-free” may be a condition on the residue data a . For example, if $(g_X, |D|) = (0, 3)$, the quadratic differential φ with given residues a is unique (given by (5)) and may fail to be saddle-free. In this case, there are only two ramification points $r_{\pm} \in \Sigma$, so a saddle trajectory occurs if and only if the canonical one-form η satisfies $\text{Im}\left(\int_{r_-}^{r_+} \eta\right) = 0$ for a path of integration

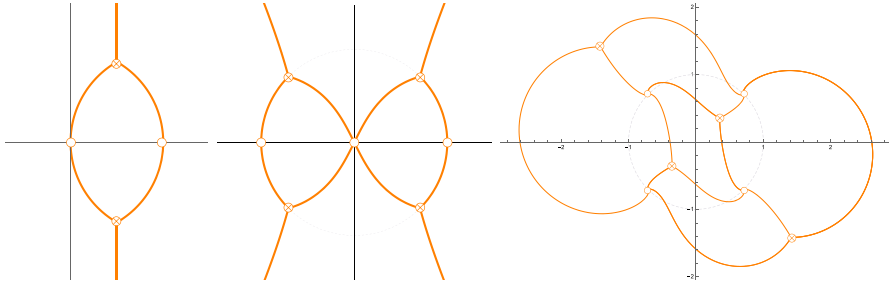


Fig. 4 From left to right: plot of critical trajectories of quadratic differentials $\varphi_1, \varphi_2, \varphi_3$ from Example 2.22. In plots 1 and 2, the trajectories that escape the picture frame tend to infinity

in $\Sigma \setminus C \cong \mathbb{P}^1 \setminus \{6\text{points}\}$. If $b_{\pm} \in B$ are the two branch points, then upon identifying $X \cong \mathbb{P}^1$ and choosing a branch cut in order to write η with $\sqrt{\varphi}$, where φ is given by (5), this integral can be explicitly computed in terms of logarithms and it defines a closed real-analytic subset of $\mathbb{C}_{\alpha\beta\gamma}^3$. It therefore determines an explicit condition on the residues $a = \{\alpha, \beta, \gamma\}$ for the unique φ to be saddle-free. We will say that residue data a is **very generic** if there exists a generic saddle-free quadratic differential φ with residues a .

Ultimately, however, this apparent rigidity in our construction is artificial and can be removed by using a more topological argument. We will study this as well as other non-generic situations elsewhere.

2.34 Example All three quadratic differentials $\varphi_1, \varphi_2, \varphi_3$ from Example 2.22 are saddle-free. The true plots of their critical trajectories are presented in Fig. 4.

2.35. The Stokes and spectral graphs Now we define the main combinatorial gadgets in our construction. Let φ be a generic and saddle-free quadratic differential.

2.36 Definition (*Stokes graph, spectral graph*) The **Stokes graph** Γ is the graph on X whose vertices are $D \cup B$ and whose edges are the critical leaves of \mathfrak{F} . The **spectral graph** $\vec{\Gamma}$ is the oriented graph on Σ whose vertices are $C \cup R$ and whose edges are the critical leaves of $\vec{\mathfrak{F}}$.

Thus, $\vec{\Gamma} \xrightarrow{\pi} \Gamma$ is a (ramified) orientation double cover of graphs. Each face of Γ and $\vec{\Gamma}$ is a horizontal strip. We refer to the edges and the faces of Γ as **Stokes rays** and **Stokes regions**; and to the edges and the faces of $\vec{\Gamma}$ as **spectral rays** and **spectral regions**. The graphs $\Gamma, \vec{\Gamma}$ are bipartite with bipartitions $\Gamma_0 = D \cup B$ and $\vec{\Gamma}_0 = C \cup R$.

The polar vertices C are further divided into sinks and sources (cf. 2.27):

- **sink vertices** C_- : those where $\text{Re}(\text{Res } \eta) < 0$;
- **source vertices** C_+ : those where $\text{Re}(\text{Res } \eta) > 0$.

If $p \in D$, we will always denote its preimages in C by p_-, p_+ where $p_{\pm} \in C_{\pm}$. They satisfy the relation $\sigma(p_{\pm}) = p_{\mp}$. All spectral rays incident to a sink/source are oriented into/out of the sink/source, so spectral rays $\vec{\Gamma}_1$ are divided by **parity**:

- **positive spectral rays** $\vec{\Gamma}_1^+$: polar vertex is a source;
- **negative spectral rays** $\vec{\Gamma}_1^-$: polar vertex is a sink.

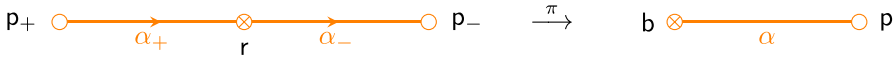


Fig. 5 Every spectral ray and every Stokes ray has a polar vertex and a ramification/branch vertex. Depicted are the pair of opposite spectral rays α_+, α_- on Σ in the preimage of the Stokes ray α on X . *Notation:* We index Stokes rays by α, β, \dots ; the corresponding positive spectral rays are denoted by α_+, β_+, \dots and the negative ones by α_-, β_-, \dots

2.37. Spectral rays always occur in pairs: the involution σ maps a spectral ray to a spectral ray of opposite parity. Stokes rays have no natural notion of parity; instead, the preimage of every Stokes ray $\alpha \in \Gamma_1$ is a pair of opposite spectral rays $\alpha_+ \in \vec{\Gamma}_1^+, \alpha_- \in \vec{\Gamma}_1^-$ (see Fig. 5). The graphs $\Gamma, \vec{\Gamma}$ are squaregraphs: every Stokes region is a quadrilateral with two branch vertices and two polar vertices, and its boundary is made up of four Stokes rays (Fig. 6).

Similarly, every spectral region is a quadrilateral with two ramification vertices and two polar vertices (one of which is a source and one is a sink), and its boundary is made up of four spectral rays (two of which are positive and two are negative). We index them as described in Fig. 6:

$$\Gamma_2 = \left\{ I = \{i, i'\} \mid i, i' \in \vec{\Gamma}_2 \text{ with } \sigma(i) = i' \right\} .$$

Each branch point has three incident Stokes rays and three incident Stokes regions, but each Stokes region has two branch vertices, so there are $3|B|$ Stokes rays and $\frac{3}{2}|B|$ Stokes regions in total. So, using (8),

$$|\Gamma_1| = 6|D| + 12(g_X - 1) \quad \text{and} \quad |\Gamma_2| = 3|D| + 6(g_X - 1) \quad (10)$$

$$|\vec{\Gamma}_1| = 12|D| + 24(g_X - 1) \quad \text{and} \quad |\vec{\Gamma}_2| = 6|D| + 12(g_X - 1) . \quad (11)$$

Note also that $|\vec{\Gamma}_1^\pm| = \frac{1}{2}|\vec{\Gamma}_1| = 6|D| + 12(g_X - 1) = |\Gamma_1|$.

2.38 Example Figure 4 shows a plot of the Stokes graph of the quadratic differential φ_1 from Example 2.22. Figure 7 shows a more schematic rendering.

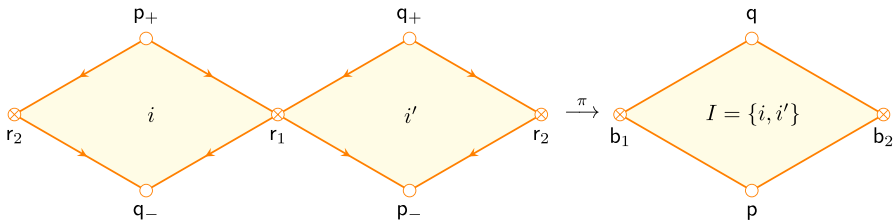


Fig. 6 Two spectral regions i, i' in the preimage of the Stokes region $I = \{i, i'\}$. Here, $r_1, r_2 \in \mathbb{R}$ are the ramification points above the branch points $b_1, b_2 \in B$. *Notation:* We index faces of $\vec{\Gamma}$ by letters i, j, k, \dots , though if two faces are both preimages of the same Stokes region I , we will usually call them i, i' . A face of Γ , whose preimage consists of faces i, i' of $\vec{\Gamma}$, is indexed by the unordered pair $I = \{i, i'\}$. Notice that if a Stokes region $I = \{i, i'\}$ has polar vertices $p, q \in D$, and if the spectral region i has polar vertices p_+, q_- , then the spectral region i' has polar vertices p_-, q_+ .

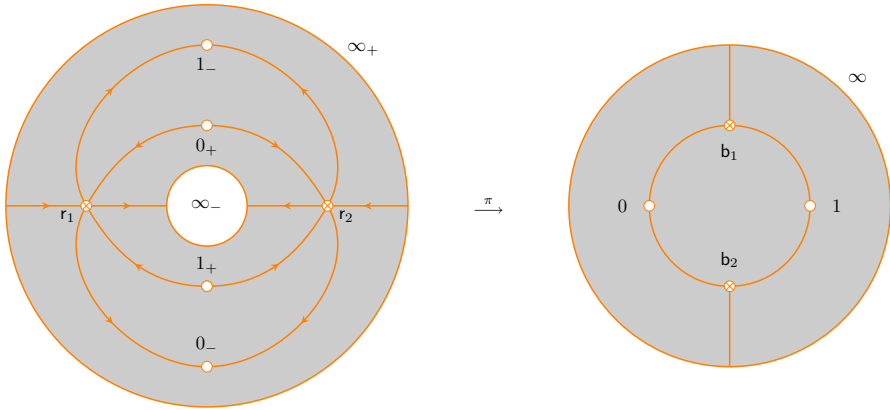


Fig. 7 Right: a schematic picture of the Stokes graph Γ (orange) of the quadratic differential φ_1 from Example 2.22. The point at infinity has been blown up to an orange bounding circle. Left: the corresponding spectral graph $\vec{\Gamma}$ on the spectral curve $\Sigma \cong \mathbb{P}^1$. The preimages of the points 0, 1, ∞ carry a label according to whether the vertex is a sink or a source

2.39. The Stokes open cover. The graphs $\Gamma, \vec{\Gamma}$ define canonical acyclic open covers (i.e., every finite intersection is either empty or a disjoint union of contractible open sets) of the punctured curves

$$X^\circ := X \setminus (D \cup B) \quad \text{and} \quad \Sigma^\circ := \Sigma \setminus (C \cup R)$$

by enlarging all edges and faces as follows. For every face $I \in \Gamma_2$ and every edge $\alpha \in \Gamma_1$, let U_I and U_α be the germs of open neighbourhoods in X° of the face I and the edge α , respectively. We continue calling them *Stokes regions* and *Stokes rays*. We define *spectral regions* U_i and *spectral rays* U_α^\pm for all $i \in \vec{\Gamma}_2, \alpha_\pm \in \vec{\Gamma}_1$ in the same way. We obtain what we call **Stokes open covers** of X° and Σ° , respectively:

$$\mathfrak{U}_\Gamma := \{U_I \mid I \in \Gamma_2\} \quad \text{and} \quad \mathfrak{U}_{\vec{\Gamma}} := \{U_i \mid i \in \vec{\Gamma}_2\} . \quad (12)$$

If p is a vertex of U_I , then intersecting U_I with the infinitesimal disc U_p around p can be seen as the germ of a sectorial neighbourhood of p (or a disjoint union of two). In fact, the infinitesimal punctured disc U_p^* centred at p is covered by such sectorial neighbourhoods whose double intersections are the Stokes rays incident to p .

2.40. Any double intersection $U_I \cap U_J$ of Stokes regions is either a single Stokes ray or a pair of disjoint Stokes rays with the same polar vertex but necessarily different branch vertices, and there are no nonempty triple intersections. So we define the *nerves* of these covers by

$$\mathfrak{N}_\Gamma := \{U_\alpha \mid \alpha \in \Gamma_1\} \quad \text{and} \quad \mathfrak{N}_{\vec{\Gamma}} := \{U_\alpha^+, U_\alpha^- \mid \alpha \in \vec{\Gamma}_1\} . \quad (13)$$

We adopt the following notational convention: if U_α is a Stokes ray contained in the double intersection $U_I \cap U_J$, then U_I, U_J are ordered such that going from U_I to U_J the Stokes ray α is crossed anti-clockwise around the branch vertex of U_α .

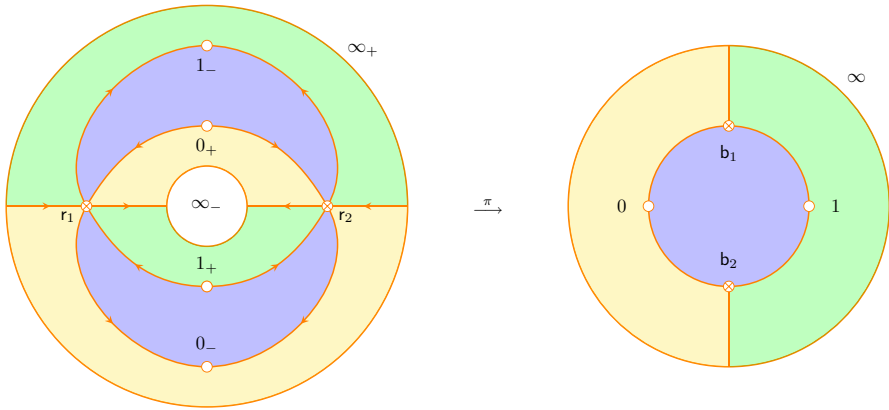


Fig. 8 The Stokes and spectral regions from Fig. 7 are appropriately coloured to show which pair of spectral regions lie in the preimage of which Stokes region

2.41. The restriction of the projection $\pi : \Sigma \rightarrow X$ to any spectral region U_i , any spectral ray U_α^\pm , or any infinitesimal disc U_p^\pm around a pole p_\pm is an isomorphism respectively onto its image Stokes region $U_I = U_{\{i, i'\}}$, Stokes ray U_α , or infinitesimal disc U_p around the pole p ; we denote these restrictions as follows:

$$\pi_i : U_i \xrightarrow{\sim} U_I \quad \text{and} \quad \pi_\alpha^\pm : U_\alpha^\pm \xrightarrow{\sim} U_\alpha \quad \text{and} \quad \pi_p^\pm : U_p^\pm \xrightarrow{\sim} U_p .$$

2.42 Example For the differential φ_1 from Example 2.22, the Stokes open covers of $X^\circ = \mathbb{P}^1 \setminus \{0, 1, \infty\}$ and $\Sigma^\circ = \mathbb{P}^1 \setminus \{0_\pm, 1_\pm, \infty_\pm\}$ are illustrated in Fig. 8.

2.6 Transverse connections

2.43. If U_I is a Stokes region with $I = \{i, i'\}$, denote its polar vertices by $p, p' \in D$. Given a connection $(\mathcal{E}, \nabla) \in \text{Conn}_X^2$, consider its local diagonal decompositions $\mathcal{E}_p \cong \Lambda_p^- \oplus \Lambda_p^+$ and $\mathcal{E}_{p'} \cong \Lambda_{p'}^- \oplus \Lambda_{p'}^+$. Let us analytically continue the flat abelian connection germs $\Lambda_p^-, \Lambda_{p'}^-$ to U_I using the flat structure on \mathcal{E} :

$$\begin{aligned} (\Lambda_i, \partial_i) &:= \text{the unique continuation of } (\Lambda_p^-, \partial_p^-) \text{ to } U_I , \\ (\Lambda_{i'}, \partial_{i'}) &:= \text{the unique continuation of } (\Lambda_{p'}^-, \partial_{p'}^-) \text{ to } U_I . \end{aligned} \tag{14}$$

2.44. Transversality of Levelt filtrations. These continuations equip the vector bundle \mathcal{E} over U_I with a pair of flat filtrations $\mathcal{E}_{p, I}^\bullet = (\Lambda_i \subset \mathcal{E}_I)$ and $\mathcal{E}_{p', I}^\bullet = (\Lambda_{i'} \subset \mathcal{E}_I)$, where $\mathcal{E}_{p, I}^\bullet, \mathcal{E}_{p', I}^\bullet$ are the unique continuations to the Stokes region U_I of the Levelt filtrations $\mathcal{E}_p^\bullet = (\Lambda_p^- \subset \mathcal{E}_p), \mathcal{E}_{p'}^\bullet = (\Lambda_{p'}^- \subset \mathcal{E}_{p'})$.

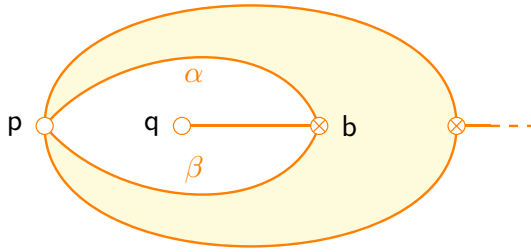


Fig. 9 A Stokes region U_I whose polar vertices coincide. The subset of X bounded by the Stokes rays α, β in the complement of U_I must contain another point $q \in D$, for otherwise all Stokes rays incident to the branch point b are also incident to p . But then the complement of Γ has a connected component which is not a horizontal strip contradicting [3, Lemma 3.1]. Generically, the monodromy of ∇ around the pole q does not preserve the Levelt filtration coming from p

2.45 Definition (*Transversality with respect to Γ*) We will say that a connection $(\mathcal{E}, \nabla) \in \text{Conn}_X^2$ is **transverse** with respect to Γ if for every Stokes region U_I the two filtrations $\mathcal{E}_{p,I}^\bullet, \mathcal{E}_{p',I}^\bullet$ are transverse: $\mathcal{E}_{p,I}^\bullet \pitchfork \mathcal{E}_{p',I}^\bullet$.

In other words, the two flat line subbundles $\Lambda_i, \Lambda_{i'} \subset \mathcal{E}_I$ are required to be distinct. Such transverse connections form a full subcategory $\text{Conn}_X^2(\Gamma) \subset \text{Conn}_X^2$.

That such connections exist is obvious: one can, for example, choose a point in each Stokes region U_I and connect it to some fixed basepoint by an arbitrary path that avoids D . Then Γ -transversality is equivalent to avoiding finitely many algebraic conditions. In fact, the same argument shows that (with respect to an appropriate topology) the subset of Γ -transverse connections is open dense. We do not need these details here, and only mention that these and other moduli-theoretic considerations will be described in great detail in a future publication.

2.46 Proposition (*Semilocal diagonal decomposition of transverse connections*) *If $(\mathcal{E}, \nabla, M) \in \text{Conn}_X^2(\Gamma)$, then the restriction $\mathcal{E}_I := \mathcal{E}|_{U_I}$ to any Stokes region U_I has a canonical flat decomposition*

$$\mathcal{E}_I \xrightarrow{\sim} \Lambda_i \oplus \Lambda_{i'} \quad \text{with} \quad \nabla \simeq \partial_i \oplus \partial_{i'} ,$$

where (Λ_i, ∂_i) and $(\Lambda_{i'}, \partial_{i'})$ are defined by (14). Moreover, the \mathfrak{sl}_2 -structure M defines a flat skew-symmetric isomorphism $M_I : \Lambda_i \otimes \Lambda_{i'} \xrightarrow{\sim} \mathcal{O}_{U_I}$.

The main construction in this paper (Theorem 3.3) is an equivalence between $\text{Conn}_X^2(\Gamma)$ and a certain category of odd abelian connections on the spectral curve Σ .

2.47. Transversality over Stokes rays. Suppose U_α is a Stokes ray contained in the double intersection $U_I \cap U_J$ of two adjacent Stokes regions. Then \mathcal{E} has two diagonal decompositions over U_α :

$$\mathcal{E}_I \xrightarrow{\sim} \Lambda_i \oplus \Lambda_{i'} , \quad \mathcal{E}_J \xrightarrow{\sim} \Lambda_j \oplus \Lambda_{j'} . \tag{15}$$

Let $p' \in D$ be the common polar vertex of U_I, U_J . Then $\Lambda_{i'}, \Lambda_{j'}$ are continuations of the same line bundle germ $\Lambda_{p'}^- \subset \mathcal{E}_{p'}$, so $\Lambda_{i'} = \Lambda_{j'}$ over the Stokes ray U_α .

With respect to this pair of decompositions, the identity map on \mathcal{E} has the following upper-triangular expression, which will be exploited throughout our construction in this paper:

$$\left(\mathcal{E}_I \stackrel{\text{id}}{=} \mathcal{E}_J\right)\Big|_{U_\alpha} = \begin{bmatrix} 1 & \Delta_\alpha \\ 0 & g_\alpha \end{bmatrix} : \begin{array}{ccc} \Lambda_{i'} & \xrightarrow{1} & \Lambda_{j'} \\ \oplus & \searrow^{\Delta_\alpha} & \oplus \\ \Lambda_i & \xrightarrow{g_\alpha} & \Lambda_j \end{array} . \tag{16}$$

2.48 Remark Note that in the definition of transversality with respect to Γ , it is not required that the two polar vertices $\mathfrak{p}, \mathfrak{p}'$ of U_I be different. If $\mathfrak{p} = \mathfrak{p}'$ it may seem that no connection ∇ can be transverse with respect to Γ for such a Stokes graph, but this is not the case. This is because the Stokes region U_I defines two disjoint sectorial neighbourhoods of \mathfrak{p} , so the two analytic continuations $\Lambda_i, \Lambda_{i'} \subset \mathcal{E}_I$ of the same germ $\Lambda_{\mathfrak{p}}$ are generically not the same, as explained in Fig. 9.

3 Abelianisation

3.1. As before, let (X, D) be a smooth compact curve equipped with a nonempty set of marked points D such that $|D| > 2 - 2g_X$. Suppose D is decorated with very generic residue data a in the sense of Definition 2.3 and 2.33. We are studying the category

$$\text{Conn}_X^2 = \text{Conn}_{\mathfrak{sl}}^2(X, D; a)$$

of logarithmic \mathfrak{sl}_2 -connections on (X, D) with residue data a .

Our method is to choose a generic saddle-free quadratic differential φ on (X, D) with residues a . Let $\pi : \Sigma \rightarrow X$ be the spectral curve of φ , and let Γ be the corresponding Stokes graph on X . Consider the subcategory of connections that are transverse with respect to Γ in the sense of Definition 2.45:

$$\text{Conn}_X^2(\Gamma) \subset \text{Conn}_X^2 .$$

3.2. The main result of this paper is that $\text{Conn}_X^2(\Gamma)$ is equivalent to a category of odd abelian connections on the spectral curve Σ as follows. For every $\mathfrak{p} \in D$, let $\pm\lambda_{\mathfrak{p}} \in \mathbb{C}$ be the Levelt exponents of the residue data a at \mathfrak{p} (arranged such that $\text{Re}(\lambda_{\mathfrak{p}}) > 0$). Put $C := \pi^{-1}(D)$, let C_{\pm} be as in 2.35, let $R \subset \Sigma$ be the ramification divisor of π , and define abelian residue data along $C \cup R$ as follows:

$$\underline{\lambda} := \{\pm\lambda_{\mathfrak{p}} \mid \mathfrak{p}_{\pm} \in C_{\pm}\} \cup \{-1/2 \mid r \in R\} . \tag{17}$$

Consider the category of odd abelian logarithmic connections on $(\Sigma, C \cup R)$ with residues $\underline{\lambda}$, for which we use the following shorthand notation:

$$\text{Conn}_{\Sigma}^1 := \text{Conn}_{\text{odd}}^1(\Sigma, C \cup R; \underline{\lambda}) .$$

3.3 Theorem (Abelianisation of logarithmic \mathfrak{sl}_2 -connections) *There is a natural equivalence of categories $\text{Conn}_X^2(\Gamma) \cong \text{Conn}_\Sigma^1$.*

Expressed more explicitly, this equivalence is

$$\left\{ \begin{array}{l} (\mathcal{E}, \nabla, M) \\ \text{logarithmic } \Gamma\text{-transverse} \\ \mathfrak{sl}_2\text{-connections on } (X, D) \\ \text{with generic Levelt exponents} \\ \{\pm\lambda_p \mid p \in D\} \end{array} \right\} \cong \left\{ \begin{array}{l} (\mathcal{L}, \partial, \mu) \\ \text{odd logarithmic abelian} \\ \text{connections on } (\Sigma, C \cup R) \\ \text{with residues } \begin{cases} -\frac{1}{2} \text{ along } R \\ \pm\lambda_p \text{ at } p_\pm \in C^\pm \end{cases} \end{array} \right\}$$

We will prove this theorem by constructing a pair functors,

$$\text{Conn}_X^2(\Gamma) \begin{array}{c} \xrightarrow{\pi_\Gamma^{\text{ab}}} \\ \xleftarrow{\pi_{\text{ab}}^\Gamma} \end{array} \text{Conn}_\Sigma^1$$

called *abelianisation* and *nonabelianisation* with respect to Γ ; they are constructed in Sects. 3.1 and 3.3, respectively. In Proposition 3.21, we prove that they form an equivalence of categories.

3.1 The abelianisation functor

In this subsection, given an \mathfrak{sl}_2 -connection $(\mathcal{E}, \nabla, M) \in \text{Conn}_X^2(\Gamma)$, we construct an abelian connection $(\mathcal{L}, \partial, \mu) \in \text{Conn}_\Sigma^1$, and show that this construction is functorial. The idea is to extract the diagonal decompositions of \mathcal{E} at the poles of ∇ , analytically continue them to the spectral regions on the spectral curve, and then glue them into a flat line bundle using canonical isomorphisms that arise due to transversality.

DEFINITION AT THE POLES. Given $p \in D$, consider the local diagonal decomposition $\mathcal{E}_p \xrightarrow{\sim} \Lambda_p^- \oplus \Lambda_p^+$ from Proposition 2.8. We define (\mathcal{L}, ∂) over the infinitesimal disc U_p^\pm around p_\pm to be the pullback of the connection germ $(\Lambda_p^\pm, \partial_p^\pm)$:

$$(\mathcal{L}_p^\pm, \partial_p^\pm) := (\pi_p^\pm)^*(\Lambda_p^\pm, \partial_p^\pm) \tag{18}$$

Thus, $(\mathcal{L}_p^\pm, \partial_p^\pm)$ is the germ of a logarithmic abelian connection at p_\pm with residue $\pm\lambda_p$. It also follows that $(\pi_p^\mp)^*\Lambda_p^\pm = \sigma^*\mathcal{L}_p^\pm$, so the pullback of the flat skew-symmetric isomorphism $M_p : \Lambda_p^- \otimes \Lambda_p^+ \xrightarrow{\sim} \mathcal{O}_{X,p}$ to the disc U_p^\pm defines a flat skew-symmetric isomorphism

$$\mu_p^\pm := (\pi_p^\pm)^*M_p : \mathcal{L}_p^\pm \oplus \sigma^*\mathcal{L}_p^\mp \xrightarrow{\sim} \mathcal{O}_{U_p^\pm} \tag{19}$$

DEFINITION ON SPECTRAL REGIONS. Let $U_i \subset \Sigma$ be a spectral region, and let p_- be its sink vertex. We define (\mathcal{L}, ∂) by uniquely continuing the germ \mathcal{L}_p^- using the flat structure on $\pi^*\mathcal{E}$:

$$(\mathcal{L}_i, \partial_i) := \text{the unique continuation of } (\mathcal{L}_p^-, \partial_p^-) \text{ to } U_i$$

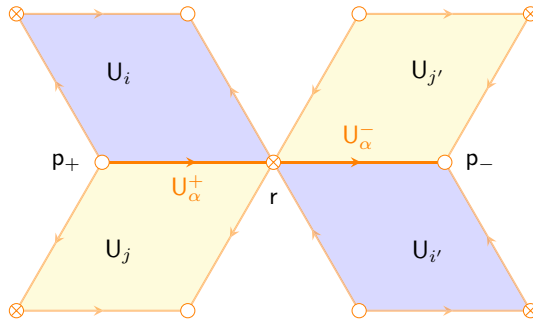


Fig. 10 U_p^\pm is a pair of opposite spectral rays, r is their common ramification vertex, and p_\pm are their respective polar vertices. U_i, U_j are a pair of oriented Stokes regions which have U_α^+ in their intersection, arranged such that the ordered pair (U_i, U_j) respects the cyclic anti-clockwise order around r . Let $U_{i'} := \sigma(U_i), U_{j'} := \sigma(U_j)$, so U_α^- is a connected component of $U_{i'} \cap U_{j'}$

Evidently, $(\mathcal{L}_i, \partial_i) := \pi_i^*(\Lambda_i, \partial_i)$ for Λ_i defined by (14). Furthermore, if $U_{i'} = \sigma(U_i)$, then $\pi_i^* \Lambda_{i'} = \sigma^* \mathcal{L}_{i'}$ for $\Lambda_{i'}$ defined by (14). So if $I = \{i, i'\}$, the pullback to U_i of the \mathfrak{sl}_2 -structure M_I from Proposition 2.46 defines a flat skew-symmetric isomorphism

$$\mu_i := \pi_i^* M_I : \mathcal{L}_i \otimes \sigma^* \mathcal{L}_{i'} \xrightarrow{\sim} \mathcal{O}_{U_i} . \tag{20}$$

GLUING OVER SPECTRAL RAYS. For every $\alpha \in \Gamma_1$, consider the pair of opposite spectral rays $\alpha_\pm \in \vec{\Gamma}_1^\pm$, and let $p_\pm \in C^\pm$ be their respective polar vertices. Let $U_I = U_{\{i, i'\}}, U_J = U_{\{j, j'\}} \subset X$ be the pair of adjacent Stokes regions which intersect along the Stokes ray U_α as described in Fig. 10.

By transversality with respect to Γ , the vector bundle \mathcal{E} has two diagonal decompositions over the Stokes ray U_α :

$$\mathcal{E}_I \xrightarrow{\sim} \Lambda_i \oplus \Lambda_{i'} , \quad \mathcal{E}_J \xrightarrow{\sim} \Lambda_j \oplus \Lambda_{j'} . \tag{21}$$

Then $\Lambda_{i'}, \Lambda_{j'}$ are continuations of the same line bundle germ $\Lambda_{p'}^- \subset \mathcal{E}_p$, so $\Lambda_{i'} = \Lambda_{j'}$ over the Stokes ray U_α . The identity map on \mathcal{E} , written with respect to this pair of decompositions, is the upper triangular matrix (16). We therefore define

$$\begin{aligned} (g_\alpha^- : \mathcal{L}_{i'} \xrightarrow{\sim} \mathcal{L}_{j'}) &:= (\pi_\alpha^-)^* (1 : \Lambda_{i'} = \Lambda_{j'}) , \\ (g_\alpha^+ : \mathcal{L}_i \xrightarrow{\sim} \mathcal{L}_j) &:= (\pi_\alpha^+)^* (g_\alpha : \Lambda_i \xrightarrow{\sim} \Lambda_j) . \end{aligned} \tag{22}$$

The upper-triangular form (16) of the identity map on \mathcal{E} also implies that the gluing maps g_α^-, g_α^+ intertwine the pullbacks μ_i, μ_j and $\mu_{i'}, \mu_{j'}$, respectively.

GLUING NEAR THE POLES. For every $p \in D$, let $U_p^\pm \subset \Sigma$ be the infinitesimal disc neighbourhoods of p_\pm . Consider a Stokes region $U_I = U_{\{i, i'\}}$ such that U_i is incident to p_+ and $U_{i'}$ is incident to p_- . First, the intersection of $U_{i'}$ with U_p^- is a sectorial neighbourhood of p_- , and the line bundle $\mathcal{L}_{i'}$ is the unique continuation of the germ $\mathcal{L}_{p'}^-$, so identity is the gluing map here. On the other hand, the intersection of U_i with

U_p^+ is a sectorial neighbourhood of p_+ , over which by Propositions 2.8 and 2.46 we have two decompositions $\mathcal{E}_p \xrightarrow{\sim} \Lambda_p^- \oplus \Lambda_p^+$ and $\mathcal{E}_I \xrightarrow{\sim} \Lambda_i \oplus \Lambda_{i'}$. Then the obvious isomorphism $\mathcal{E}_p \xrightarrow{\sim} \mathcal{E}_I$ over this double intersection implies

$$\Lambda_p^+ \xrightarrow{\sim} (\Lambda_p^- \oplus \Lambda_p^+) / \Lambda_p^- \xrightarrow{\sim} \mathcal{E}_p / \Lambda_p^- \xrightarrow{\sim} \mathcal{E}_I / \Lambda_{i'} \xrightarrow{\sim} (\Lambda_i \oplus \Lambda_{i'}) / \Lambda_{i'} \xrightarrow{\sim} \Lambda_i \tag{23}$$

The pullback of this map is the desired gluing map $\mathcal{L}_p^+ \xrightarrow{\sim} \mathcal{L}_i$. These gluing maps satisfy the cocycle condition, because if U_i and U_j are two adjacent spectral regions incident to p_+ , then the identity map $\mathcal{E}_I \xrightarrow{\sim} \mathcal{E}_J$ over the intersection of Stokes regions $U_I = U_{\{i,i'\}}$ and $U_J = U_{\{j,j'\}}$ has the upper-triangular form (16), and therefore induces an isomorphism $\mathcal{E}_I / \Lambda_{i'} \xrightarrow{\sim} \mathcal{E}_J / \Lambda_{j'}$. The isomorphism $\Lambda_i \oplus \Lambda_{i'} \xrightarrow{\sim} \Lambda_p^- \oplus \Lambda_p^+$ given by the identity on \mathcal{E} is unipotent. So its determinant $\Lambda_i \otimes \Lambda_{i'} \xrightarrow{\sim} \Lambda_p^- \otimes \Lambda_p^+$ intertwines M_I and M_p , and therefore also μ_i and μ_p^+ as well as $\mu_{i'}$ and μ_p^- .

EXTENSION OVER RAMIFICATION. This completes the construction of $(\mathcal{L}, \partial, \mu)$ on the spectral curve Σ away from the ramification divisor R . Deligne’s construction [5, pp. 91–96] gives an extension over R with logarithmic poles and residues $-1/2$, and it is easy to check that for any such extension, μ extends uniquely to an odd structure. Deligne extensions are unique only up to a unique isomorphism (see also [2, Theorem IV.4.4]), but it is possible to fix this ambiguity as follows (details are not important for us here and will appear elsewhere). If $r \in R$ is any ramification point and $b = \pi(r)$ is the corresponding branch point, let U_I, U_J, U_K be the three Stokes regions incident to b . Then the germ \mathcal{L}_r of \mathcal{L} at r is the pullback of the line bundle germ Λ_b at b which is defined as the kernel of the canonical map $\Lambda_I \oplus \Lambda_J \oplus \Lambda_K \longrightarrow \mathcal{E}_b$. As a result, we obtain an abelian connection $(\mathcal{L}, \partial, \mu) \in \text{Conn}_{\Sigma}^1$.

Finally, functoriality of our construction readily follows from the fact that morphisms of connections necessarily preserve diagonal decompositions.

3.4 Proposition *The assignment $(\mathcal{E}, \nabla, M) \mapsto (\mathcal{L}, \partial, \mu)$ extends to a functor*

$$\pi_{\Gamma}^{ab} : \text{Conn}_{\Sigma}^2(\Gamma) \longrightarrow \text{Conn}_{\Sigma}^1$$

We call π_{Γ}^{ab} the **abelianisation functor**, and the image $(\mathcal{L}, \partial, \mu)$ of (\mathcal{E}, ∇, M) under π_{Γ}^{ab} the **abelianisation** of (\mathcal{E}, ∇, M) with respect to Γ . The following proposition summarises some properties of abelianisation all of which are immediate consequences of the construction.

3.5 Proposition (Properties of abelianisation) *Let $(\mathcal{E}, \nabla, M) \in \text{Conn}_{\Sigma}^2(\Gamma)$, and let $(\mathcal{L}, \partial, \mu) \in \text{Conn}_{\Sigma}^1$ be its abelianisation.*

(1) $\text{deg}(\mathcal{L}) = -\frac{1}{2}|R| = -\text{deg}(\pi_*\mathcal{O}_{\Sigma})$.

For any $p \in D$, let U_p be the infinitesimal disc around p . Let $\mathcal{E}_p \xrightarrow{\sim} \Lambda_p^- \oplus \Lambda_p^+$ be the local diagonal decomposition (Proposition 2.8). Then there is a canonical flat isomorphism

(2) $\pi_*\mathcal{L}_p = \pi_*\mathcal{L}|_{U_p} \xrightarrow{\sim} \Lambda_p^- \oplus \Lambda_p^+ \xrightarrow{\sim} \mathcal{E}_p$.

Let U_p^{\pm} be the infinitesimal disc around the preimage $p_{\pm} \in C$ of p . Recall the notation $\pi_p^{\pm} := \pi|_{U_p^{\pm}} : U_p^{\pm} \xrightarrow{\sim} U_p$. Then there are canonical flat isomorphisms

$$(3) \mathcal{L}_{p_{\pm}} = \mathcal{L}|_{U_{p_{\pm}}} \xrightarrow{\sim} (\pi_{p_{\pm}}^{\pm})^* \Lambda_{p_{\pm}}^{\pm} =: \mathcal{L}_{p_{\pm}}^{\pm}.$$

Let $U_I \subset X$ be a Stokes region with polar vertices $p, p' \in D$, and let $\mathcal{E}_I \xrightarrow{\sim} \Lambda_i \oplus \Lambda_{i'}$ be the semilocal diagonal decomposition of \mathcal{E} over U_I (Proposition 2.46), where $\Lambda_i, \Lambda_{i'}$ are as in (14). Then there is a canonical flat isomorphism

$$(4) \pi_* \mathcal{L}|_{U_I} \xrightarrow{\sim} \Lambda_i \oplus \Lambda_{i'} \xrightarrow{\sim} \mathcal{E}_I.$$

Let $U_i, U_{i'}$ be the spectral regions above U_I incident to $p_-, p'_- \in \mathbb{C}$, respectively, and recall the notation $\pi_i := \pi|_{U_i} : U_i \xrightarrow{\sim} U_I$. Then there are canonical flat isomorphisms

$$(5) \mathcal{L}_i = \mathcal{L}|_{U_i} \xrightarrow{\sim} \pi_i^* \Lambda_i \quad \text{and} \quad \mathcal{L}_{i'} = \mathcal{L}|_{U_{i'}} \xrightarrow{\sim} \pi_{i'}^* \Lambda_{i'}.$$

Finally, recall that η is the canonical one-form on the spectral curve Σ .

- (6) The abelian connection $\partial - \eta$ on the abelianisation line bundle \mathcal{L} is holomorphic along C ; it has logarithmic poles only along the ramification divisor R with residues $-1/2$.

The following proposition, which readily follows from the discussion in Sect. 2.4, expresses the sense in which the abelianisation of connections is the analogue of abelianisation of Higgs bundles.

3.6 Proposition (Spectral properties of abelianisation) *For any simply connected open subset $U \subset \Sigma \setminus R$, the abelianisation line bundle \mathcal{L} has a generator e which is an eigensection for ∂ with eigenvalue η (in the sense of Sect. 2.4); i.e., it satisfies the following equation:*

$$\partial e = \eta \otimes e.$$

Moreover, over any spectral region $U_i \subset \Sigma$, there is a canonical flat inclusion $\mathcal{L} \hookrightarrow \pi^* \mathcal{E}$ with respect to which this section e is an eigensection for $\pi^* \nabla$ with eigenvalue η :

$$\pi^* \nabla e = \eta \otimes e.$$

3.7 Example Let us illustrate the above construction in the simplest possible explicit example. Consider a logarithmic \mathfrak{sl}_2 -connection (\mathcal{E}, ∇) from Example 2.5 with $d = 3$. Namely, $X = \mathbb{P}^1$, $\mathcal{E} = \mathcal{O}_{\mathbb{P}^1}^{\oplus 2}$, and $D := \{0, 1, \infty\}$. Let A_1, A_2 be any pair of $\mathfrak{sl}(2, \mathbb{C})$ -matrices, both with eigenvalues $\pm 1/3$, and let ∇ be given by the formula (1). Then the ∇ has Levelt exponents $\pm 1/3$ at each pole.

To abelianise ∇ , we must choose a generic saddle-free quadratic differential on (X, D) with residues $1/9$ at each point of D . One such choice is the quadratic differential φ_1 from Example 2.22. Its spectral curve Σ was described in Example 2.26, its Stokes and spectral graphs were detailed in Fig. 7, and the relevant Stokes open cover was presented in Fig. 8. Finally, in Fig. 11, we illustrate the abelianisation construction by displaying which Levelt line subbundle is considered on which Stokes and spectral region.

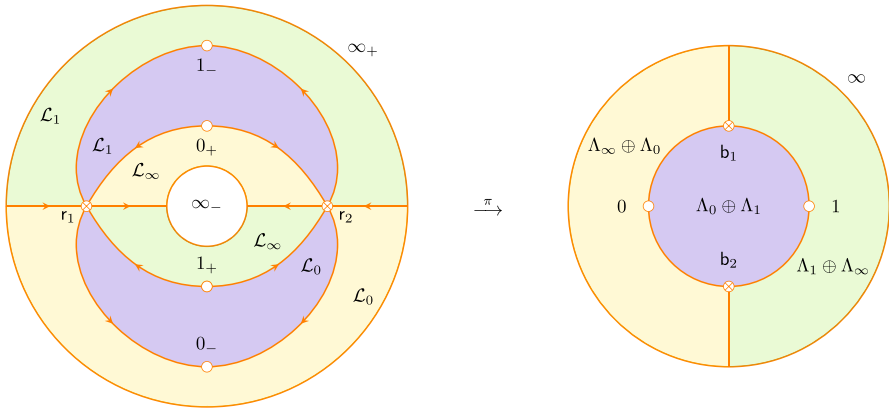


Fig. 11 Illustration of the construction of the abelianisation line bundle \mathcal{L} for a connection on $(\mathbb{P}^1, \{0, 1, \infty\})$ from Example 2.5 using the quadratic differential φ_1 from auto 2.22

3.2 The Voros cocycle

This section introduces the main ingredient in constructing the deablianisation functor π_{ab}^Γ , the *Voros cocycle*. Let $(\mathcal{L}, \partial, \mu)$ be its abelianisation of $(\mathcal{E}, \nabla, M) \in \text{Conn}_X^2(\Gamma)$.

3.8. The canonical nonabelian cocycle V . Let $U_\alpha \in \Gamma_1$ be a Stokes ray on X with polar vertex $\mathfrak{p} \in D$ and branch vertex $\mathfrak{b} \in B$. It is a component of the intersection of exactly two Stokes regions U_I, U_J (see Fig. 12). Consider the pair of canonical identifications given by Proposition 3.5(4):

$$\varphi_I : \mathcal{E}|_{U_I} \xrightarrow{\sim} \pi_*\mathcal{L}|_{U_I} \quad \text{and} \quad \varphi_J : \mathcal{E}|_{U_J} \xrightarrow{\sim} \pi_*\mathcal{L}|_{U_J} . \tag{24}$$

Over the Stokes ray U_α , their ratio yields a flat automorphism of $(\pi_*\mathcal{L}, \pi_*\partial)$:

$$V_\alpha := \varphi_J \circ \varphi_I^{-1} \in \text{Aut}(\pi_*\mathcal{L}|_{U_\alpha}) \tag{25}$$

where $\pi_*\mathcal{L}$ denotes the associated local system $\ker(\pi_*\partial)$ on X° . The nerve of the cover \mathfrak{U}_Γ of X° consists of Stokes rays, so we obtain a Čech 1-cocycle V with values in the local system $\text{Aut}(\pi_*\mathcal{L})$:

$$V := \{V_\alpha \mid \alpha \in \Gamma_1\} \in \check{Z}^1(\mathfrak{U}_\Gamma, \text{Aut}(\pi_*\mathcal{L})) . \tag{26}$$

3.9 Lemma *If $(\mathcal{E}, \nabla, M) \in \text{Conn}_X^2(\Gamma)$, let $(\mathcal{L}, \partial, \mu)$ be its abelianisation, and consider the pushforward $\pi_*\mathcal{L} = \pi_*\pi_\Gamma^{ab}\mathcal{E}$. If V is the cocycle (26), then there is a canonical isomorphism*

$$V \cdot \pi_*\pi_\Gamma^{ab}\mathcal{E} \xrightarrow{\sim} \mathcal{E} .$$

Proof The action of the cocycle V on the pushforward bundle $\pi_*\mathcal{L}$ is a new bundle $\mathcal{E}' := V \cdot \pi_*\mathcal{L}$. Explicitly, the local piece \mathcal{E}'_I over a Stokes region U_I is defined to be $\pi_*\mathcal{L}|_{U_I}$, and the gluing data over a Stokes ray $U_\alpha \subset U_I \cap U_J$ is given by V_α :

$$\begin{array}{ccc} \mathcal{E}'_I|_{U_\alpha} & \xrightarrow{\sim} & \mathcal{E}'_J|_{U_\alpha} \\ \parallel & & \parallel \\ \pi_*\mathcal{L}|_{U_\alpha} & \xrightarrow[V_\alpha]{\sim} & \pi_*\mathcal{L}|_{U_\alpha} \end{array}$$

But this commutative square together with (24) and (25) imply that \mathcal{E} and \mathcal{E}' are canonically isomorphic. \square

3.10. Transposition paths. Let us explicitly compute each automorphism V_α with respect to a pair of canonical decompositions of $\pi_*\mathcal{L}$ over the Stokes ray U_α . Through the isomorphisms $\pi_*\mathcal{L}|_{U_I} \xrightarrow{\sim} \Lambda_i \oplus \Lambda_{i'}$ and $\pi_*\mathcal{L}|_{U_J} \xrightarrow{\sim} \Lambda_j \oplus \Lambda_{j'}$, the automorphism $V_\alpha = \varphi_J \circ \varphi_I^{-1}$ over U_α is just the identity on \mathcal{E} written as a map $\Lambda_i \oplus \Lambda_{i'} \rightarrow \Lambda_j \oplus \Lambda_{j'}$. Notice that $\Lambda_{i'} = \Lambda_{j'}$ because they are continuations of the same line bundle germ at p , so using (16) we find:

$$V_\alpha = \text{id}_{\mathcal{E}} = \begin{bmatrix} 1 & \Delta_\alpha \\ 0 & g_\alpha \end{bmatrix} : \begin{array}{ccc} \Lambda_{i'} & \xrightarrow{1} & \Lambda_{j'} \\ \oplus & \xrightarrow{\Delta_\alpha} & \oplus \\ \Lambda_i & \xrightarrow{g_\alpha} & \Lambda_j \end{array} \tag{27}$$

Now, we can decompose the map $\Delta_\alpha : \Lambda_i \rightarrow \Lambda_{j'}$ through canonical inclusions, projections, and the upper-triangular expressions (16) for the identity on \mathcal{E} as follows:

$$\Delta_\alpha = \left(\Lambda_i \longrightarrow \begin{array}{ccc} \Lambda_{i'} & \xrightarrow{\quad} & \Lambda_{k'} \\ \oplus & \nearrow & \oplus \\ \Lambda_i & \xrightarrow{\quad} & \Lambda_k \end{array} \longrightarrow \Lambda_k \longrightarrow \begin{array}{ccc} \Lambda_k & \xrightarrow{\quad} & \Lambda_{j'} \\ \oplus & \nearrow & \oplus \\ \Lambda_{k'} & \xrightarrow{\quad} & \Lambda_j \end{array} \longrightarrow \Lambda_{j'} \right)$$

We interpret the first and second upper-triangular expressions as the identity maps on \mathcal{E} over U_γ and U_β , respectively. Since all these bundle maps are ∇ -flat, the map Δ_α can be interpreted as the endomorphism of the fibre of \mathcal{E} over a point in U_α obtained as the composition of ∇ -parallel transports P_I, P_K, P_J along paths δ_I contained in U_I from U_α to U_γ , followed by δ_K contained in U_K from U_γ to U_β , followed by δ_J contained in U_J from U_β back to U_α (see Fig. 12).

Explicitly:

$$\left(\Lambda_i \xrightarrow{\Delta_\alpha} \Lambda_{j'} \right) = \left(\Lambda_i \xrightarrow{P_I} \Lambda_i \xrightarrow{1} \Lambda_k \xrightarrow{P_K} \Lambda_k \xrightarrow{g_\beta^{-1}} \Lambda_{j'} \xrightarrow{P_J} \Lambda_{j'} \right)$$

The key idea, which goes back to Gaiotto–Moore–Neitzke [8], is to notice that this expression has an interpretation as a parallel transport for the abelian connection ∂ on

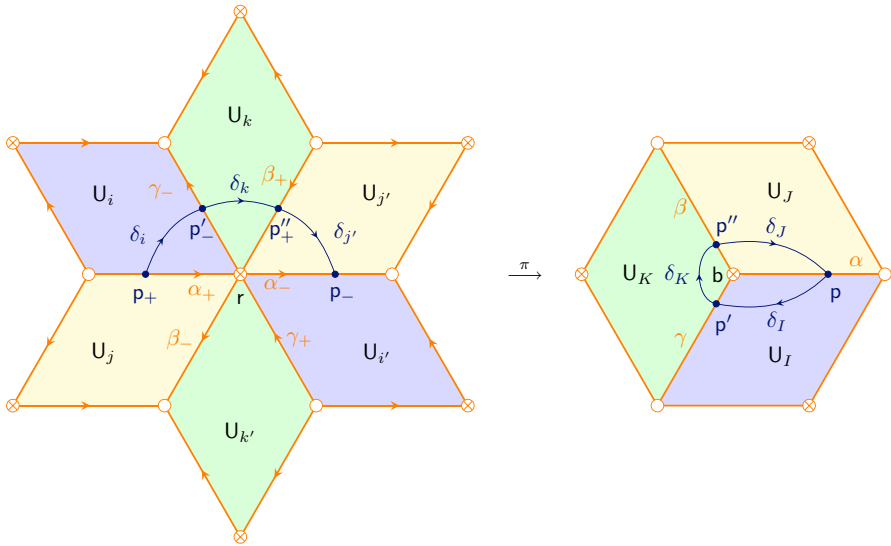


Fig. 12 $U_I, U_J, U_K \subset X$ are the Stokes regions with $I = \{i, i'\}, J = \{j, j'\}, K = \{k, k'\}$. The Stokes rays $U_\alpha, U_\gamma, U_\beta$ are indicated by α, β, γ (same for the spectral rays). $b \in B$ is the branch point and $r \in R$ is the ramification point above b

the spectral curve. Indeed, if we fix points p, p', p'' in $U_\alpha, U_\gamma, U_\beta$ as shown in Fig. 12, then through the canonical identification of fibres using Proposition 3.5(4), we have:

$$\begin{aligned} \left(\begin{array}{ccc} \Lambda_i|_p & \xrightarrow{\Delta_\alpha^+} & \Lambda_{j'}|_p \\ \parallel & & \parallel \end{array} \right) &= \left(\begin{array}{ccccccc} \Lambda_i|_p & \xrightarrow{p_i} & \Lambda_i|_p & \xrightarrow{1} & \Lambda_k|_p & \xrightarrow{p_k} & \Lambda_k|_p \xrightarrow{g_\beta^{-1}} \Lambda_{j'}|_p \xrightarrow{p_{j'}} \Lambda_{j'}|_p \\ \parallel & & \parallel & & \parallel & & \parallel & & \parallel & & \parallel \end{array} \right) \\ \left(\begin{array}{ccc} \mathcal{L}|_{p_+} & \xrightarrow{\Delta_\alpha^+} & \mathcal{L}|_{p_-} \\ \parallel & & \parallel \end{array} \right) &= \left(\begin{array}{ccccccc} \mathcal{L}|_{p_+} & \xrightarrow{p_i} & \mathcal{L}|_{p_-} & \xrightarrow{(g_\gamma^-)^{-1}} & \mathcal{L}|_{p_-} & \xrightarrow{p_k} & \mathcal{L}|_{p''} \xrightarrow{(g_\beta^-)^{-1}} \mathcal{L}|_{p''} \xrightarrow{p_{j'}} \mathcal{L}|_{p_-} \\ \parallel & & \parallel & & \parallel & & \parallel & & \parallel & & \parallel \end{array} \right) \end{aligned}$$

Here, Δ_α^+ is defined by the diagram; we used (22), and $p_i, p_k, p_{j'}$ are ∂ -parallel transports along the paths $\delta_i, \delta_k, \delta_{j'}$ which are the lifts of $\delta_i, \delta_k, \delta_{j'}$ as shown in Fig. 12. Since g_β^+, g_γ^- are precisely the gluing maps for \mathcal{L} , we find that Δ_α^+ is nothing but the parallel transport of ∂ along the clockwise semicircular path $\delta_p^+ := \delta_{j'}\delta_k\delta_i$ (our paths compose the same way as maps: from right to left) around the ramification point r starting at p_+ and ending at p_- . The Stokes graph determines such sheet transposition paths on all Stokes rays: i.e., for any $p \in U_\alpha$, the path δ_p^+ on Σ is the unique lift starting at p_+ of a clockwise loop δ_p based at $p \in U_\alpha$ around the branch point b (see Fig. 13).

3.11 Lemma For every Stokes ray $U_\alpha \subset X$ and every point $p \in U_\alpha$, the automorphism $V_{\alpha,p}$ of the fibre $\pi_*\mathcal{L}|_p$ is:

$$V_{\alpha,p} = \begin{bmatrix} 1 & \Delta_{\alpha,p}^+ \\ 0 & 1 \end{bmatrix} : \begin{array}{c} \mathcal{L}|_{p_-} \\ \oplus \Delta_{\alpha,p}^+ \nearrow \\ \mathcal{L}|_{p_+} \end{array} \xrightarrow{\quad} \begin{array}{c} \mathcal{L}|_{p_-} \\ \oplus \\ \mathcal{L}|_{p_+} \end{array} \quad \Delta_{\alpha,p}^+ := \text{Par}(\partial, \delta_p^+) \quad (28)$$

The correspondence $p_+ \mapsto \delta_p^+$ is a well-defined map $\delta_\alpha^+ : U_\alpha^+ \rightarrow \Omega_1(\Sigma^\circ)$, where $\Omega_1(\Sigma^\circ)$ is the fundamental groupoid of the punctured spectral curve, which is the set of paths on $\Sigma^\circ = \Sigma \setminus R$

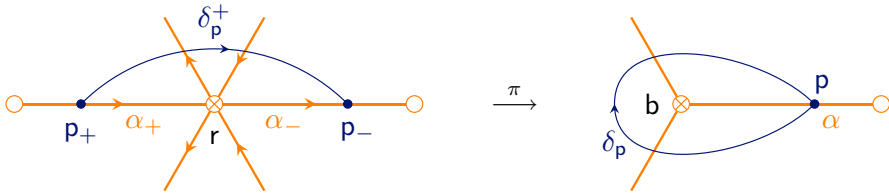


Fig. 13 The sheet transposition path δ_p^+ associated with the positive spectral ray α_+ . Its projection onto X is a clockwise loop δ_p around the branch point b

considered up to homotopy with fixed endpoints. If we define a flat bundle isomorphism

$$\Delta_\alpha^+ := \text{Par}(\partial, \delta_\alpha^+) : \mathcal{L}|_{U_\alpha^+} \xrightarrow{\sim} \sigma^* \mathcal{L}|_{U_\alpha^+} ,$$

then $\Delta_\alpha = \pi_* \Delta_\alpha^+$ defines an endomorphism of $\pi_* \mathcal{L}$ over the Stokes ray U_α . So Lemma 3.11 may be expressed in terms of bundle maps as follows.

3.12 Lemma For every $\alpha \in \Gamma_1$, the automorphism V_α of $\pi_* \mathcal{L}|_{U_\alpha}$ is $V_\alpha = \text{id} + \pi_* \Delta_\alpha^+$.

3.13. The Voros cocycle. One of the central observations in this paper is that formula (28) does not depend on the fact that (\mathcal{L}, ∂) is the abelianisation of (\mathcal{E}, ∇) . Indeed, this formula is written purely in terms of the parallel transport along canonically defined paths on Σ° and the pushforward functor π_* . In other words, if $(\mathcal{L}, \partial) \in \text{Conn}_\Sigma^1$ is any abelian connection (i.e., not a priori the abelianisation of some connection on X), then for each Stokes ray $\alpha \in \Gamma_1$, we can consider the automorphism V_α of $\pi_* \mathcal{L}$ over U_α defined by

$$V_\alpha|_p = \begin{bmatrix} 1 & \Delta_\alpha^+|_{p_+} \\ 0 & 1 \end{bmatrix} : \begin{array}{ccc} \mathcal{L}|_{p_-} & \xrightarrow{\quad} & \mathcal{L}|_{p_-} \\ \oplus & \nearrow \Delta_\alpha^+ & \oplus \\ \mathcal{L}|_{p_+} & \xrightarrow{\quad} & \mathcal{L}|_{p_+} \end{array} , \quad \Delta_\alpha^+|_{p_+} := \text{Par}(\partial, \delta_\alpha^+|_{p_+}) , \quad (29)$$

for each $p \in U_\alpha$ with preimages $p_\pm \in U_\alpha^\pm$. As a bundle automorphism over U_α ,

$$V_\alpha = \text{id} + \pi_* \Delta_\alpha^+ \in \text{Aut}(\pi_* L_\alpha) , \quad (30)$$

where $\pi_* L := \ker(\pi_* \partial)$ and $\pi_* L_\alpha := \pi_* L|_{U_\alpha}$. This yields a cocycle

$$V := \{V_\alpha \mid \alpha \in \Gamma_1\} \in \check{Z}^1(\mathfrak{A}_\Gamma, \text{Aut}(\pi_* L)) . \quad (31)$$

Now, if $\phi : (\mathcal{L}, \partial) \rightarrow (\mathcal{L}', \partial')$ is a morphism in Conn_Σ^1 , and V, V' are respectively the cocycles for $\mathcal{L}, \mathcal{L}'$ defined by the formula (29), then the identity $\partial\phi = \phi\partial'$ immediately implies the following commutative square for every α :

$$\begin{array}{ccc} \pi_* L_\alpha & \xrightarrow{V_\alpha} & \pi_* L_\alpha \\ \pi_* \phi \downarrow & & \downarrow \pi_* \phi \\ \pi_* L'_\alpha & \xrightarrow{V'_\alpha} & \pi_* L'_\alpha \end{array} . \quad (32)$$

In other words, for every Stokes ray $\alpha \in \Gamma_1$, the collection

$$\mathbb{V}_\alpha := \left\{ V_\alpha \in \text{Aut}(\pi_* L_\alpha) \right\}_{(\mathcal{L}, \partial)} , \quad (33)$$

indexed by abelian connections $(\mathcal{L}, \nabla) \in \text{Conn}^1_\Sigma$, forms a natural transformation

$$\mathbb{V}_\alpha : \pi_* \Rightarrow \pi_* .$$

of the pushforward functor (2), defined over U_α . We obtain a cocycle valued in the local system $\mathcal{A}ut(\pi_*)$ of nonabelian groups on the punctured base curve X° consisting of natural automorphisms of π_* .

3.14 Definition (*Voros cocycle*) The **Voros cocycle** is the nonabelian Čech 1-cocycle

$$\mathbb{V} := \{ \mathbb{V}_\alpha \mid \alpha \in \Gamma_1 \} \in \check{Z}^1(\mathfrak{U}_\Gamma, \mathcal{A}ut(\pi_*)) .$$

□

3.15. Abelianisation of the Voros cocycle. The parallel transports Δ_α can also be arranged into a cocycle as follows. If $(\mathcal{L}, \partial) \in \text{Conn}^1_\Sigma$ is any abelian connection, then $\Delta_\alpha^+ = \text{Par}(\partial, \delta_\alpha^+) \in \text{Hom}(L_\alpha^+, L_\alpha^-) = \text{Hom}(L_\alpha^+, \sigma^* L_\alpha^+)$, where $L := \ker(\partial)$ and $L_\alpha^\pm := L|_{U_\alpha^\pm}$ for each $\alpha \in \Gamma_1^+$. The sheaf $\mathcal{H}om(L, \sigma^* L)$ is a local system of *abelian* groups, and we can define an abelian Čech 1-cocycle on Σ° by

$$\Delta := \{ \Delta_\alpha^+, \Delta_\alpha^- \mid \pm \alpha \in \bar{\Gamma}_1^\pm \} \in \check{Z}^1(\mathfrak{U}_{\bar{\Gamma}}, \mathcal{H}om(L, \sigma^* L)) . \tag{34}$$

by $\Delta_\alpha^+ := \text{Par}(\partial, \delta_\alpha^+)$ and $\Delta_\alpha^- := 0$. If $\phi : (\mathcal{L}, \partial) \rightarrow (\mathcal{L}', \partial')$ is a morphism in Conn^1_Σ , and Δ, Δ' are the corresponding cocycles, then the identity $\partial\phi = \phi\partial'$ implies for every α a pair of commutative squares:

$$\begin{array}{ccc} L_\alpha^\pm & \xrightarrow{\Delta_\alpha^\pm} & \sigma^* L_\alpha^\pm \\ \phi \downarrow & & \downarrow \sigma^* \phi \\ L'_\alpha^\pm & \xrightarrow{\Delta'^\pm_\alpha} & \sigma^* L'^\pm_\alpha \end{array} . \tag{35}$$

In other words, for every α , the collection of flat homomorphisms

$$\mathbb{\Delta}_\alpha^\pm := \left\{ \Delta_\alpha^\pm \in \text{Hom}(L_\alpha^\pm, \sigma^* L_\alpha^\pm) \right\}_{(\mathcal{L}, \partial)} ,$$

indexed by abelian connections $(\mathcal{L}, \partial) \in \text{Conn}^1_\Sigma$, forms a natural transformation

$$\mathbb{\Delta}_\alpha^\pm : \text{id} \implies \sigma^* .$$

defined over U_α^\pm . Here, $\sigma^* : \text{Conn}^1_\Sigma \rightarrow \text{Conn}^1_\Sigma$ is the pullback functor by the canonical involution σ . Thus, we obtain a cocycle valued in the local system $\mathcal{H}om(\text{id}, \sigma^*)$ of abelian groups on the punctured spectral curve Σ° consisting of natural transformations from the identity functor id to the pullback functor σ^* :

$$\mathbb{\Delta} := \{ \mathbb{\Delta}_\alpha^\pm \mid \alpha \in \Gamma_1 \} \in \check{Z}^1(\mathfrak{U}_{\bar{\Gamma}}, \mathcal{H}om(\text{id}, \sigma^*)) . \tag{36}$$

Formula (30) makes it apparent that the Voros cocycle \mathbb{V} is completely determined by the cocycle $\mathbb{1}$; let us make this precise. Suppose $(\mathcal{L}, \partial) \in \text{Conn}^1_\Sigma$, and choose a point $\mathfrak{p} \in U_\alpha$ for some α . If

$\rho_{\pm} \in U_{\alpha}^{\pm}$ are the two preimages of ρ , then the canonical isomorphism $\pi_* L_{\rho} \xrightarrow{\sim} L_{\rho_-} \oplus L_{\rho_+}$ on stalks induces a canonical inclusion of $\mathcal{H}om(L, \sigma^* L)_{\rho_{\pm}}$ into $\mathcal{E} \setminus \Gamma(\pi_* L)_{\rho}$ via

$$\begin{aligned} \mathcal{H}om(L, \sigma^* L)_{\rho_{\pm}} &= \text{Hom}(L_{\rho_{\pm}}, \sigma^* L_{\rho_{\pm}}) \\ &= \text{Hom}(L_{\rho_{\pm}}, L_{\rho_{\mp}}) \hookrightarrow \text{End}(\pi_* L_{\rho}) = \mathcal{E}nd(\pi_* L)_{\rho} . \end{aligned}$$

Given any $c \in \mathcal{H}om(L, \sigma^* L)_{\rho_{\pm}}$, we denote its image in $\mathcal{E}nd(\pi_* L)_{\rho}$ by $\pi_* c$.

3.16 Proposition *The Voros cocycle \mathbb{V} and the abelian cocycle Δ satisfy $\mathbb{V} = \mathbb{1} + \pi_* \mathbb{1}$, where $\mathbb{1}$ is the identity cocycle.*

That is to say, the nonabelian Voros cocycle \mathbb{V} is actually ‘in disguise’ the data of an *abelian* cocycle Δ but on a different curve. In other words, Δ should be thought of as the *abelianisation* of the Voros cocycle.

Proof Notice that π induces a double cover $\dot{\mathcal{M}}_{\Gamma} \rightarrow \dot{\mathcal{M}}_{\Gamma}$, yielding a map on cocycles:

$$\check{Z}^1(\dot{\mathcal{M}}_{\Gamma}, \mathcal{H}om(\text{id}, \sigma^*)) \longrightarrow \check{Z}^1(\dot{\mathcal{M}}_{\Gamma}, \mathcal{A}ut(\pi_*)) \quad \text{given by } c \longmapsto \mathbb{1} + \pi_* c . \quad (37)$$

Then formula (30) implies that \mathbb{V} is the image of Δ . □

3.3 The nonabelianisation functor

In this section, we construct the nonabelianisation functor π_{ab}^{Γ} and prove that it is an inverse equivalence to the abelianisation functor π_{Γ}^{ab} . The main ingredient is the Voros cocycle \mathbb{V} , and the construction proceeds in two steps. If (\mathcal{L}, ∂) is an abelian connection on Σ , we first use the pushforward functor π_* to obtain a rank-two connection $(\pi_* \mathcal{L}, \pi_* \partial)$ on $(X, D \cup B)$. But $\pi_* \partial$ does *not* holomorphically extend over the branch locus B , because it has nontrivial monodromy around B , as we remarked after the proof of Proposition 2.15. Therefore, π_* cannot invert π_{Γ}^{ab} , because its image is not even contained in $\text{Conn}_{\check{X}}^2$. Instead, step two is to use the Voros cocycle \mathbb{V} to deform π_* as a functor. The result is the nonabelianisation functor π_{ab}^{Γ} .

3.17. Construction of ∇ . Given any abelian connection $(\mathcal{L}, \partial, \mu) \in \text{Conn}_{\Sigma}^1$, we construct $(\mathcal{E}, \nabla, M) \in \text{Conn}_{\check{X}}^2(\Gamma)$. Consider the pushforward $(\pi_* \mathcal{L}, \pi_* \partial, \pi_* \mu)$. The Voros cocycle \mathbb{V} determines a cocycle $V := \mathbb{V}(\mathcal{L}) \in \check{Z}^1(\dot{\mathcal{M}}_{\Gamma}, \mathcal{A}ut(\pi_* \mathcal{L}))$.

DEFINITION OVER STOKES REGIONS. The main step in the construction is to use V to glue $\pi_* \mathcal{L}$ over Stokes rays. For each Stokes region U_I , let

$$\mathcal{E}_I := \pi_* \mathcal{L}|_{U_I}, \quad \nabla_I := \pi_* \partial|_{U_I}, \quad M_I := \pi_* \mu|_{U_I},$$

and if U_{α} is a Stokes ray in the ordered double intersection $U_I \cap U_J$, then the gluing over U_{α} is given by $V_{\alpha} : \mathcal{E}_I \xrightarrow{\sim} \mathcal{E}_J$. If U_i is a spectral region in the preimage of U_I , then since $\mathcal{E}_I(U_I) = \mathcal{L}(U_i) \oplus \sigma^* \mathcal{L}(U_i)$, the map M_I defines an \mathfrak{sl}_2 -structure on each local piece \mathcal{E}_I . Moreover, M_I and M_J glue over U_{α} because V_{α} is unipotent with respect to the corresponding decompositions.

DEFINITION AT THE POLES. Recall that the infinitesimal punctured disc U_{ρ}^* centred at a point $\rho \in D$ is covered by sectorial neighbourhoods coming from the Stokes regions incident to ρ . Thanks to the upper-triangular nature of the Voros cocycle V , we obtain a flat bundle \mathcal{E}_{ρ}^* over U_{ρ}^* equipped with a filtration $(\mathcal{E}_{\rho}^*)^{\bullet}$ whose associated graded is canonically isomorphic to $\pi_* \mathcal{L}|_{U_{\rho}^*}$. Now, it is a simple fact that if the associated graded of a filtered connection extends over a point, then the filtered connection itself extends with the same Levelt exponents. Thus, \mathcal{E}_{ρ}^* has a canonical extension over

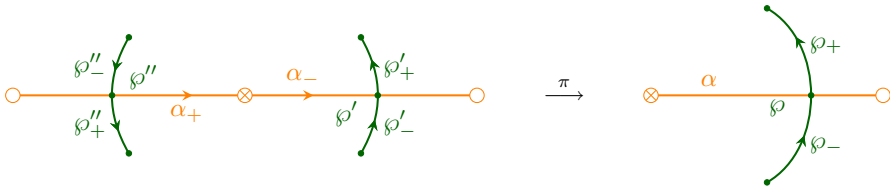


Fig. 14 A short path \wp on X intersecting the Stokes ray α and its lifts \wp' , \wp'' to Σ

U_p to a bundle \mathcal{E}_p with connection ∇_p that has logarithmic poles at p and Levelt exponents $\pm\lambda_p$. It remains to define ∇ over the branch locus B .

DEFINITION AT THE BRANCH POINTS. We will first compute the monodromy of ∇ around each branch point directly to show that it is trivial, and then use Deligne’s canonical extension [5, pp. 91–96].

3.18 Lemma *The monodromy of ∇ around any branch point is trivial. Therefore, the connection (\mathcal{E}, ∇, M) on X° has a canonical holomorphic extension over B .*

The technique is to express the parallel transport of ∇ along paths on X in terms of the parallel transport of ∂ along their lifts to Σ as well as the sheet transposition paths. We adopt the following notation for the parallel transports of $\nabla, \partial, \pi_*\partial$, respectively:

$$P : \Pi\Pi_1(X^\circ) \rightarrow \text{GL}(\mathcal{E}), \quad p : \Pi\Pi_1(\Sigma^\circ) \rightarrow \text{GL}(\mathcal{L}), \quad \pi_*p : \Pi\Pi_1(X^\circ) \rightarrow \text{GL}(\pi_*\mathcal{L}) .$$

It follows immediately from the construction of \mathcal{E} that if \wp is a path on X° contained in a Stokes region, then $P(\wp) = \pi_*p(\wp)$. Explicitly, let \wp', \wp'' be the two lifts of \wp to Σ . Let x, y be the startpoint and the endpoint of \wp , and similarly for \wp', \wp'' . Then, for example, the fibre $E_x = \mathcal{E}|_x$ is the direct sum of fibres $L_{x'} \oplus L_{x''}$ of \mathcal{L} . With respect to these decompositions, the parallel transport $P(\wp) : E_x \rightarrow E_y$ is expressed as

$$P(\wp) = \pi_*p(\wp) = \begin{bmatrix} p(\wp') & 0 \\ 0 & p(\wp'') \end{bmatrix} : \begin{array}{ccc} L_{x'} & \longrightarrow & L_{y'} \\ \oplus & & \oplus \\ L_{x''} & \longrightarrow & L_{y''} \end{array} \quad (38)$$

We say that a path \wp on X° (or Σ°) is a **short path** if its endpoints do not belong to the Stokes graph Γ (or to the spectral graph $\bar{\Gamma}$) and it intersects at most one Stokes ray (or spectral ray). If \wp is a short path on X° that intersects a Stokes ray $\alpha \in \Gamma_1$, then \wp is divided into two segments \wp_-, \wp_+ (Fig. 14).

Each \wp_\pm is contained in a Stokes region, so $P(\wp_\pm) = \pi_*p(\wp_\pm)$. On the other hand, the vector bundle \mathcal{E} is constructed by gluing $\pi_*\mathcal{L}$ to itself over U_α by the automorphism V_α , so we obtain the following formula for $P(\wp)$:

$$P(\wp) = \pi_*p(\wp_+) \cdot V_\alpha \cdot \pi_*p(\wp_-) . \quad (39)$$

Explicitly, let \wp', \wp'' denote the two lifts of \wp to Σ , where \wp' intersects α_- and \wp'' intersects α_+ (Fig. 14). The parallel transport $P(\wp) : E_x \rightarrow E_y$ can be expressed as

$$P(\wp) = \begin{bmatrix} p(\wp'_+) & 0 \\ 0 & p(\wp''_+) \end{bmatrix} \begin{bmatrix} 1 & \Delta_\alpha^+ \\ & 1 \end{bmatrix} \begin{bmatrix} p(\wp'_-) & 0 \\ 0 & p(\wp''_-) \end{bmatrix} = \begin{bmatrix} p(\wp'_-) & p(\wp'_+)\Delta_\alpha^+ p(\wp''_-) \\ 0 & p(\wp''_-) \end{bmatrix} .$$

The off-diagonal term $p(\wp'_+) \Delta_\alpha^+ p(\wp''_-)$ is the parallel transport of ∂ along the concatenated path $\wp_\alpha^+ := \wp'_+ \delta_\alpha^+ \wp''_-$ (Fig. 15), so

$$P(\wp) = \begin{bmatrix} p(\wp') & p(\wp_\alpha^+) \\ 0 & p(\wp'') \end{bmatrix} : \begin{array}{ccc} L_{x'} & \xrightarrow{\quad} & L_{y'} \\ \oplus & \nearrow & \oplus \\ L_{x''} & \xrightarrow{\quad} & L_{y''} \end{array} \quad (40)$$

Proof of Lemma 3.18 Fix a branch point $b \in B$, and let $U_\alpha, U_\beta, U_\gamma$ be the three Stokes rays incident to b . Fix a basepoint x in the Stokes region U_I as shown in Fig. 16, and also fix a loop \wp around b . We calculate the monodromy $P(\wp)$. Fix two more basepoints y, z in the other two Stokes regions, thus dividing the loop \wp into three short paths denoted by $\wp_\alpha, \wp_\beta, \wp_\gamma$, as explained in Fig. 17. Then $P(\wp) = P(\wp_\gamma)P(\wp_\beta)P(\wp_\alpha)$. Each $P(\wp_\bullet)$ (where $\bullet = \alpha, \beta, \gamma$) can be expressed via (39) as

$$P(\wp_\bullet) = \pi_* p(\wp_{\bullet,+}) \cdot V_{(\bullet)} \cdot \pi_* p(\wp_{\bullet,-}) .$$

Now, let \wp', \wp'' be the two lifts of \wp to Σ , as explained in Fig. 18. The lifts $\wp''_\alpha, \wp'_\beta, \wp''_\gamma$ intersect the positive spectral rays $\alpha_+, \beta_+, \gamma_+$, giving rise to three sheet transposition paths $\wp_\alpha^+, \wp_\beta^+, \wp_\gamma^+$ as shown in Fig. 19. By inspection,

$$\wp_\alpha^+ = (\wp'_\gamma \wp'_\beta)^{-1} , \quad \wp_\beta^+ = (\wp'_\alpha \wp'_\gamma)^{-1} , \quad \wp_\gamma^+ = (\wp''_\beta \wp''_\alpha)^{-1} . \quad (41)$$

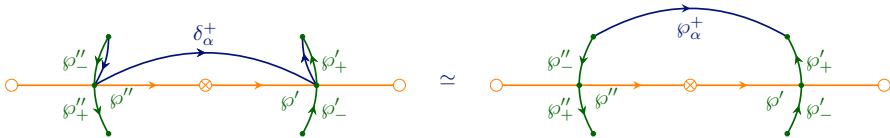


Fig. 15 The concatenated path $\wp'_+ \delta_\alpha^+ \wp''_-$ (left) is homotopic to \wp_α^+ (right)

Fig. 16 Three Stokes rays α, β, γ on X incident to the branch point $b \in B$, and an anti-clockwise loop \wp around b based at x

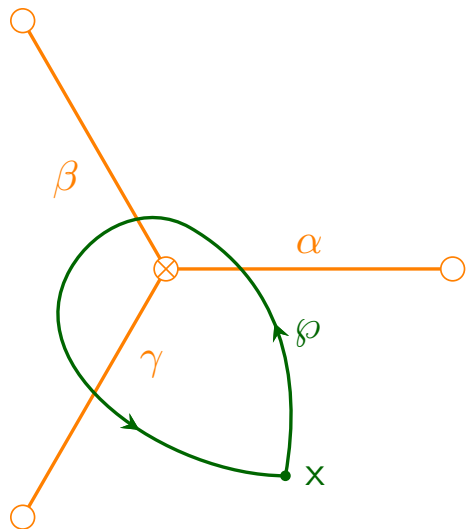


Fig. 17 The loop \wp from Fig. 16 is homotopic to the concatenated path $\wp_\gamma \wp_\beta \wp_\alpha$ as shown

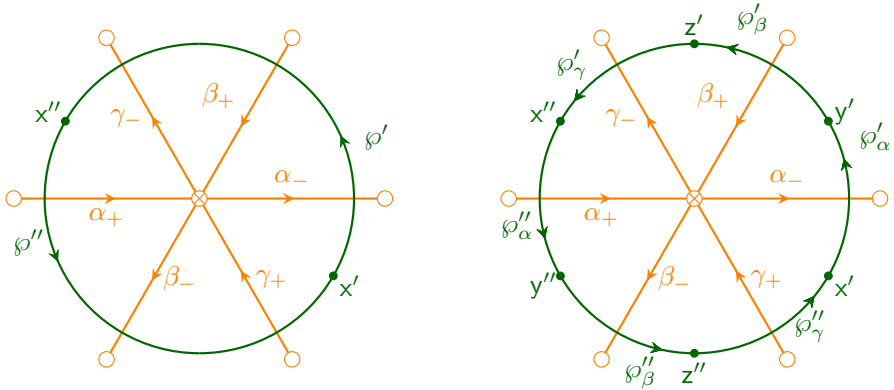
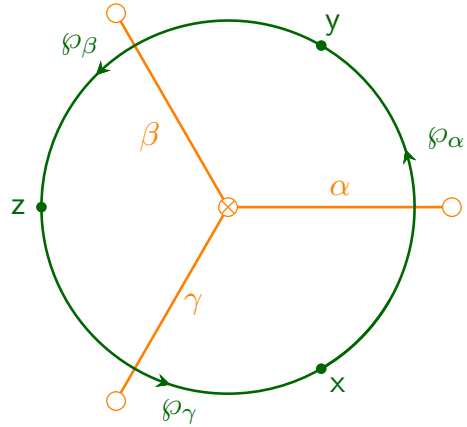


Fig. 18 *Left:* Let x', x'' be the two preimages of x on Σ as shown. *Right:* Let y', y'', z', z'' be the lifts of y, z as shown, $\wp' = \wp'_\gamma \wp'_\beta \wp'_\alpha$ and $\wp'' = \wp''_\gamma \wp''_\beta \wp''_\alpha$

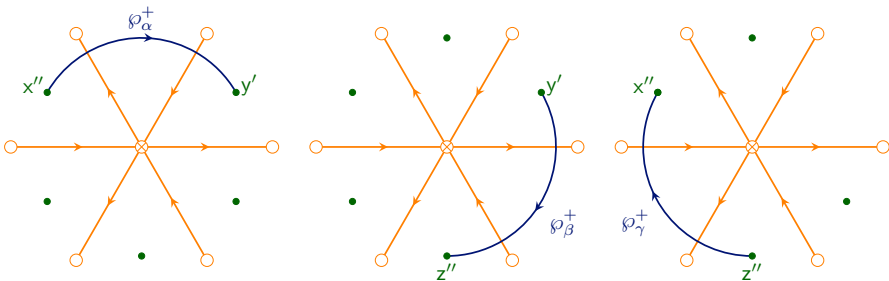


Fig. 19 Three sheet transition paths \wp_α^+ , \wp_β^+ , \wp_γ^+ arising from the intersections of \wp''_α , \wp'_β , \wp''_γ with positive spectral rays α , β , γ , respectively

The explicit formula (40) gives three expressions:

$$\begin{aligned}
 P(\wp_\alpha) &= \begin{bmatrix} p(\wp'_\alpha) & p(\wp^+_\alpha) \\ 0 & p(\wp''_\alpha) \end{bmatrix} : \begin{array}{ccc} L_{X'} & \longrightarrow & L_{Y'} \\ \oplus & \searrow & \oplus \\ L_{X''} & \longrightarrow & L_{Y''} \end{array} \\
 P(\wp_\beta) &= \begin{bmatrix} p(\wp'_\beta) & 0 \\ p(\wp^+_\beta) & p(\wp''_\beta) \end{bmatrix} : \begin{array}{ccc} L_{Y'} & \longrightarrow & L_{Z'} \\ \oplus & \searrow & \oplus \\ L_{Y''} & \longrightarrow & L_{Z''} \end{array} \\
 P(\wp_\gamma) &= \begin{bmatrix} p(\wp'_\gamma) & p(\wp^+_\gamma) \\ 0 & p(\wp''_\gamma) \end{bmatrix} : \begin{array}{ccc} L_{Z'} & \longrightarrow & L_{X''} \\ \oplus & \searrow & \oplus \\ L_{Z''} & \longrightarrow & L_{X'} \end{array}
 \end{aligned}$$

Notice that $P(\wp_\beta)$ is lower-triangular in the given decompositions of $\Pi_*\mathcal{L}|_Y$ and $\Pi_*\mathcal{L}|_Z$, because it is the lift \wp'_β of \wp_β starting at y' that intersects the positive spectral ray β_+ . Also notice that the source fibre of $P(\wp_\alpha)$ is decomposed as $L_{X'} \oplus L_{X''}$, whilst the target fibre of $P(\wp_\gamma)$ is decomposed as $L_{X''} \oplus L_{X'}$, so the monodromy $P(\wp) \in \text{Aut}(L_{X'} \oplus L_{X''})$ is given by

$$\begin{aligned}
 P(\wp) &= \begin{bmatrix} 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} p(\wp'_\gamma) & p(\wp^+_\gamma) \\ 0 & p(\wp''_\gamma) \end{bmatrix} \begin{bmatrix} p(\wp'_\beta) & 0 \\ p(\wp^+_\beta) & p(\wp''_\beta) \end{bmatrix} \begin{bmatrix} p(\wp'_\alpha) & p(\wp^+_\alpha) \\ 0 & p(\wp''_\alpha) \end{bmatrix} \\
 &= \begin{bmatrix} p(\wp''_\gamma \wp^+_\beta \wp'_\alpha) & p(\wp''_\gamma \wp^+_\beta \wp^+_\alpha) + p(\wp''_\gamma \wp''_\beta \wp''_\alpha) \\ p(\wp'_\gamma \wp'_\beta \wp'_\alpha) + p(\wp^+_\gamma \wp^+_\beta \wp^+_\alpha) & p(\wp'_\gamma \wp'_\beta \wp^+_\alpha) + p(\wp^+_\gamma \wp^+_\beta \wp^+_\alpha) + p(\wp^+_\gamma \wp''_\beta \wp''_\alpha) \end{bmatrix}
 \end{aligned}$$

Applying relations (41), we find that $\wp''_\gamma \wp^+_\beta \wp'_\alpha = \wp''_\gamma (\wp'_\alpha \wp''_\gamma)^{-1} \wp'_\alpha = 1$, which is a constant path at x' , so the top-left entry of $P(\wp)$ is 1. Next, the path $\wp^+_\gamma \wp^+_\beta \wp'_\alpha$ appearing in the bottom-left entry, simplifies to $(\wp''_\gamma \wp''_\beta \wp''_\alpha)^{-1}$, so $p(\wp^+_\gamma \wp^+_\beta \wp^+_\alpha) = p(\wp'_\gamma \wp'_\beta \wp'_\alpha)^{-1}$. Now, $\wp''_\gamma \wp''_\beta \wp''_\alpha \wp'_\gamma \wp'_\beta \wp'_\alpha$ is a loop around the ramification point r based at x' , and since the connection ∂ has monodromy -1 around r by Proposition 2.12, we find:

$$p(\wp''_\gamma \wp''_\beta \wp''_\alpha \wp'_\gamma \wp'_\beta \wp'_\alpha) = -1$$

It follows that $p(\wp'_\gamma \wp'_\beta \wp'_\alpha)^{-1} = -p(\wp''_\gamma \wp''_\beta \wp''_\alpha)$, and so the bottom-left entry of $P(\wp)$ is 0. Similarly, we can calculate the other entries of $P(\wp)$ and find that $P(\wp) = \text{id}$. \square

3.19. Diagonal decompositions and transversality. The fact that the connection ∇ is transverse with respect to Γ is deduced from the fact that the local and semilocal diagonal decompositions of \mathcal{E} (Propositions 2.8 and 2.46) can be easily recovered from our construction as follows. Let U_p be the infinitesimal disc around a pole $p \in D$. If U_p^\pm are respectively the infinitesimal discs around p_\pm , let $\mathcal{L}_p^\pm := \mathcal{L}|_{U_p^\pm}$ and $\Lambda_p^\pm := \pi_*\mathcal{L}_p^\pm$. Then it follows from the construction of \mathcal{E} over U_p that the local diagonal decomposition of \mathcal{E}_p is precisely $\pi_*\mathcal{L}|_{U_p} = \Lambda_p^- \oplus \Lambda_p^+$. As a result, the local Levelt filtration of \mathcal{E} at p is $\mathcal{E}_p^\bullet = (\Lambda_p^- \subset \mathcal{E}^p)$.

Let U_I be a Stokes region with $I = \{i, i'\}$ and with polar vertices p, p' such that the spectral regions $U_i, U_{i'}$ are respectively incident to the preimages p_-, p'_- . By construction, if $\mathcal{L}_{i^{(v)}} := \mathcal{L}|_{U_{i^{(v)}}$ and $\Lambda_{i^{(v)}} := \pi_*\mathcal{L}_{i^{(v)}}$, then $\mathcal{E}_I = \Lambda_i \oplus \Lambda_{i'}$. Of course, \mathcal{L}_i is the unique continuation of \mathcal{L}_p^- from U_p^- to U_i , and therefore Λ_i is the unique continuation of Λ_p^- from U_p to U_I . Same for $\Lambda_{i'}$. As a result, the direct sum $\Lambda_i \oplus \Lambda_{i'}$ is nothing but the transverse intersection $\mathcal{E}_{p,I}^\bullet \pitchfork \mathcal{E}_{p',I}^\bullet$ of Levelt filtrations $\mathcal{E}_p^\bullet, \mathcal{E}_{p'}^\bullet$ continued to U_I . This demonstrates the fact that ∇ is transverse with respect to Γ , so $(\mathcal{E}, \nabla, M) \in \text{Conn}_X^2(\Gamma)$.

3.20 Proposition *The correspondence $(\mathcal{L}, \partial, \mu) \mapsto (\mathcal{E}, \nabla, M)$ extends to a functor*

$$\pi_{ab}^\Gamma : \text{Conn}_\Sigma^1 \longrightarrow \text{Conn}_X^2(\Gamma).$$

This follows immediately from the commutative square (32). We call π_{ab}^Γ the *nonabelianisation functor*, and the image (\mathcal{E}, ∇, M) of $(\mathcal{L}, \partial, \mu)$ under π_{ab}^Γ the *nonabelianisation* of $(\mathcal{L}, \partial, \mu)$ with respect to the Stokes graph Γ . Finally, our Main Theorem 3.3 follows from the following proposition.

3.21 Proposition *The functors $\pi_{ab}^\Gamma, \pi_{ab}^\Gamma$ form a pair of inverse equivalences of categories.*

Proof Given $(\mathcal{E}, \nabla, M) \in \text{Conn}_X^2(\Gamma)$, let $(\mathcal{L}, \partial, \mu) \in \text{Conn}_\Sigma^1$ be its image under π_{ab}^Γ . By construction, the Voros cocycle \mathbb{V} applied to \mathcal{L} is the cocycle V from (26). Lemma 3.9 gives a canonical isomorphism $\pi_{ab}^\Gamma \pi_{ab}^\Gamma \mathcal{E} \xrightarrow{\sim} \mathcal{E}$, so $\pi_{ab}^\Gamma \pi_{ab}^\Gamma \Rightarrow \text{Id}$.

The converse is clear from the discussion above of diagonal decompositions and transversality (3.3), so we will be brief. Given $(\mathcal{L}, \partial, \mu) \in \text{Conn}_\Sigma^1$, let $(\mathcal{E}, \nabla, M) \in \text{Conn}_X^2(\Gamma)$ be its nonabelianisation, and suppose \mathcal{L}' is the abelianisation of \mathcal{E} . First, we have $\mathcal{L}'_p \xrightarrow{\sim} \mathcal{L}'_p^\pm$ for every $p \in D$. If $U_i \subset \Sigma$ is a spectral region with sink polar vertex p_- , then $\Lambda_i = \pi_{*} \mathcal{L}'_i$ is the unique continuation of Λ_{p_-} . Both \mathcal{L}'_i and \mathcal{L}'_i are the unique continuations of $(\pi_{p_-}^-)^* \Lambda_{p_-}$ to U_i , we get $\mathcal{L}'_i \xrightarrow{\sim} \mathcal{L}'_i$. Thus, $\mathcal{L}, \mathcal{L}'$ are canonically isomorphic over $\Sigma \setminus R$, and because their extensions over R are unique, this isomorphism also extends over Σ . So $\mathcal{L} \xrightarrow{\sim} \mathcal{L}' = \pi_{ab}^\Gamma \pi_{ab}^\Gamma \mathcal{L}$, and hence $\text{id} \Rightarrow \pi_{ab}^\Gamma \pi_{ab}^\Gamma$. \square

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